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R. C. Zaehner



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# Hindu and Muslim Mysticism

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V

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JORDAN LECTURES 1959

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# Hindu and Muslim Mysticism

By

R. C. ZAEHNER

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## PREFACE

This volume consists of eight lectures delivered (in a slightly abridged form) in May 1959 at the School of Oriental and African Studies in the University of London, when I had the honour of being invited by the Director and the Academic Board of the School to give the fifth series of Lectures under the Louis H. Jordan Bequest.

I chose the subject of *Hindu and Muslim Mysticism* because it seemed to me that, voluminous as the literature on mysticism is, much of it starts from the quite unproven premiss that mysticism is a more or less uniform phenomenon appearing in all the great religious traditions. So far from this being the case, certain varieties of mystical experience are attested not only in different mystical traditions but also in the same religion. I chose the Hindu and Muslim varieties for three reasons: first because I am better equipped to deal with these two than with any other, secondly because in Hindu mysticism the types are most easily and clearly distinguished, and thirdly because so little has been written on Muslim mysticism in English.

I have treated the two streams of mysticism separately, the first four lectures being devoted mainly to Hinduism and the last four to Islam. Constant reference from the one to the other, however, has been made throughout wherever such comparison seemed illuminating, as has surprisingly often proved to be the case.

I have not, of course, attempted to cover the whole field of either Hindu or Muslim mysticism since that would not have been possible in eight lectures, but I have attempted to trace the development of mystical thought within the formative period of both traditions. In the case of Hinduism I have concentrated on

what seemed to me to be salient in the 'classical' Upaniṣads, the *Yogasūtras*, the Bhagavad-Gītā, and lastly on Rāmānuja's commentary on the latter. Similarly in Islam I have concentrated on what can be called the monistic revolution introduced into Muslim mysticism by Abū Yazīd of Bisṭām in the ninth century, on the restoration of the theistic balance by Junayd of Baghdād, on the re-affirmation of a pure monism in Ghazālī's later writings, and on the refutation of this by Ibn Ṭufayl in the name of sanity and by Najm al-Dīn Rāzī in the name of Islamic orthodoxy.

The book may be regarded as the vindication on a smaller scale and in a narrower field of the theory of mystical experience I evolved in my former book *Mysticism Sacred and Profane*. Particular stress has been laid on the theistic interpretation of the 'monistic' type of mystical experience in which the mystic claims identity with the 'One' because the opposite view of the absolute monists has, ever since the time of Deussen, received far more attention than it would appear to merit. Further I have attempted to show in some detail that the monism of Abū Yazīd, so far from being a natural or inevitable growth in Islam, is, on the contrary, a direct infiltration from an Indian source.

If this book has succeeded in introducing some small element of clarity into the study of mysticism, it will not have been written in vain.

In conclusion I would thank Dr. S. M. Stern of this college for the great trouble he took in checking through my Arabic versions. Any inaccuracies that may remain are, of course, entirely due to me. I would also thank Mr. John Caute also of this college for his great kindness in helping me with the always tedious business of checking the index references.

All Souls College  
Oxford  
28 June 1959

R. C. Z.

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## LIST OF ABBREVIATIONS

BG	Bhagavad-Gītā
KS	Al-Ghazālī, <i>Kīmīyā-yi Sa'ādat</i> , Tehran, 1319 A.H. (solar)
Qushayrī	Al-Qushayrī, <i>Risāla</i> , Cairo, 1948
R	Rāmānuja
Şabrī	Al-Ghazālī, <i>Al-Jawāhir al Ghawālī</i> , ed. M. Şabrī, Cairo, 1934/1353
Sahlajī	<i>Shatahāt al-Şūfiyya</i> , Pt. I, containing the <i>Al-Nūr min Kalimāt Abī Tayfūr</i> , a collection of the sayings of Abū Yazīd by Sahlajī, ed. A. Badawī, Cairo, 1949
Sarrāj	Abū Naşr al-Sarrāj, <i>Kitāb al-Luma' fi'l-Taşawwuf</i> , ed. R. A. Nicholson, Leyden-London, 1914
[Upaniṣads]	
BrUp	<i>Bṛhadāranyaka</i>
ChUp	<i>Chāndogya</i>
ŚvetUp	<i>Śvetāśvatara</i>
TaittUp	<i>Taittirīya</i>
YS	<i>Patañjali, Yogasūtras</i>

## Two Trends

‘BEFORE entering on our exposition, we must form an adequate idea of that which renders it so peculiarly difficult to penetrate to the essential nature of any Indian subject. The knowledge of these difficulties will either facilitate the progress of our work, or serve as an apology for any shortcomings of ours. For the reader must always bear in mind that the Hindus entirely differ from us in every respect, many a subject appearing intricate and obscure which would be perfectly clear if there were more connexion between us. The barriers which separate Muslims and Hindus rest on different causes.

‘First, they differ from us in everything which other nations have in common. And here we first mention the language, although the difference of language also exists between other nations. If you want to conquer this difficulty (i.e. to learn Sanskrit), you will not find it easy, because the language is of an enormous range, both in words and inflections, something like the Arabic, calling one and the same thing by various names, both original and derived, and using one and the same word for a variety of subjects, which, in order to be properly understood, must be distinguished from each other by various qualifying epithets.’<sup>1</sup>

So does Bīrūnī open his great work on India: and like him I must plead that ‘the knowledge of these difficulties . . . will serve as an apology for any shortcomings of ours’; and shortcomings there are bound to be, for I can lay no claim to specialist qualifications in either Sanskrit or Arabic. Like Bīrūnī, my original point of departure was Iran, and though no Iranian, I may make some

<sup>1</sup> *Al-Beruni's India*, tr. E. C. Sachau, London, 1888, i, 17.

claim to be, or at least to have been, an *iranisant*; and, like him, I see both Arabic and Sanskrit through Iranian spectacles. For one schooled in the austere discipline of Pahlavī, the utter denudation of whose grammatical forms could not fail to attract a sluggish mind, the complexities of both Arabic and Sanskrit are not readily digested. Yet, ill-equipped though I may be, it seemed to me that in a set of lectures devoted to the comparison of religions, I could scarcely do better than to compare the mysticism of the Hindus with that of the Muslims, for whereas the comparison between the orthodoxies of religions of Semitic origin on the one hand and the orthodoxies or predominant trends in the Indian religions on the other are often forced or inapplicable, comparison between the mystical writings of quite divergent religions are at least comparisons between like and like.

It can be maintained that the strictly monotheistic religions do not naturally lend themselves to mysticism; and there is much to be said for this view. Christianity is the exception because it introduces into a monotheistic system an idea that is wholly foreign to it, namely, the Incarnation of God in the Person of Jesus Christ. Such an idea is as repulsive to the strict monotheism of Islam as it is to that of the Jews. Judaism, on its side, never developed a mystical tradition comparable to that of the other great religions because it held that union with a transcendental God who manifests himself in history could not be possible to a finite creature. 'Ecstasy there was,' writes Professor Scholem,<sup>1</sup>

and this fundamental experience must have been a source of religious inspiration, but we find no trace of a mystical union between the soul and God. Throughout there remained an almost exaggerated consciousness of God's *otherness*, nor does the identity and individuality of the mystic become blurred even at the height of ecstatic passion. The Creator and His creature remain apart, and nowhere is an attempt made to bridge the gulf between them or to blur the distinction.

In Islam, too, we cannot help feeling that Ṣūfism is so radical a distortion of the orthodox doctrine as to constitute almost a separate

<sup>1</sup> G. G. Scholem, *Major Trends in Jewish Mysticism*, London, 1955, pp. 55-6.

religion. Neither the Torah nor the Qur'ān naturally lend themselves to a mystical interpretation: both emphasize overwhelmingly the complete otherness of God—*lam yakun la-hu kufuwān aḥad*, 'like unto Him hath there never been anyone'.<sup>1</sup>

The mystical approach does not come naturally to anyone schooled in a monotheistic creed. It is, on the other hand, the very stuff and substance of the religions that have grown up in India. This, too, was clearly understood by Bīrūnī who, after expatiating on the difficulties of the Sanskrit tongue, goes on to say:

Secondly, they [the Hindus] totally differ from us in religion, as we believe in nothing in which they believe, and *vice versâ*. On the whole, there is very little disputing about theological topics among themselves; at the utmost, they fight with words, but they will never stake their soul or body or their property on religious controversy.<sup>2</sup>

This is perfectly true, for the mystic is by nature tolerant, seeing, as he does, truth in all religions which, for him, appear as the outward manifestations only of a single and essentially inexpressible truth. This, at least, is true of one type of mysticism; it is much less true of the specifically theistic kind, as we shall see in the sequel.

Nietzsche said somewhere of France that it is '*die Hauptschule der Geschmack*': so we might say of India that it is '*die Hauptschule der Mystik*', it is the high school of mysticism. It is this for two reasons: first, in Indian religions theological thinking is mystical through and through. Secondly Hinduism is bound by no dogmas, as Islam is, and the mystic is thereby quite uninhibited in expressing any view he pleases. In Ṣūfism the reverse is true; for in Islam it was not safe to advocate views that ran directly counter to orthodoxy as officially interpreted, as the arraignment of Dhū'l-Nūn of Egypt<sup>3</sup> and of Abū'l-Hussayn al-Nūrī<sup>4</sup> and his companions before the Caliph and the subsequent execution of Ḥallāj<sup>5</sup> and of

<sup>1</sup> Qur'ān, 112. 4.

<sup>2</sup> *Al-Beruni's India*, i, 19.

<sup>3</sup> Qushayrī, p. 8.

<sup>4</sup> *Ibid.*, p. 112: cf. A. J. Arberry, *Pages from the Kitāb al-Lumā'*, London, 1947, p. 26.

<sup>5</sup> L. Massignon, *Al-Hallaj, Martyr mystique de l'Islam*, Paris, 1922, i, 291-330.

Suhrawardī al-Maqtūl<sup>1</sup> all too conclusively showed. Hence the earlier writers on Ṣūfism strove desperately to show that their teachings, however much they might seem to be at variance with official orthodoxy, were in fact merely a deepening and interiorization of the *Sunna* or outward law. Yet it is doubtful whether they convinced even themselves of this, for 'they discussed matters which, in the opinion of ordinary men, were sheer blasphemy (*kufī*) against God'.<sup>2</sup> The great Ghazālī, too, found himself in this predicament; and whereas he is ever-ready to condemn those Ṣūfīs who considered that Islamic law was no longer applicable to the 'perfect',<sup>3</sup> he nonetheless admits that some of the more extreme utterances of the Ṣūfīs 'appear to be rank infidelity'.<sup>4</sup> Furthermore it is not at all easy to tell what his real views are, for each and every time that he appears to be about to reveal his inmost thoughts, he checks himself with some such words as these: 'Beyond these truths there are further mysteries the penetration of which is not permissible.'<sup>5</sup> Shiblī, the companion and friend of the martyred Ḥallāj, it will be remembered, reproached the latter as he hung upon his cross not with having proclaimed a false doctrine but with having made public, against all expediency, a secret truth.<sup>6</sup> Thus, in assessing the testimony of the Ṣūfīs, we must always bear in mind the peculiar disadvantages under which they laboured and which prevented them from putting into plain words the actual nature of their experiences as they seemed to them at the time.

Among the Hindus there was no such restraint, and Hinduism is therefore likely to teach us, within its single tradition, more about the varieties of mysticism than we can glean from those manifestations of this phenomenon which, in the nature of the case, have to be interpreted against a given dogmatic theology. Whether or not

<sup>1</sup> R. A. Nicholson, *A Literary History of the Arabs*, 2nd ed., Cambridge, 1930, p. 275.

<sup>2</sup> Abū Ṭālib al-Makkī, *Qūt al-Qulūb*, Cairo, 1932, iii, 114.

<sup>3</sup> Ghazālī, *Fayṣal al-Tafrīqa bayn al-Islām wa'l-Zandaqa*, apud Ṣabrī, p. 94.

<sup>4</sup> *KS.*, ii, 745.

<sup>5</sup> Ṣabrī, p. 123.

<sup>6</sup> See Massignon, *op. cit.*, pp. 320-1.



'mysticism is essentially one and the same',<sup>1</sup> as Professor Arberry maintains, whatever the mystical experience may be, it is certainly very variously interpreted and nowhere more so than in the Hindu tradition itself. Moreover, even a nodding acquaintance with that tradition will convince us that, whatever the nature of mysticism may be, it is scarcely 'a constant and unvarying phenomenon of the universal yearning of the human spirit for personal communion with God'.<sup>2</sup>

I have said that Indian religious thinking is mystical through and through, and it is therefore time to consider what we mean by mysticism. Elsewhere I have described it as 'the realization of a union or a unity with or in [or of] something that is enormously, if not infinitely, greater than the empirical self'.<sup>3</sup> This definition seems to be wide enough to cover what appear to me to be the three distinct types of mysticism I have discussed elsewhere.<sup>4</sup> However, let us consider for a moment some of the definitions put forward by the Ṣūfīs themselves.

*Mā al-taṣawwuf*?—'What is mysticism?' is the question they are continually asking each other. Their definitions vary greatly, but it will suffice to quote only a few. Some describe an attitude to life, others a state of soul, yet others a relationship to God. Among the first we may quote the following: The Ṣūfī 'possesses nothing and is possessed by nothing'; 'Ṣūfism has three fundamental characteristics, it embraces poverty, it is disinterestedly generous, it eschews meddling and gives up the [individual] will.' Or again, 'Ṣūfism means an empty hand and a good heart.' Ṣūfism here means a way of life, in this case a way of denudation, asceticism, and poverty. Asceticism, however, is never an end in itself, it is merely a means, and in all mystical disciplines it is essential. What, however, is the goal?

Let us quote a few more of these aphorisms. 'The Ṣūfī,' says Nakhshabī, 'is soiled by nothing, and all things are made pure by

<sup>1</sup> A. J. Arberry, *Sufism, An Account of the Mystics of Islam*, London, 1950, p. 11.

<sup>2</sup> Ibid.

<sup>3</sup> R. C. Zaehner, *At Sundry Times*, London, 1958, p. 171.

<sup>4</sup> In my *Mysticism, Sacred and Profane*, Oxford, 1957, esp. pp. 168, 184, 198.

him,' and Ṣūfism is 'the purity of nearness [to God] following on the defilement of estrangement'. In this second definition two quite distinct ideas are brought together—purity of heart and nearness to God. The one, it is implied, is a stepping-stone to the other. Ruwaym, however, sees in purity itself the essence of Ṣūfism without, in this particular case, relating it to God. This is probably fortuitous, but should be noted, for, as we shall see, the suppression of all 'impurities', which is even more characteristic of Indian mysticism than of Ṣūfism, does not necessarily bring the mystic nearer to God, for neither in Buddhism nor in the Sāṃkhya system is there any God whom one may approach.

Ṣūfism too means separating oneself off from all created things. It is 'to be exempted from the contemplation of the world of becoming'. But it is more than this: it is, according to Junayd, the father of 'orthodox' Ṣūfism, 'to be in God's company without attachment', or 'to sit in the presence of God without care'. The Ṣūfīs, says Dhū'l-Nūn, 'prefer God over all things as God prefers them over all things'. More typical of much Ṣūfī thought, however, is this: 'Ṣūfism means that God should cause you to die to yourself and live in him.'<sup>1</sup>

Ṣūfism therefore means giving up the world, self-discipline, separating oneself from all created things, denial of self and turning to God. How does all this tally with Hindu mysticism?

Ṣūfism, as we have seen, is a type of mysticism which is to a large extent conditioned by a monotheistic creed. Hindu mysticism, on the other hand, develops along its own lines irrespective of creed or dogma. In his admirable little book on Hindu mysticism Surendranath Dasgupta has distinguished five different types of mysticism: the sacrificial, the Upaniṣadic, the Yogic, the Buddhistic, and that of *bhakti*. The first, which is of historical interest only, can be discounted in our study, but we shall have to consider Dasgupta's other four types a little more closely. To all of them the ascetic discipline, which means turning one's back on this world, is common. But apart from this what is there in common between them?

The purpose of all Indian mysticism is *mokṣa*, 'release' or 'libera-

<sup>1</sup> All these quotations are from Qushayrī, pp. 126-7.

tion', and this means release from our human, conditioned state. Indian religion in its early stages is not so much concerned with God as with immortality, and by immortality is understood not what Muslims mean by *abad*, often translated as 'post-eternity', or an endless prolongation of existence in time, but a condition in which time itself is transcended. This, indeed, is the lowest common denominator of every form of mysticism, and where there is no trace of it, there there would seem to be no 'mystical' experience. *Mokṣa* means release from time and space and causality, it does not mean union or communion with God. It corresponds to the 'purity' of heart of the Sūfīs, but does not carry with it the additional implication of nearness to God. That it came to do so and the manner of its coming to do so will form part of the subject-matter of these lectures.

The Yogic, Buddhistic, and *bhakti* forms of mysticism are genuine enough categories, though the almost total silence of the Pāli canon on the nature of Nirvāṇa makes it difficult to classify the Buddhistic experience except in purely negative terms. The mysticism of the Upaniṣads, however, falls under no single head, as Dasgupta himself is the first to concede. All he maintains is that, given the fact that the Upaniṣads are 'a depository of diverse currents of thought' and no 'systematic treatise',<sup>1</sup> there are certain outstanding ideas which can be taken as typical. Dasgupta sums up these leading ideas in what seems to be a perfectly fair and balanced manner.

The chief features of this Upanishad mysticism [he writes], are the earnest and sincere quest for this spiritual illumination, the rapturous delight and force that characterize the utterance of the sages when they speak of the realization of this ineffable experience, the ultimate and absolute truth and reality, and the immortality of all mortal things. Yet this quest is not the quest of the God of the theists. This highest reality is no individual person separate from us, or one whom we try to please, or whose laws and commands we obey, or to whose will we submit with reverence and devotion. It is, rather, a totality of partless, simple and undifferentiated experience which is the root of all our

<sup>1</sup> S. N. Dasgupta, *A History of Indian Philosophy*, Cambridge, 1951, i, 42.

ordinary knowledge and experience and which is at once the ultimate essence of our self and the highest principle of the universe, the Brahman or the Atman.<sup>1</sup>

‘A totality of partless, simple and undifferentiated experience’: what does this mean? It means that man sees his deepest essence as being fundamentally identical with the common source of all things, and he can therefore say, ‘I am this All’<sup>2</sup> or ‘I am Brahman’.<sup>3</sup> This is usually called pantheism: the soul feels itself to be co-terminous with all that is and therefore with God: man is God: *so’smy aham*, ‘I am He’.<sup>4</sup> And again since He is All, I too am All. ‘Whoso knows, “I am Brahman”, becomes this All. Not even the gods have the power to prevent him becoming thus, for such a one becomes their own self.’<sup>5</sup> This absolute identity of the human soul with the godhead is attested in several passages in the Upaniṣads, and it is the very thesis of the *Māṇḍūkya*. It is also basic to Śaṅkara’s *advaita* (non-dualism) and to Śāktism. It appears in Islam with Abū Yazīd of Bisṭām and, in its more pantheistic form, in Abū Sa’īd ibn Abī’l-Khayr whose mystical slogan was *hama ū’s*, ‘All is He’. I shall try to show in another lecture that there is probably direct borrowing here from an Indian source.

‘There is, however, another line of thought running through the different Upanishads in which Brahman appears as the supreme Lord from whom everything has proceeded and who is the source of all energy.’<sup>6</sup> Signs of such a strictly theistic concept of the Supreme Being are clearly visible in the *Iśā*, *Kena*, *Kātha*, and *Muṇḍaka* Upaniṣads, and are quite explicit in the *Śvetāśvatara*. This is the second strand in what Dasgupta calls Upanishadic mysticism. To us it appears obvious that there is a distinction between ‘the All’ and ‘the Lord of All’, but this distinction was plainly not thought of as ultimate by these early Indian sages, and Dasgupta is again quite right when he says:

<sup>1</sup> S. N. Dasgupta, *Hindu Mysticism*, Chicago/London, 1927, p. 42.

<sup>2</sup> Cf. *Kauṣītaki* Upaniṣad, 1.6.

<sup>3</sup> *BrUp.*, 1.4.10.

<sup>4</sup> *Maitreya Up.*, 3.1 ff.

<sup>5</sup> *BrUp.*, 1.4.10.

<sup>6</sup> S. N. Dasgupta, *Hindu Mysticism*, p. 48.

The different phases of experience and belief which we find in the Upanishads . . . may all be regarded as stages of experience between which the minds of the sages oscillated in attempting the realization of a truth which was beyond speech, beyond thought and beyond all sense-perception. It was sometimes felt as the great over-lord, the controller, creator, ordainer, and master of all, sometimes as the blissful spiritual experience [itself], and sometimes as the simple unity in which all duality has vanished.<sup>1</sup>

Quite other than Upaniṣadic mysticism is the Yogic. This is expressed in the word equally dear to the *Yogasūtras* and to Śaṅkara—*viveka-jñāna*, 'knowledge of difference', that is, to know the difference between eternal being on the one hand and being as conditioned by time, space, and matter on the other, or, in the case of Śaṅkara, between eternal being (*brahman*) and illusory being (*māyā*). This corresponds exactly to Junayd's definition of *tawḥīd* (the affirmation of God's unity) as 'the isolation (*ifrād*) of eternity from origination'.<sup>2</sup> But it is on the subject of eternal being that the fundamental difference between the two schools of mysticism appears. For the Muslims, of course, God alone is eternal Being. There is and can be only one such Being. For the Yoga system, however, matters stand quite otherwise. Though the *Yogasūtras*, unlike the Sāṃkhya, allow for the existence of a god, they also allow for the existence of an infinite number of souls which are eternal and have their being outside space and time; and the goal of the Yoga system is not at all union with God but 'the isolation', as Junayd puts it, 'of the eternal from the originated'. But this isolation is not that of God in his essence, but of the human soul in its own eternal essence which is proper to it and not contingent on a first cause. Thus the whole point of the Yoga technique is to realize the eternity of the individual human soul outside space and time. Here again, Dasgupta, unlike many popular commentators who would have us believe that the *Yogasūtras* are really a Vedāntin textbook, sees the distinction clearly—for, indeed, it is self-evident—and brings it out with his usual lucidity. The Yogin, he says, 'steadily proceeds toward that

<sup>1</sup> Ibid., p. 55.

<sup>2</sup> Qushayrī, p. 3.

ultimate stage in which his mind will be disintegrated and his self will shine forth in its own light and he himself will be absolutely free in bondless, companionless loneliness of self-illumination'.<sup>1</sup> This is all very reminiscent of the *tajrid al-tafrid* ('the denudation of isolation') of the Ṣūfīs which we shall have occasion to deal with later.

Now it is interesting that both Patañjali in the *Yogasūtras* and Śaṅkara define true existential knowledge as *viveka-jñāna*, 'the science of difference'. For both there is an absolute distinction between the relative world of time and space and the absolute world in which time, space, matter, and causation have no meaning. The final goal is in each case the same, the 'companionless loneliness of self-illumination', the experience of one's own soul as a pure light, utterly independent, autarchic, deathless because beyond time, eternal, and alone. One of the aids to attaining to this vision of one's own true nature is, indeed, *iśvara-praṇidhāna*,<sup>2</sup> 'meditation on the Lord'; but this meditation on the Lord does not lead to union with him, but rather it enables the still bound soul to become what the Lord always is, an eternal monad wholly independent of matter. The final experience is always one of isolation. Thus we now have two quite distinct types of mysticism in the Indian tradition, the pantheistic or pan-en-henic, as I have elsewhere called it, which sees all as one and one as all, and the mysticism of total isolation, the separating of the eternal in man from the temporal. With this latter form of mysticism Śaṅkara's form of *advaita* would seem to be identical so far as the experience itself is concerned; for in each case the experience is one of totally undifferentiated oneness, which it is possible to interpret either as the isolation of your own essential being or, since this isolation is completely beyond space and time, as the identity of your own being with the ground of the universe, that is, Brahman. This, of course, is the position of Śaṅkara's type of Vedānta.

Dasgupta classes Buddhistic mysticism as a separate type, but the evidence of what the early Buddhists understood by Nirvāṇa is so slight that we can afford to by-pass it for the moment.

<sup>1</sup> S. N. Dasgupta, *Hindu Mysticism*, p. 80.

<sup>2</sup> YS., 2.45.

Professor Arberry, as we have seen, has defined mysticism as a 'constant and unvarying phenomenon of the universal yearning of the human spirit for personal communion with God'. This may be largely true of Christian and Muslim mysticism, but not a trace of love or yearning for communion do we find either in Upaniṣadic or in Yogic mysticism. The yearning, if yearning there is, is all for *mokṣa*, 'release', from our wretched conditioned humanity into our true immortal state which is beyond space and time. It is a yearning for a changed condition, not for a Person. Even in the theistic *Śvetāśvatara* Upaniṣad God is still only the perfect exemplar, he is not the object of the mystic's passionate attachment: nor, indeed, could he be so since attachment of any kind is regarded as the greatest obstacle to liberation. The paradox of the theistic Yoga is that the contemplation of God in his perfection does not lead to any love of him or desire to unite with him, but rather spurs one on to emulate him in his total detachment from everything that is associated with coming-to-be and passing away, in his absolute independence, freedom, and isolation.

In all Indian mystical writing before the Bhagavad-Gītā as well as in Patañjali's *Yogasūtras* there is no trace of love for the deity. It is only in the Bhagavad-Gītā where God emerges as in some sense distinct from and superior to both the created universe and the human soul that an element of love creeps in. This is scarcely surprising, for if the soul is regarded as either being identical with Brahman or as so constituted as to be unable to commune with other souls, then its final fulfilment will not be an ecstasy of union but an 'en-stasy' of introverted narcissism.

In the Bhagavad-Gītā, however, we have the beginnings of *bhakti* mysticism, and this is more nearly comparable to Ṣūfism which, from its very beginnings, is essentially a mysticism of love. As I shall be devoting another lecture to the Gītā and Rāmānuja's commentary on it, there is little that need be said now. What I would, however, stress is that in the Hindu tradition the tendency is from monism to theism as the higher form of religious life, whereas in Ṣūfism the tendency is from theism, that is, a mysticism of love, towards what amounts to monism in that, in the states

called *fanā* ('annihilation') and *infirād* ('isolation'), it is claimed that there is no consciousness of anything but God, man thereby realizing himself as God. This seems to be quite clearly what Ghazālī himself believed, at least in the *Mishkāt al-Anwār*.<sup>1</sup> This monistic conclusion which claims that at the height of his mystical experience man actually *is* God, is frequently challenged by the Ṣūfīs themselves, notably by Ibn Ṭufayl who offers a short refutation of it. Nowhere, however, is the case so clearly stated as by Rāmānuja in his commentary on the Gītā.

The respective rôles of personal effort and divine grace are as much the concern of Hindu as of Muslim mysticism, and the answers given in either case are largely conditioned by the theological background against which mystical experience is viewed and according to which it is explained. Thus for the orthodox Muslim—and the vast majority of the earlier Ṣūfīs would claim to be such—with their firm belief in predestination, it is frankly impossible to deny the divine initiative. For the Hindu, however, who is free to believe more or less what he likes and who enjoys the widest latitude in the interpretation of his own corpus of scripture, it is perfectly possible to hold that liberation can be obtained entirely by the mystic's own efforts. Indeed this must be so in the Sāṃkhya system as in Buddhism where there is no God on whose grace one could rely. Indeed even in the earlier chapters of the theistic Bhagavad-Gītā it is freely admitted that liberation can be achieved entirely by one's own efforts without the intervention of divine grace. The general Hindu view would seem to be that man's final end is liberation, and that this can be achieved either by his own efforts or by the intervention of the Supreme Being. The first method, which is that of the classical Sāṃkhya-Yoga, is regarded as being a severe discipline too difficult for the average man, whereas the second is open to all men and is therefore the surest as well as the easiest path to liberation.

What, however, are we to understand by liberation? All schools of Hindu mysticism agree that liberation means release from our own human condition, release from what the Sāṃkhya

<sup>1</sup> See below, pp. 164ff.



calls *prakṛti* and the Vedānta *māyā*, that is, the objective world on the one hand and our own mundane nature, conditioned by time and space, our emotions and mental processes on the other. Such a condition is very often referred to as the *paramā gatiḥ*, 'the ultimate goal'. The Sāṅkhya-Yoga is content to define this as *kaivalyam* which means the isolation of the individual soul in its eternal essence: and that this is a condition of complete bliss no Hindu would deny. What, however, is in question is whether this splendid isolation of the soul which, it is claimed, man can achieve by Yoga without any reference to an external divine agency, is identical with the *unio mystica* of the theistic mystics. The monist would claim that to speak of a *unio mystica* is merely to mis-state the case: reality is one, and if this is really so, then to speak of 'union with God' must be ultimately meaningless:

For where there is duality, as it were, there one smells another; there one sees another; there one hears another; there one speaks to another; there one thinks of another; there one understands another. But where everything has become just one's own self, then whereby and whom would one smell? then whereby and whom would one see? then whereby and whom would one hear? then whereby and to whom would one speak? then whereby and of whom would one think? then whereby and whom would one understand? whereby would one understand him by whom one understands this All? Lo, whereby would one understand the understander?<sup>1</sup>

Thus what the *bhākta* sees as a love-duet between himself and God in which the self is finally united to God in an ecstasy of surrender, is really no more than an inexact representation for simple theistic minds of the ineffable ontological unity of the soul—an isolated monad only according to the Sāṅkhya, but the ground and origin of all existence according to the Śāṅkaran Vedānta.

For the monist, then, the *unio mystica* must appear as the last stage in the progression of the soul from this world of duality towards ultimate reality which is indivisibly one; and, avowedly, theistic mystics often seem to corroborate the monist's thesis. So one of the Ṣūfīs is represented as saying: 'Lovers do not attain to the

<sup>1</sup> BrUp., 2.4.14.

reality of love until the one says to the other, "O thou I".<sup>1</sup> Statements such as this—of which Ḥallāj's 'I am the Truth' and Abū Yazīd's 'I am He' are only the most famous—would tend to support the monistic point of view: and it is an odd paradox that Ṣūfism, whose major premiss is the absolute distinction between God and man, should arrive at a conception of mystical experience that seems to be identical with that of the monistic Hindus who start from the totally contrary premiss that man is in some sense God. Both define it in terms of absolutely undifferentiable unity, the destruction of man's humanity (*bashariyya* or *nāsūt* in Muslim terminology) and the perdurance of God or the Absolute. In such a state, since there is consciousness of eternal being, and since all consciousness of the ordinary empirical self (the *ahamkāra* of the Hindus) has disappeared, and since, according to Muslim theology, there is no eternal being other than God, one can only cry out with Ḥallāj, 'I am the Truth'. The human soul does not become God but is completely annihilated, and God's own self-consciousness alone remains. This is the position adopted by Ghazālī in his later works, and it appears to be indistinguishable from that of Śaṅkara.

There is, however, an important difference between the two, and that is that in the case of the Ṣūfīs, as of the Christian mystics, the means of realizing divinity is love, whereas in the case of the strict Vedāntins and the followers of the Sāṃkhya-Yoga it is simply the acquisition of the intuitional truth that the soul, which, for the Vedāntin, is identical with the godhead, is eternal. Neither in the Upaniṣads nor in the Sāṃkhya-Yoga does love play any part at all. The Bhagavad-Gītā, however, describes both the Yogic way of 'becoming Brahman' and the lover's way of entering into God, though it scarcely attempts to correlate the two. Rāmānuja, however, pursues the arguments of the Gītā to their logical conclusion, and reaches a conclusion that is diametrically opposed to that of Śaṅkara. Like all Hindus he takes the immortality of the soul for granted, that is, he assumes that the human soul is, of its nature, eternal, a being that exists outside space and time, and that it is

<sup>1</sup> Sarrāj, p. 384.

possible for the soul to realize itself as such. This, however, is not the *final* goal of human existence, but only a halfway house; for it is only when the soul has shaken off all the fetters that bind it to what E. G. Browne called 'a miserable actuality',<sup>1</sup> when it has completely freed itself from all desires for what is other than God that it can make itself acceptable to God. 'Liberation' is the end of the first phase only, not the final end which is communion with God. On realizing himself as a spiritual, that is, an extra-temporal being, man can then take the additional step of entering into a personal relationship with God. This does not mean that he becomes God, because as an eternal being he is only a minute fraction of God, but he can fully enjoy his eternal relationship with God, for he is of like nature to him, or, in the language of Christian and Muslim mystics, he is made in his image. The mysticism of love, then, is not, as Śaṅkara would have it, merely a lower and less sure way of achieving liberation. It is a stage in the spiritual life which can lead to communion with the source of all life in an ecstasy of love. However, it is perfectly possible to take this first step without advancing to the second. It is possible to 'become Brahman' without for that reason entering into loving communion with God; and this is, in fact, what the monistic mystics do. Divested as they are of all their mortal trappings they are content to rest in the quiet contemplation of their own souls; having reached the immortal they can conceive of nothing beyond. They are blinded by their own self-sufficiency, for having conquered desire they cannot rekindle desire itself and direct it to its proper goal which is God.

Rāmānuja divides reality into three categories, the objective world, the spiritual world of eternal beings which consists mainly of human souls, and God who is the source and origin of both. God is not identical with Brahman, but the author of Brahman, Brahman being the sum-total of eternal substances, the 'category of soul' or *ātmatattva*. Thus to become Brahman, for Rāmānuja, means no more than to realize your own immortality. Brahman is not the world-soul as it is for Śaṅkara, it is that which is unconditioned by space and time, the state of being as opposed to

<sup>1</sup> E. G. Browne, *A Year amongst the Persians*, 3rd ed., London, 1950, p. 136.

becoming which the individual soul is of its very nature at liberty to enjoy. God, on the other hand, is the originator of eternal being just as he is the creator of the world. He is Lord alike of the spiritual and the material world.<sup>1</sup>

In admitting a plurality of souls Rāmānuja, of course, stands much nearer to Muslim orthodoxy than do any of his predecessors. Yet even within Islam we find the same dispute as to whether there is a plurality of souls or merely one great soul corresponding roughly to the Hindu view of Brahman as normally understood. The dispute is carried on not by the theologians, for whom, of course, souls are created and separate, but by the philosophers who allowed themselves more liberty, Avicenna maintaining that souls were individual and Averroes holding that all souls would be merged into one great soul at death. Again Rāmānuja's interpretation of Brahman as the sum-total of individual souls is paralleled in Ṣūfism. Ghazālī, following Avicenna, makes a clear distinction between what he calls 'the world of creation' and the 'world of the Word' (*'ālam-i amr*),<sup>2</sup> the one being composed of all that has extension, size, and quantity, the other being made up of spiritual essences which cannot be measured: from this world the human souls derive. Ghazālī's scheme is almost identical with that of Rāmānuja except that, for Ghazālī, souls, although not subject to time or space, are nevertheless created. This was denied by some Ṣūfis,<sup>3</sup> whose position thereby became precisely that of Rāmānuja.

Again the Indian and Muslim traditions agree on the necessity of killing desire if the liberation of the eternal spirit is to be achieved. Ṣūfism, however, it must be confessed, does not neatly divide mystical experience into two separate stages as Rāmānuja does: the idea of the liberation of the soul from its bondage to time and space does not come naturally to it. It does, however, see the soul as the mirror of God<sup>4</sup> and regards the cleansing of the mirror of the soul as an essential preliminary to the subsequent love-duet with God. Desire (*shahwa*) is destroyed in order that 'yearning' (*shawq*) may be born: desire for all that is not God must be destroyed in order

<sup>1</sup> See below, pp. 69ff.

<sup>3</sup> Sarraj, pp. 222-3; Qushayrī, p. 45.

<sup>2</sup> KS., i, 12; Ṣabrī, p. 23.

<sup>4</sup> See below, p. 158.

that the way may be cleared for an all-devouring passion directed exclusively towards God.

Hindu mysticism differs from both the Christian and Muslim varieties in that it accepts the eternity of the soul as a fact of experience. Whether there is only one world-soul or a plurality of individual souls, it is taken for granted that this soul or souls exist(s) in an element which is unaffected by change. Since they exist outside time, it is as impossible for them to be born as it is for them to die: what is born and dies is something other than they with which they are temporarily connected. Hence the overwhelming preoccupation with liberation. Now it is also a fact of experience, it would seem, that a man, on tasting the experience of liberation, tends to interpret this as meaning that, by the mere fact of knowing that he is eternal and immortal and of experiencing an undifferentiated unity, this experienced immortality which deprives all that comes to be and passes away of all significance and reality, must be identical with the immortality enjoyed by the supreme immortal Being himself, and that the experience of undifferentiated unity must mean that he is in fact 'Being itself, One without a second'.<sup>1</sup> This, according to Rāmānuja, is an error: the unity realized is the unity only of the single soul.

Theistic systems, since they do not admit that the soul exists eternally, independent of time and space, very rarely make this cut-and-dried distinction between the realization of the eternity and oneness of the soul, the subsequent love affair with God, and the final state of union with him. In our later lectures we shall have occasion to try to unravel the positions they actually took up on this all-important question. Just now I would confine myself to quoting the opinion of a modern Jewish mystic who claims, from his own experience, to have established the falsity of the monist position. Although I have twice quoted this passage in published work, it seems to me so vitally important and so relevant to our present context that I make no apology for quoting it again:

Sometimes I hear it said [writes Martin Buber], that every *I and Thou* is only superficial, deep down word and response cease to exist, there is

<sup>1</sup> *ChUp.*, 6.2.2.

only the one primal being unfronted by another. We should plunge into the silent unity, but for the rest leave its relativity to the life to be lived, instead of imposing on it this absolutized *I* and absolutized *Thou* with their dialogue.

Now from my own unforgettable experience I know well that there is a state in which the bonds of the personal nature of life seem to have fallen away from us and we experience an undivided unity. But I do not know—what the soul willingly imagines and indeed is bound to imagine (mine too once did it)—that in this I had attained to a union with the primal being or the godhead. That is an exaggeration no longer permitted to the responsible understanding. Responsibly—that is, as a man holding his ground before reality—I can elicit from those experiences only that in them I reached an undifferentiable unity of myself without form or content. I may call this an original pre-biographical unity and suppose that it is hidden unchanged beneath all biographical change, all development and complication of the soul. Nevertheless, in the honest and sober account of the responsible understanding this unity is nothing but the unity of this soul of mine, whose ‘ground’ I have reached, so much so, beneath all formations and contents, that my spirit has no choice but to understand it as the groundless. But the basic unity of my own soul is certainly beyond the reach of all the multiplicity it has hitherto received from life, though not in the least beyond individuation, or the multiplicity of all the souls in the world of which it is one—existing but once, single, unique, irreducible, this creaturely one: one of the human souls and not the ‘soul of the All’; a defined and particular being and not ‘Being’; the creaturely basic unity of a creature, bound to God as in the instant before release the creature is to the *creator spiritus*, not bound to God as the creature to the *creator spiritus* in the moment of release.<sup>1</sup>

The last phrase ‘bound to God as in the instant before release the creature is to the *creator spiritus*’ would appear to correspond to what Junayd means when he says that God demands of man ‘that he should return at last to his first state, and be as he was before he was’,<sup>2</sup> that is, a single idea within the divine mind. Buber is in fact describing precisely the Vedāntin experience of *mokṣa* or liberation, but he interprets it not as Śaṅkara does, that is, as the realiza-

<sup>1</sup> Martin Buber, *Between Man and Man* (Eng. trans.), London, 1947, pp. 24–5.

<sup>2</sup> Qushayrī, pp. 135–6.

tion of self as the primal being or the 'soul of the All', but as Rāmānuja does, that is, as the realization of one's own personal 'pre-biographical unity', as eternal and, to that extent only, divine.

The types of mysticism which we have distinguished in this lecture are most clearly exhibited in the Hindu tradition, and I shall try to outline their development up to the time of Rāmānuja in the next three lectures. The types are (i) the pantheistic or pan-en-henic in which the human personality seems to comprise all existence, the 'I am this All' of the Upaniṣads; (ii) the realization of undifferentiated unity, however philosophically interpreted; and (iii) the loving dialogue with God which results in transforming union, to use the Christian phrase.

Though it would seem that this classification of mystical experiences is perfectly valid, it should always be remembered that the one type is always liable to slip over into one of the other forms. To the rational consciousness it is clear that the sensation of 'being the All' is the exact opposite of the sensation of being an absolute monad in splendid isolation *from* the all, but it is doubtful whether many Ṣūfīs, particularly when writing in Persian, ever felt this. Only a minority of mystics sees, with Martin Buber, that to 'reach the undifferentiable unity' of one's own being is probably something quite different from the transforming union in God. The distinction is undoubtedly made most clearly in India, not indeed in the Upaniṣads or even in the Gītā, but in the later philosophers and some of the classical 'schools' of Indian philosophy. Indian religion is unique in that it makes mystical experience the very basis of religion; and both the Vedānta and the Sāṃkhya are attempts to explain mystical experience in ontological and psychological terms. Hence a far clearer picture of the facts of mystical experience emerges from the Hindu tradition than it does from the Muslim, and it is only when we have formed a clear picture of the varieties of mystical experience as they appear in India that we will be able to approach the far more amorphous Ṣūfī phenomenon. For Indian mysticism is unique in that it develops freely and unhampered by any dogmatic restraints.

Şūfism, on the other hand, is not only hampered by a fundamentally uncongenial dogmatism, it is very largely an imported growth.<sup>1</sup> Strongly influenced at first by Christian monasticism in which God's love for man and man's love for God are the essential elements, it later, in my view, becomes the unconscious victim of Vedāntin ideas transmitted by Abū Yazīd of Bisṭām and possibly also by Ḥussayn b. Maṣṣūr al-Ḥallāj and Abū Sa'īd ibn Abī'l-Khayr. In any case it was Abū Yazīd who introduced seemingly monistic ideas into Şūfism, alleging, as he did, that the soul is, at its deepest level, identical with God ('I am He'). Strangely enough none of the Şūfīs, however outwardly orthodox, ever repudiated this extraordinary man; and since they accepted him, they found themselves faced with the same problem that was later to confront Rāmānuja in India. How they dealt with this problem will be the principal theme of our last four lectures.

<sup>1</sup> See *Encyclopaedia of Islam*, s.v. *taṣawwuf*; Margaret Smith, *Early Mysticism in the Near and Middle East*, London, 1931.



## II

### In Search of an Absolute

WE saw in our last lecture that three kinds of mysticism can be distinguished, of which only one is concerned with the soul's relations with God. If, however, we identify mysticism with an experience of union with God as Christians usually do, then Hindu mysticism will appear very odd indeed; for by the time of the Upaniṣads, with which this lecture will be principally concerned, the idea of God as distinct from the universe had almost entirely disappeared. In the Qur'ān, Islam claims, God gives his final revelation to man, and it is one of complete transcendence. God is removed from man by so immeasurable a distance that it seems impossible to establish any relationship with him except through worship 'in fear and trembling'; and thus, for the early Ṣūfīs, there could be no relationship with God unless God, in his mercy, calls man towards him. It is man's duty to prostrate himself before God, and it would be sheer presumption to approach him except as a slave or chattel. If relationship there is to be, then it is God who must make the first move. This is vividly illustrated by the traditional account of the conversion of one of the earliest Ṣūfīs, Ibrāhīm bin Adham, who, like the Buddha, was said to have been the son of a prince. One day he was out hunting when he heard an unseen voice saying: 'Ibrāhīm, was it for this that thou wast created?' This phrase was repeated three times until Ibrāhīm, again like the Buddha, gave up all his worldly goods on the spot, giving the horse he was riding to a shepherd who happened to be there in exchange for his coarse woollen cloak.<sup>1</sup> The story illustrates clearly enough how the early Ṣūfīs regarded the religious

<sup>1</sup> Abū 'Abd al-Rahmān al-Sulamī, *Ṭabaqāt al-Ṣūfiyya*, Cairo, 1953/1372, p.30: Abū Nu'aym al-Iṣfahānī, *Ḥilyat al-Awliyā*, Cairo, 1932-8, vii, 368: Farid al-Dīn 'Aṭṭār, *Tadhkirat al-Awliyā*, ed. R. A. Nicholson, London/Leyden, 1905-7, i, 86.

life. The decision to leave the world and devote oneself to God's service comes not from man, but is dictated or suggested by God. The resemblance between the legends of the 'conversion' of the Buddha and that of Ibrāhīm bin Adham are all circumstantial and on the surface: the actual motive for renouncing the world could scarcely be more different. What started the Buddha on his great quest for Enlightenment was the sight of old age, disease, and death, the grim facts of this earthly life which his father had hitherto successfully debarred him from seeing. What persuaded Ibrāhīm bin Adham to abandon the world, on the other hand, was what he believed to be the voice of God.<sup>1</sup> God, for the Muslim, was, as always, the first to act in drawing man towards himself.

Hinduism, on the other hand, was not supplied by its sacred book with any clear picture of a transcendent God. The religion of the Vedas is a polytheism which develops along henotheistic lines, to use the convenient phrase coined by Max Müller. Of the great Vedic gods Varuṇa might have developed into an Indian counterpart of the Ahura Mazdāh of Zoroastrianism, the one true God who utterly transcends his creation; but in fact he did not. Not only he but all the other gods lost in importance, and, during the period of the *Brāhmaṇas*, the correct performance of an incredibly complex system of sacrifices ousted the gods from the centre of the religious stage. Although, as has been repeatedly pointed out, there was an increasing tendency to identify the gods one with another and to see in each and all of them an aspect of some supreme power, the mere fact that they had all of them individual characteristics of a mythological nature disqualified each from becoming the undisputed Creator and Lord of creation. In his thought-provoking book *Patterns of Comparative Religion* Professor Mircea Eliade maintains that the purpose of myth and ritual is to abolish what he calls 'profane time' and to situate man in an eternal setting.

Every myth [he writes], whatever its nature, recounts an event that took place in *illo tempore*, and constitutes as a result, a precedent and

<sup>1</sup> 'Take God as your companion and leave men on one side': Sulamī, op. cit., p. 37.

pattern for all the actions and 'situations' later to repeat that event. Every ritual, and every meaningful act that man performs, repeats a mythical archetype; and . . . this repetition involves the abolition of profane time and [the] placing of man in a magico-religious time which has no connection with succession in the true sense, but forms the 'eternal now' of mythical time. In other words, along with other magico-religious experiences, myth makes man once more exist in a timeless period, which is in effect an *illud tempus*, a time of dawn and of 'paradise', outside history. Anyone who performs any rite transcends profane time and space; similarly, anyone who 'imitates' a mythological model or even ritually assists at the retelling of a myth (taking part in it), is taken out of profane 'becoming', and returns to the Great Time.<sup>1</sup>

Ritual is the enactment of myth, but in India the old Rig-Vedic myths would appear to have lost their savour: new myths appear concerning the origin of the world and its perpetual renewal, and the whole elaborate system of sacrifice which forms the subject-matter of the *Brāhmaṇas* is a re-enactment of the creative process. To take part in the rite is to take part in creation and the ever-renewed life of nature itself. Participation in a rite which centres round the creative self-immolation of the Primal Person—be he Puruṣa or Prajāpati or some other—means that the participant himself dies as the One, gives birth to the many, and is reconstituted as the One; he identifies himself with the world process as a whole, and by so doing he enters into what Eliade calls 'sacred time', which is eternity. Thus on the one hand religious interest is transferred from a pantheon that had become largely irrelevant to an absorption in the sacrifice representing the world-process itself, and on the other hand to speculation on what it was that gave myth, prayer, spell, and ritual worth and significance. By the beginning of the Upaniṣadic period the word that had superseded all others to denominate this power was *brahman*.

The etymology of this word is still disputed, but the development of the idea seems fairly clear. Originally it seems to have meant prayer, and, by extension, a spell: later it is used as an

<sup>1</sup> M. Eliade, *Patterns in Comparative Religion* (Eng. trans.), London, 1958, pp. 429–30.

equivalent for the three Vedas, and still later as the sacred power underlying any sacred action.<sup>1</sup> By the time of the earliest Upaniṣads it had come to mean that mysterious power by which the universe coheres; for in the thought of the *Brāhmaṇas* the sacrifice had come not only to represent the world-process but also, in the minds of the sacrificers, to be identical with it. The idea that the macrocosm and microcosm are one was already there in embryo.

The earliest Upaniṣadic speculation is still very much bound up with the sacrifice. To realize this we have only to look at the opening of the *Brhadāraṇyaka* and at the endless and, to us, dreadfully tedious series of cosmic and ritual identifications that go to form the greater part of the first half of the *Chāndogya* Upaniṣad. 'Verily, the dawn is the head of the sacrificial horse; the sun, his eye; the wind, his breath; the universal fire his open mouth. The year is the body (*ātman*) of the sacrificial horse; the sky, his back; the atmosphere, his belly; the earth, the underpart of his belly.'<sup>2</sup> And so on. Similarly too with the *sāman* or sacred chant, every syllable not only represents some natural phenomenon but actually *is* it. Thus 'the sound *hā-u* is the world; the sound *hā-i* is the wind; the sound *atha* is the moon; the sound *iha* is oneself; the sound *ī* is fire; the sound *ū* is the sun; the sound *e* is the invocation; the sound *au-ho-i* is the All-Gods; the sound *hiṁ* is Prajāpati; sound itself is breath; *yā* is food; voice is Virāj (the first principle); and the sound *hum* is the undefined'.<sup>3</sup> These identifications, which seem incredibly strange to us, are not only made between sacrificial beasts and sacred words on the one hand and cosmic phenomena on the other. In the earlier Upaniṣads the various organs of the human body too are identified with cosmic forces or objects in the external world: man and the universe are thus brought into a magico-religious relationship which is, however, not yet interpreted as actual identity. Thus we read in the *Chāndogya*: 'One should reverence the mind as Brahman—thus with reference to the self.

<sup>1</sup> See H. Grassmann, *Wörterbuch zum Rigveda*, s.v. *brāhman*: A. B. Keith, *The Religion and Philosophy of the Veda and Upanishads*, Cambridge, Mass., 1925, ii, 445–8. For an extensive survey of the whole problem of *brāhman* see J. Gonda, *Notes on Brahman*, Utrecht, 1950.

<sup>2</sup> *BrUp.*, I.1.1.

<sup>3</sup> *ChUp.*, I.13.1–3.

Now with reference to the divinities—One should reverence space as Brahman. . . . That Brahman has four quarters. One quarter is speech, one quarter is breath, one quarter is the eye, one quarter is the ear. Thus with reference to the self. Now with reference to the divinities. One quarter is fire, one quarter the wind, one quarter the sun, one quarter the points of the compass'.<sup>1</sup> So we find human speech identified with fire, breath with the wind, the eye or sight with the sun, and the ear or hearing with the points of the compass. Each human faculty answers to a cosmic phenomenon; each is a quarter of Brahman, and the total man is therefore identical with the total Brahman which here obviously means the sum-total of existence. This form of microcosm-macrocosm speculation is typical of much early Upaniṣadic thought; and it contributed undoubtedly to the *ātman-brahman* synthesis that is rightly considered to be the dominant teaching of these astonishing treatises. By the *ātman-brahman* synthesis is meant, of course, the identification of the innermost essence of man with the unchanging ground of the universe.

In the passage we have just quoted Brahman, which in this instance is the All, appears in the human being as mind and in the external universe as space, that in which all things move and have their being, the very condition of physical life itself. The use of space as a symbol of deity is appropriate and it appears again in the far more advanced thinking of the Bhagavad-Gītā:<sup>2</sup> 'As the great wind that goeth everywhere abides ever in space, so do all creatures abide in me; understand this.'

This equation of Brahman with space is worth considering a little more closely, for in another passage from the same Upaniṣad we read: 'That which is known as Brahman is surely this space which is outside a man; and this space which is outside a man is surely this space which is within a man. And that space which is within a man is surely that space which is within the heart. This is the plenum and is not subject to change (*apavartin*).'<sup>3</sup> Now, quite obviously, what the author of the Upaniṣad is trying to express is what is usually known as the union of opposites. Outside space,

<sup>1</sup> *ChUp.*, 3.18.1-2.

<sup>2</sup> *BG.*, 9.6.

<sup>3</sup> *ChUp.*, 3.12.7.

that is, the infinitely great, is said to be identical with 'the space within the heart', the infinitely small: in other words there exists a mode of existence in which space, without which no purely physical life can be conceived, is itself transcended. This is no longer space, it is what the Muslims call *lā-makān* 'not-space', the characteristic of the '*ālam-i amr* or 'world of the Word'<sup>1</sup> which is not subject to extension, magnitude, or measurement, that world which we usually call the spiritual world. To transcend space and time means to transcend form; the 'plenum' which is infinity in space is also infinity in time, and to neither do the ordinary categories of thought apply. 'Where one sees no other, hears no other, knows no other, that is the plenum (*bhūman*), but where one sees another, hears another, and knows another, that is a little thing; for the plenum is immortality, a little thing is mortal.'<sup>2</sup> Thus to recognize the identity of the infinitely great with the infinitely small, that is, to experience the transcending of space itself means immortality. For the human consciousness so liberated neither time nor space have any meaning, and death becomes, as Tennyson once said, 'an almost laughable impossibility', and 'loss of personality (if so it were) . . . no extinction but the only true life'.<sup>3</sup>

The same theme is more fully expanded in the eighth chapter of the *Chāndogya* Upaniṣad: 'In this city of Brahman (the body) there is a small lotus, a dwelling; within that a minute inner space. Now what is within that should be the object of our quest; that is what we should try to understand.'<sup>4</sup> Should the pupil ask why, he should be given the following answer: 'Even as great as is this [external] space, so great is the space within the heart. Within it are concentrated both heaven and earth, both fire and wind, sun and moon, both lightning and stars, [both] what one possesses in this world and what one does not possess: everything is concentrated within it.' Thus the human heart is regarded as comprising the whole wide world; 'within and without are one' as the Ger-

<sup>1</sup> See above, p. 16.

<sup>2</sup> *ChUp.*, 7.24.

<sup>3</sup> See Zaehner, *Mysticism, Sacred and Profane*, pp. 36-7.

<sup>4</sup> *ChUp.*, 8.1.1.

man philosopher Karl Joel once said.<sup>1</sup> And this feeling of oneness in which 'one sees no other, hears no other, knows no other', and in which space has ceased to have any meaning, means too that time is conquered and that man himself is therefore immortal. For, as the Upaniṣad goes on to say:

If they should say, 'If this whole world (*idaṁ sarvaṁ*) is concentrated within this city of Brahman, all creatures and all desires, what remains of it when it grows old and perishes?' Then he should reply: 'That does not grow old when [the body] grows old, nor is it slain when [the body] is smitten. This is the real city of Brahman, in this are all desires concentrated: this is the soul (*ātman*) from which all evil falls away, ageless, deathless, devoid of sorrow, hunger, and thirst, whose desire is the Real, whose conception is the Real.'<sup>2</sup>

Man is, then, both an immortal spirit and, as microcosm, the faithful image of the entire universe. 'Know', says Origen, speaking at a totally different time as well as in a totally different context, 'that you are another world in miniature and that within you are sun, moon, and stars.'<sup>3</sup> Not only is man as an eternal being, capable of transcending time and space, the *imago Dei*, he is also the *imago mundi* in that all time and space are concentrated in the point without magnitude which is within his heart. In that 'secret abode' the infinitely great and the infinitely small meet: zero meets infinity, and the result is the undifferentiated One.

This remarkable passage, however, unlike many we shall have occasion to quote, is not pure pantheism, for it distinguishes clearly between the apparent 'city of Brahman', the body, and the real 'city of Brahman', the soul: the first is perishable and the second eternal, the first mortal and the second immortal. My soul is not 'this All', that is, the universe which, vast as it is, is yet conditioned by space and time, it *comprises* the All because what is literally measureless can be said to comprise all that can be measured either in space or in time. In theistic terms this means that man's 'finest essence', which is physically nothing, is identical with

<sup>1</sup> Zaehner, op. cit., p. 38.

<sup>2</sup> ChUp., 8.1.4.

<sup>3</sup> Hom. in Leviticum, 126.5.2 apud Jung, *Collected Works*, xvi, 196.

God from whom everything proceeds in so far as he, despite the changes he initiates, yet remains himself exempt from all change: so too the human soul, as distinct from body, mind, and emotions, remains eternally the same. The identification of the soul with Brahman therefore means that they are the same in so far as they are both beyond space and time and change. It does not necessarily mean any more than this.

Brahman, however, is more than an eternal essence: it is also the source of creation and all change. The Supreme Being, then, by whatever name he is called—Brahman, Puruṣa, Ātman, Prajāpati—is regarded as having both a perishable and an imperishable side. The idea goes back to the famous *Puruṣasūkta* or 'Hymn of the Primal Man' where the Supreme Being is thus described:<sup>1</sup>

Puruṣa is this all, whatsoever was and is yet to be; he is the Lord of immortality which he outgrows by food. So far does his greatness extend, and greater still is Puruṣa. All creatures form one-quarter of him; three-quarters of him are what is immortal in heaven.

Here, then, the Supreme Being is composed of two clearly differentiated parts, a smaller part—one-quarter of him—being the whole phenomenal universe which is subject to change, and the larger—three-quarters of him—being the spiritual world which is exempt from change. In the process of emanating the universe he 'outgrows himself', he assumes a dual nature, for, though still remaining free from change, he has at the same time become subject to change, which he himself has inaugurated:<sup>2</sup> having emanated the world he enters into it.<sup>3</sup>

Thus the Primal Being is twofold, 'the formed and the formless, the mortal and the immortal, the stationary and the moving, the actual and the yon'.<sup>4</sup> In the external universe the formed Brahman is whatever is distinct from wind and atmosphere, and its essence is the sun. Wind and atmosphere constitute the formless Brahman, and their essence is called the 'Person in the [sun-]

<sup>1</sup> Rig-Veda, X, 90, 2-3.    <sup>2</sup> Cf. *Maitrī Up.*, 7.11(8).    <sup>3</sup> *Aitareya Up.*, 3.11-12.

<sup>4</sup> *BrUp.*, 2.3.1.



disk'. Similarly in the human being the 'formed' is all that is other than breath and the space within the *ātman*, presumably meaning 'body' here; its essence is the right eye. Breath and space within the body, on the other hand, are the formless and immortal, and their essence is 'the Person in the right eye'. The 'Person in the sun-disk' and the 'Person in the right eye', the eternal outside man and the eternal within him are identical. In this passage, however, there is no attempt to identify the mortal parts of the two.

Now, the process by which the authors of the Upaniṣads reached the conclusion that man's immortal soul is identical with the immortal substrate of the changing universe would seem to be twofold. On the one hand, by intensive introspection, they succeeded in discovering the immortal 'ground' of their own souls, what Martin Buber calls the 'original pre-biographical unity'; on the other, they concluded both from the creation-hymns of the Rig-Veda, especially the *Puruṣasūkta* (X, 90), the *Hiraṇyagarbha* hymn (X, 121), and the *bhāvavṛttam* hymn (X, 129), and from their own reasoning that the material world too had an eternal and unitary substrate. This aspect of the Upaniṣads is closely paralleled in pre-Socratic Greek philosophy: both are preoccupied with the search for a first cause, and both at first reach equally naïve results. The Greeks, however, seem to have been the more naïve, for their identifications of the first cause with air, water, or fire are quite arbitrary and are certainly not based on observation.

The Indians, on the other hand, in their search for the unitary principle of organic life, became intensely interested in two very concrete manifestations thereof—food and breath. 'This whole world is surely just food and the eater of food', we read,<sup>1</sup> and 'Brahman is food'.<sup>2</sup> Obviously if we translate *brahman* as 'God', this statement will have an absurd ring. Basically, however, it is not absurd, for life can indeed be reduced to these terms. You cannot live unless you eat, and you cannot eat unless you take other lives whether animal or vegetable. The process of eating and being eaten constitutes the unity underlying the diversity of physical existence, it is the unchanging law underlying the ever-changing

<sup>1</sup> Ibid., I.4.6.

<sup>2</sup> *TaittUp.*, 3.1(2).

phenomena: it is Brahman, and to realize oneself as food and the eater of food is very bliss: as a most surprising passage in the *Taittirīya Upaniṣad* puts it:

O rapture, O rapture, O rapture!  
 I am food, I am food, I am food!  
 I am an eater of food, I am an eater of food, I am an eater of  
 food!  
 I am a maker of verses, I am a maker of verses, I am a maker of  
 verses!  
 I am the first-born of the universal order,  
 Earlier than the gods, in the navel of immortality!  
 Whoso gives me away, he, verily, has succoured me!  
 I who am food, eat the eater of food!  
 I have overcome the whole world!<sup>1</sup>

This extraordinary paean of joy is only comprehensible to us if we understand it as an expression of the transcending of the finite personality in a cosmic process. Eating and being eaten represent life in death, and death in life, the abolition of the individuality in the unending life of the Primal Man of the *Puruṣasūkta*, who, though sacrificed, continues to live as the All. Food, then, is seen as the Absolute as manifested in the material world.

Of even more importance, however, was the Upaniṣads' pre-occupation with breath. This, however, is scarcely surprising, for breath is a symbol used in almost all religions to represent the eternal; and we ourselves all too easily forget that our own word 'spirit' is simply the Latin for breath. Breath, of course, is life: and the symbol is an obvious one, for where there is no breath, there there is no life. Therefore, in the *Bṛhadāraṇyaka Upaniṣad* the 3306 gods are in the final analysis reduced to only one, and that is breath, and 'that they call Brahman'.<sup>2</sup>

These identifications of Brahman with physical processes or objects are not of merely academic interest as showing how an idea developed. They are essential to a proper understanding of the nature of Brahman as it develops in the Upaniṣads and the *Bhagavad-Gītā*. It is true that the distinction between the formed

<sup>1</sup> Ibid., 3.10(6).

<sup>2</sup> *BrUp.*, 3.9.9.

and the formless Brahman is liable to be swallowed up in a full-blooded and omnivorous pantheism in which everything is indiscriminately identified with everything else, but in the later writings, notably in the *Muṇḍaka* and the *Gītā*, the distinction between the higher and lower Brahman is clearly made; Brahman is both the absolutely changeless eternal with which the human soul is identical at least in so far as it shares with it a mode of being that is beyond space and time, and it is also the changeless law behind the changing phenomenal world. In the terminology of Śaṅkara it is both Brahman and *māyā*, or in that of the Sāṅkhya it is both Puruṣa (whether understood as one great spirit or as a plurality of them) and *prakṛti*; it is, in Western terminology, both pure spirit and primal matter. Whatever it is, it is certainly not God as we understand him. It is true that in the countless creation myths of the earlier Upaniṣads the First Principle, under whatever name he appears, is the origin of the universe and that he pervades the universe or actually is it, but no clear distinction between God and the universe is made. The later Upaniṣads, on the other hand, show two distinct tendencies. First there is a tendency to think of the Supreme Principle in personal terms, and secondly there is a tendency towards pure monism. The monist position distinguishes itself from the pantheistic in that it concedes reality only to the undifferentiated One, which, it is claimed, can be experienced in trance. This One is both the liberated human soul and the unknowable godhead from which the 'All' proceeds—the All, in so far as it manifests itself in multiplicity, being illusory; 'he goes from death to death who sees diversity here'.<sup>1</sup> Pantheism, on the other hand, identifies the human soul not only with the ground of the universe but with the universe itself in all its diversity. The clearest statements of this position are in *Chāndogya* 7.25 and *Kauṣītaki* 1.6, the first of which runs as follows:

'That [the Plenum, *bhūman* here standing for Brahman] indeed is below. It is above. It is to the West. It is to the East. It is to the South. It is to the North. Indeed it is this whole universe.

<sup>1</sup> BrUp., 4.4.19: cf. *Kaṭha Up.*, 4.10.11.

'Next the instruction with regard to the ego (*ahamkāra*). I am below. I am above.' And so on as above.

'Next the instruction with regard to the soul (*ātman*). The soul is below. The soul is above,' etc.

Here the universe, the individual soul, and the *ātman*, meaning certainly in this context 'the universal soul', are all identified. The person who realizes this, being the All, knows all: he is therefore omniscient. This sense of 'being the All', common among nature mystics seems to be what Jung means by 'positive inflation' and what the Muslim mystic Qushayrī understood by the technical term *bast*, 'expansion'. In an illuminating passage he warns against it:

The man subject to expansion [he says] experiences an expansion great enough to contain [all] creation; and there is practically nothing that will cause him fear. . . . [This expansion] makes him quiver with joy, yet unsettles him. . . . There is the greatest danger in this mood, and those who are open to it should be on their guard against an insidious deception.<sup>1</sup>

In the Yoga system this would correspond to the stage of complete omniscience which is said to precede the achievement of *kaivalyam* ('isolation'), in which all differentiation between subject and object is obliterated. 'Just as the effulgence of the sun is visible before the sun actually rises,' writes Bhojarāja, following Vyāsa, in his commentary on the *Yogasūtras*,<sup>2</sup> 'so does the preliminary illuminative knowledge which has all things as its object arise before the [supreme] knowledge of the difference [between the eternal and the temporal and the consequent isolation of the former from the latter] supervenes. This being so, he knows everything without recourse to any other form of Yogic concentration.' Today we find it impossible to pay serious attention to claims to omniscience made by Yogins or anyone else, yet one has only to read the third chapter of the *Yogasūtras* to see how literally the author believed that Yogic techniques could produce omniscience in the literal sense of that word. The claim, however, becomes comprehensible in the light of the experience of nature mystics of

<sup>1</sup> Qushayrī, p. 33.

<sup>2</sup> 3.33.

all ages and places. The sensation of 'being the All' is a well-attested phenomenon, impossible though it may be to explain it rationally; and since to know something in its entirety is, according to Upaniṣadic thought, to be it, to 'be all' necessarily involves knowing all.

What, however, is interesting and somewhat surprising is that the *Yogasūtras* should regard this stage of omniscience as being less perfect than the final state of undifferentiated oneness which is the total isolation of the immortal soul not only from this world and from the body with all its physical and psychic faculties, but also from all other souls including God.

In the Upaniṣads themselves this realization of the total undifferentiated unity of the soul forms the theme of the very late *Māṇḍūkya* Upaniṣad. This Upaniṣad is the climax of a whole series of speculations on the nature of dreams and sleep.<sup>1</sup> Because dreams are the product of the dreamer's mind, they are regarded as being more real than the ordinary waking state; for the real is the undifferentiated One, and whereas the objective world does not appear to the normal waking consciousness as being the product of the subjective mind, dreams undoubtedly are. Moreover, in deep dreamless sleep there remains only what the *Māṇḍūkya* calls a unified state. If, however, the macrocosm and microcosm are one, what does the subjective state of dreamless sleep correspond to in the objective world? Dream, which is an emanation of the dreamer's own mind, corresponds to God's emanation of the phenomenal world. Dreamless sleep, by the same analogy, must then correspond to God, 'the Lord of all, the knower of all, the inner controller, the womb of all, the origin and end of creatures'. But beyond dreamless sleep there is a fourth state which the *Māṇḍūkya* characterizes as being a state of complete, undifferentiated oneness 'devoid of duality': 'it causes the phenomenal world to cease'. This is the soul, the self, the *ātman*. So in the last analysis, according to the *Māṇḍūkya*, the 'Lord of all', that is God, is merely an emanation from the undifferentiated One which is at the same time the human soul. The difference between the *Māṇḍūkya* and

<sup>1</sup> *BrUp.*, 4.3.9-33; *ChUp.*, 8.10-12; *Praśna Up.*, 4.5-6, etc.

the *Yogasūtras* is that whereas the latter interpret liberation as the self-isolation of one individual soul in what Buber calls 'an original pre-biographical unity', the *Māṇḍūkya* interprets it as the realization of the unfractionable unity of Being as such, of which the omniscient Lord is but an emanation—and, necessarily, an illusory one since to admit his reality would be to admit duality, and this is not tolerable to the mystic who has realized, as he thinks, the absolute One 'without a second'. If he is that One, then, obviously, worship offered to another being can only be a meaningless folly: indeed the perfected ascetic is expressly advised by Gauḍapāda, in his *Kārikā* on the *Māṇḍūkya*, to 'refrain from prayer, public worship, and funeral processions',<sup>1</sup> that is, from any religious act whatever. These, certainly, are not condemned, but they are regarded merely as a useful *askesis*, like the practice of Yoga, which will help the soul at a certain stage of its development to draw nearer to its final goal which is to realize itself in the absolute oneness of its own eternity.

God, in the *Yogasūtras*, plays a similar but different rôle: he is primarily an object of contemplation, and not a person with whom union is sought. Along with a strict bodily discipline and the repetition of spells, devotion to God is considered to be efficacious as a step towards final liberation<sup>2</sup> which is the complete isolation of the individual soul from all that is other than itself, and this means the total suppression of all discursive thought.<sup>3</sup> God or the Lord is thus described in the *Sūtras*:

The Lord is a special type of soul which is untouched by care, works, the fruits of works, or desire. In him the seed of omniscience is perfect. He is the *guru* even of the ancients since he is not limited by time.<sup>4</sup>

God, then, is the only existing soul that has never been involved in the process of transmigration: he dwells in permanent isolation, and is permanently unaffected by care, activity, or desire, the characteristics of our mundane existence. He is omniscient and the instructor of the ancient sages. He dwells outside time. The 'isolation' of God, however, seems to be qualified, for as '*guru* of the

<sup>1</sup> 2.37.<sup>2</sup> YS., 2.1.<sup>3</sup> Ibid., 1.2.<sup>4</sup> Ibid., 1.24–26.

ancients' he is their instructor and therefore involved in action of some kind. True, he, like the God of the Bhagavad-Gītā, remains totally unaffected by his teaching activity and indifferent to its results, but he does nonetheless help souls still bound in matter to achieve liberation. Indeed, according to the commentaries, God would seem to differ from other souls in that he is, in fact, permanently in contact with matter in its most subtle form. In the Sāṃkhya-Yoga, as is well known, matter is thought to be compounded of three 'strands' or *guṇas*—*sattva*, *rajas*, and *tamas*, sometimes translated as goodness, passion, and dullness: these roughly correspond to the three constituents of the soul associated with the intellect, anger, and desire which feature in Plato's *Republic*, which were taken over by the early Muslim philosophers, adapted by Ghazālī and transmitted through him into the mainstream of Ṣūfī thought. The God of the *Yogasūtras* is permanently united to the quality of *sattva* in its most abstract form which is pure wisdom, and through it he is able, by his will, both to sustain the world and to cause both the union of individual souls with matter and to assist them to liberation from matter. Whether or not Vyāsa and Bhojarāja's interpretation of the *Sūtras* represents the mind of the author or not, it is impossible to say, but it does seem to attribute to the Lord a degree of involvement in the world that is surprising in the context of the *Sūtras*; for in this respect God, though omniscient and permanently unaffected by change, would appear to be inferior to the ordinary liberated soul, for liberation means, according to the definition of the *Sūtras* themselves, the total isolation of the soul in its eternal essence. God, though unaffected by the world, is nonetheless, through his association with the quality of *sattva* and through his very omniscience, permanently aware of the world and to that extent committed to it: for it will be remembered that in the *Yogasūtras* omniscience is considered to be merely an incidental stepping-stone on the way to the goal of final isolation.

Such then would appear to be the theology of the *Yogasūtras*. What of the cult of the deity in practice? Devotion to the Lord is described as the third main method in the Yoga technique. The first of these is bodily discipline or asceticism. From this results 'the

perfection of the bodily senses from impurity and decay. From the study of scripture (the repetition of spells—*svādhyāya*) results the cognition (*samprayoga*) of the deity of one's choice. From devotion to the Lord results the perfection of concentration (*samādhi*).<sup>1</sup>

These are, then, the three main stages in the Yoga technique of concentration. First the body must be brought under control, then follows the repetition of a sacred formula, through which the deity invoked in the formula becomes present to the mind, and thirdly by concentration on God one becomes like him, that is, perfectly recollected. The juxtaposition of *iṣṭa-devatā* 'the divinity of one's choice' and *īśvara* 'the Lord' calls for comment. Do the two words mean the same thing or not? Now, according to the theology of the *Yogasūtras*, there is only one Lord, and it is therefore unlikely that he would be referred to as the 'divinity of one's choice'. Such divinities would, then, appear to be merely a focus for what is called 'one-pointed' concentration, the mental counterpart of the muttered spell. 'Devotion to the Lord', on the other hand, leads directly to *samādhi* because the object of contemplation is the eternal exemplar of the perfectly liberated and isolated soul, who, though aware of matter, is nonetheless totally unaffected by it. The Yogin's aspiration is not, like the Vedāntin's, to become what, for them, he eternally is, that is, the Absolute, but to become *like* God who is forever unaffected by change.<sup>2</sup> Bhojarāja goes further than the *Sūtras* in that he says: 'Devotion to the Lord produces actual *samādhi* because the divine Lord, being well-disposed, removes [all] cares which stand in the Yogin's way and awakens *samādhi* in him.'

I was wrong, I now consider, when I said in my *Mysticism, Sacred and Profane* (p. 127) that 'when the Yoga introduces the idea of the Lord . . . , he is introduced for no other purpose than to help the soul towards isolation'. This is true enough so far as it goes, for concentration on the Lord *does* help the soul towards isolation, but the object of contemplation is not simply, I now consider, a 'deity

<sup>1</sup> Ibid., 2.43-45; cf. Bhojarāja's commentary.

<sup>2</sup> Cf. Vyāsa on YS, 1.29. 'As the Lord is a pure, serene, isolated *puruṣa* free from defect, so does [the Yogin] understand that the [individual] *puruṣa* who accurately understands (?) the *buddhi* is like him.'



of one's choice' but God as defined in the first chapter of the *Sūtras* themselves.

To sum up: the Sāṃkhya-Yoga admits of no Absolute One. Reality is interpreted along dualistic lines. There is the world of pure spirit (corresponding to the '*ālam-i amr* of Ghazālī) on the one hand composed of an infinity of eternal substances called *puruṣas* or persons, that is, human souls and one special soul, God, and the world of coming-to-be and passing away, *prakṛti* or Nature (the '*ālam-i khalq* of Ghazālī) on the other. The conjunction of soul and body is an unnatural conjunction, and the goal of the soul is to shake itself free from the trammels of matter and to become what it is, a pure and isolated spirit. God differs from human souls in two respects: first he is from all eternity unaffected by matter, he is forever beyond space and time. On the other hand, unlike the liberated soul, he remains eternally aware of the phenomenal world, though without thereby being in any way affected or diminished by it. As such he is the sole effective object of contemplation, for by meditating on him who is forever beyond space and time, the bound soul can realize its own true nature which is also beyond space and time. Some relationship between God and the world is, however, recognized, and this has to be: for if, like the liberated human soul, God were completely isolated in his own essence, he would not even be a possible object of contemplation, nor would he be able in any way to assist the soul out of bondage. It is very doubtful whether the additional attributes attributed by Bhojarāja to the Lord reflect the ideas of the *Sūtras* themselves; namely that he supports the world by his will and that he is the cause of the soul's involvement in matter as well of its release.<sup>1</sup> Such ideas can be directly traced to the *Śvetāśvatara* Upaniṣad. For Patañjali, however, it would rather appear that God is the divine archetype of the soul by the contemplation of whom the soul can itself become what it always is, immortal. The Yogin's aim is neither deification nor participation in the divine essence: it is rather the fulfilment of the promise of the serpent to Eve in the Garden of Eden that 'you shall be as gods';<sup>2</sup> and in so far as the

<sup>1</sup> Bhojarāja on I.25.

<sup>2</sup> Genesis 3.5.

Yogins reach a state in which they realize their own immortality in a condition in which time and space are no more, like gods they are, and the serpent spoke true.

Before proceeding to consider the development of theism in the Upaniṣads themselves, let us first compare the Yoga and Vedānta views concerning final liberation and God. Let us, then, first take the points on which they agree. First they are at one in their view that liberation means the isolation of the eternal from the contingent, and that this is an experience of undifferentiable oneness. Secondly they are agreed that, from the empirical point of view at least, there is such a thing as the Lord. Thirdly, they agree that contemplation of this Lord is an effective method of bringing about one-pointed concentration of mind, the goal of which is in each case the experience of absolute oneness, in which the second, that is, the Lord vanishes away. Where they differ is on the matter of what this absolute oneness consists in. For the Vedāntin the One is . . . the One—without a second: for the Sāṃkhya-Yogin it is an undifferentiated monad, self-sufficient, but only one among endless other monads, distinct from them and distinct from God. For the Vedāntin God is, from the absolute point of view of the One, an illusory being; for the Sāṃkhya-Yogin he is real and can and does actively promote the liberation of souls. Though veiled from their sight after liberation, he nonetheless exists though there is no contact with him. In the Vedāntin hierarchy of being only the One exists absolutely: the Lord and all that proceeds from him are produced by cosmic 'illusion' or 'ignorance', and the proof of this is mystical experience which, it is maintained, is at its highest the realization of the absolute unity of being; beyond this, therefore, it is ontologically impossible to go. The Sāṃkhya-Yoga too defines liberation as 'isolation' and, though it admits the existence of God, it refuses to concede that there can be any form of existence beyond the self-isolation of the eternal soul in its undifferentiated oneness. The Vedāntin appears to be the more consistent, for in the Yoga system God ceases to be relevant once liberation has been achieved, and devotion to God is only practised before liberation, not after; for in neither system is there any inkling that

communion with God is possible. Both see the goal beyond which all further spiritual experience is impossible as being the 'undifferentiable unity of myself without form or content', as Buber puts it. God is thereby excluded from man's extra-temporal experience.

We must now consider how the idea of deity developed in the Upaniṣads themselves. As we have seen, there are plenty of passages in the Upaniṣads which are fully pantheistic. There are others, however, which draw a distinction between the human person and the universe on the one hand and the supreme principle on the other. Prominent among these is the concept of the Inner Controller in the *Bṛhadāraṇyaka*<sup>1</sup> who is regarded as being essentially other than all things in the phenomenal world. 'He who, dwelling in the earth, is yet other than the earth, whom the earth does not know, whose body the earth is, who controls the earth from within, he is your soul, the inner controller, the Immortal.' So too is this Inner Controller other than water, fire, the atmosphere, wind, sky, sun, the points of the compass, the moon and stars, space, darkness and light, other than the whole objective universe, then. And as far as the human personality is concerned, it is other than breath, speech, sight, hearing, the mind, the sense of touch, and understanding, distinct, then, from all man's physical and psychical faculties. It is, in reality, the only percipient: 'He is the unseen seer, the unheard hearer, the unthought thinker, the understood understander.' This Inner Controller, who is plainly akin to our own conception of God, however, is still identical with the human soul at its deepest level; it is the imperishable (*akṣara*) across which all space is woven;<sup>2</sup> it is the eternal conceived of either as God, from whom the perishable world proceeds, or as the Eternal Being as he is in his essence. In earlier Upaniṣadic thinking the human soul is roundly identified with this Imperishable, and it is not considered possible that there can be any higher form of being. Sometimes, however, this Being is spoken of as the Lord. He is the Soul, the Immortal, Brahman, and the All, yet he is also overlord and king of all things, and in

<sup>1</sup> *BrUp.*, 3.7.

<sup>2</sup> *Ibid.*, 3.8.11.

him all creatures, all gods, all worlds, all vital breaths, and all souls converge as spokes converge on the hub of a wheel.<sup>1</sup> In this passage which is of vital importance in the development of early Hindu thought the purest pantheism is combined with a recognizable theism. The author states that God or rather the *ātman*, the Universal Soul is not only all things but that it is also the Lord of all things including human souls; yet these themselves appear to be identified with the ground of all the objective world in the whole of this section. A point of departure, however, towards a theistic interpretation of existence has been made, and we shall perhaps not be guilty of reading into this passage what is not there if we say that human souls are considered as being identical with God in so far as they are, like him, eternal, but as logically posterior to him in that they proceed from him as their first cause. The human soul is co-eternal with God in that it has its being outside time, but logically it is posterior to him. The procession of the Holy Ghost from the Father (and the Son) is a good parallel from Christian theology: it is an operation that takes place outside time in eternity, and Father, Son, and Holy Ghost are con-substantial and co-eternal, though logically distinguishable. So too, in this passage from the *Bṛhadāraṇyaka* Upaniṣad, it would appear that the relationship of the soul to God is one both of co-eternity and of logical posteriority, identical as to the essence but at the same time distinct from God in that he is the centre and circumference of all individual souls, the hub and felly of the wheel of which they are the spokes. These ideas will reappear in Ṣūfism despite their obvious incompatibility with orthodox Muslim belief. Ṣūfism, indeed, tends ever more strongly to bring God and the human soul together, so violently did they seem to be separated in the Qur'ān, while Hinduism, in what is really its major trend, seeks to draw God out from the soul and the universe so that the soul, even in its lonely eternity may experience a spiritual relationship with Another—a relationship which the experience of an absolutely undifferentiable state would seem automatically to exclude.

<sup>1</sup> Ibid., 2.5.15.

### III

## God and Brahman

IN our last lecture we considered the theology, if we may use the term, of the earlier Upaniṣads and the *Yogasūtras*. From the early Upaniṣads no clear picture emerges; yet although there are a great number of passages in which a complete identity between Brahman, soul, and the external universe is asserted, there is also another tendency which seeks to identify God conceived of as the unmoved mover, to use the Aristotelian phrase, with the deepest ground of the human soul. The classic formulation of this view is perhaps that of the so-called *Śāṇḍilya-vidyā* of the *Chāndogya* Upaniṣad:

All this [world] is Brahman [the Upaniṣad declares]. Let one venerate it in all quietness as *tajjalān* [a mystical code-word which the commentators understand as meaning 'that from which one is born, into which one is dissolved, and in which one breathes and acts']. . . . He who consists of mind, whose body is breath, whose form is light, whose conception is the real, whose self is space, through whom are all works, all desires, all scents, all tastes, who encompasses all this [world], who does not speak and has no care, he is my self within the heart, smaller than a grain of rice or a barley corn, or a mustard-seed or a grain of millet or the kernel of a grain of millet; this is my self within the heart, greater than the earth, greater than the atmosphere, greater than the sky, greater than these worlds. All works, all desires, all scents, all tastes belong to it; it encompasses all this [world], does not speak and has no care. This my self within the heart is that Brahman. When I depart from hence, I shall merge into it. He who believes this will never doubt.<sup>1</sup>

The purport of this and similar passages seems to be that at the deepest level of existence there is a formal identity between the

<sup>1</sup> *ChUp.*, 3.14.

human soul 'within the heart' and the 'soul of the All'. Both the body and the external universe are subject to coming-to-be and passing away: but the soul, the inner controller of both, is not so conditioned. Soul and God are therefore identical in that they enjoy an existence beyond space and time, and this is the only sense, perhaps, in which they can be regarded as being identical. The emphasis is, however, certainly anthropocentric. The self within the heart, a fine point without magnitude, is the same as the spirit who 'encompasses all this [world]'; the human 'self', which is by definition eternal, is the focal point of the whole universe as it is of the human body. Indeed, the universe seems to be regarded as an extension of the body. The senses and the objects of sense are regarded as being two aspects of the same thing. The world is the human personality as seen from outside, the senses the human personality as seen from the inside: both the external and the internal personality depend on the immortal substrate of both, and whatever of reality they may have, they derive from what is alone real, the immortal self.

We have seen how in the *Bṛhadāraṇyaka* Upaniṣad, the self or soul is referred to not only in pantheistical terms, as the immortal, Brahman, the All, but also as the 'overlord of all things and the king of all things'. The eternal as such, then, is the Lord of all contingent being, but no distinction is yet drawn between the eternal element in the human soul which is 'more minute than the minute' and that other eternal, God, who is 'greater than the great'.<sup>1</sup> This distinction, though just discernible in the later Upaniṣads, does not clearly emerge until the latter part of the *Gītā*. The later Upaniṣads, however, do show an increasing preoccupation with the nature of God, and this is evidenced by the reappearance of the word *deva* in the sense of 'God' rather than in the sense of a god. This is something quite new, and it shows that the authors of the later Upaniṣads are beginning to think monotheistically, they are beginning to think of a Supreme and active Being who is distinct both from the soul which is, by definition, inactive and the ever-active and ever-changing external universe.

<sup>1</sup> *Kaṭha* Up., 2.20: *ŚvetUp.*, 3.20.

By whom impelled, [by whom] sped forth does the mind soar forth?  
 By whom enjoined does the first breath go forth?  
 By whom impelled this speech do people utter?  
 The eye, the ear—what god enjoineth them?’<sup>1</sup>

This is the question which the *Kena* Upaniṣad seeks to answer. Now, what is a source of endless confusion in the earliest Upaniṣads is their readiness to make positive and contradictory statements about almost everything. The resulting picture is one of complete pantheism in which all is ultimately identifiable with everything else. The *Kena* and the *Īśā*, on the other hand, seem to feel that the earlier equations and identifications are over-simplifications, and that ultimately you can say nothing intelligible about the Supreme Principle at all. All you can say about him can only be an approximation to the reality, for, in the last resort, he is unknowable. From your experience of what you yourself are, and from speculation about the outside world, you may obtain some idea about him, but this will only be a partial and approximate affair. ‘If you think, “I know well,”—well, you may know a very little,—a form of Brahman, [only] that [much] of it that you yourself are, or that [much] of it which is in the gods.’<sup>2</sup> Brahman as such is just not accessible to the mind, it can only be apprehended by a spiritual awakening, a *pratibodha*. ‘It is conceived of [only] by one who has no conceptual thought about it (*yasyā’matam tasya matam*). Those who think about it do not know it. It is not known to those who busy themselves with knowledge, but it is known to those who are not concerned with knowledge. It is known and conceived of when there is an awakening, for immortality is experienced. By the soul one attains virtue, by knowing immortality. If one knows it here, that is truth (*satyam*); if one does not know it here, great is the destruction. Discerning it in all creatures the wise, on departing this world, become immortal.’<sup>3</sup>

Brahman, then, is absolutely unknowable to the mind. It can only be apprehended by an ‘awakening’, an intuition, that is, from an agency other than yourself, since to wake up is not an act of one’s own volition. The agent of awakening is not mentioned

<sup>1</sup> *Kena Up.*, 1.

<sup>2</sup> *Ibid.*, 9.

<sup>3</sup> *Ibid.*, 11–13.

here, but the thought that lies behind this passage is more positively stated in two passages from the *Muṇḍaka* and *Kaṭha* with which we shall be dealing shortly. Brahman is immanent in all things as well as in oneself, and it is by contemplating him in creatures that one realizes him as the immortal within oneself. This is a long step beyond pantheism: there is no longer any identification of the soul with the All. The soul participates in Brahman, but it is not identical with it (*yad asya tvam*, 'what of him thou art'), and Brahman is *in* all creatures (*bhūteṣu bhūteṣu*), but it is not identical with them. It is unknown and the 'other'—'other than the known and other than the unknown',<sup>1</sup> to be discerned but never wholly understood;<sup>2</sup> it transcends both the objective world (the All) and the *ātman*. If one discerns it as the immortal substrate of all creatures, then one realizes one's own immortality. This does not necessarily mean that one 'becomes Brahman', for Brahman is unknowable, it means simply that one realizes the immortality of 'that of Brahman' which is oneself. The *Kena* Upaniṣad is as vague as is Buddhism as to how the released state is conceived. Like Buddhism it is content to describe it as the 'immortal' or 'deathless' (*amṛtam*), and the reader is at liberty to interpret this in any way he pleases: it may mean that the soul enjoys solitary isolation, or that it realizes itself as Brahman, the sole-existing One, or that it is merged in Brahman as the waters of a river are merged into the great ocean.<sup>3</sup> The *Kena* Upaniṣad, however, prefers not to analyse the experience, but merely to assert that it is one of deathlessness; and it is achieved by the contemplation of the deathless in all creatures, of the solidarity of all contingent things in one immortal substrate. For the *Kena*, then, Brahman is both the external universe, the 'mortal', and the soul, the 'immortal'. It is the ground in which two different modes of existence, Nature and the soul, the *puruṣa* and *prakṛti* of the Sāṃkhya system meet; yet though it is in them, it is other than they. It is both immanent and transcendent. This is, perhaps, the first clear statement in the Upaniṣads of the transcendence of the Supreme Principle.

<sup>1</sup> Ibid., 3.

<sup>2</sup> Ibid., 13.

<sup>3</sup> ChUp., 6.10.1: *Muṇḍaka* Up., 3.2.8: *Praśna* Up., 6.5. Cf. *Udāna*, p. 55.



But this principle, if it is transcendent, must also be Lord. 'This whole universe *must* be enveloped by a Lord,' the *Isā* Upaniṣad begins, 'whatever moving thing there is in this moving world'. This Lord, it transpires, is the One who, however, is identical neither with the soul nor with the universe. Its nature can only be expressed in paradox. It does not move, yet it is swifter than mind. Standing still, it overtakes others as they run. 'It moves, yet it does not move. It is far, yet it is near. It is within this All, yet it is outside it.'<sup>1</sup> The Lord and the One, moreover, is also the *ātman*, for the Upaniṣad goes on to say: 'He who contemplates all beings in the Self and the Self in all beings, does not shrink from him. To him who discerns aright, all beings have become the Self in him; then what delusion, what sorrow is there for one who contemplates the unity?'<sup>2</sup> One may equally translate the first half of the sentence as 'in him all beings have become the self of him who discerns aright', and this would apparently take us back to a purely pantheistic position; but even if we do so, there is still a difference, for this is no longer a simple identification of everything with everything else: it is a unity in diversity, for the unity is *in* him, it is not simply he. The Supreme Being is thus both Lord, and the One, and the *ātman*, but *ātman* here used apparently in the sense of the 'soul of the All', not of the released individual soul, with which it does not seem to be identical.

This, however, is the great difficulty in any objective attempt to interpret the Upaniṣads, for we can never be certain in what sense a given word is used. We can never be sure whether *ātman* means what was later called the *paramātman*, that is God, or the *pratyagātman*, the individual released soul, or indeed the mind or the body. Yet in the *Isā* it seems clear that the *ātman* is primarily used as a synonym for God, not for the individual, eternal soul. God manifests himself both in the temporal and in the eternal, and, as in the *Kena*, is other than both. 'Into blind darkness enter they who worship non-becoming; into darkness greater than that enter they who rejoice in becoming. For they say, "Other is it [the Absolute] than

<sup>1</sup> *Isā* Up., 5.

<sup>2</sup> *Ibid.*, 6-7.

origin, and other than what has no origin".<sup>1</sup> God, then, pervades both the world of space and time *and* the spiritual world outside place and time, though he is distinct from both.

These two short Upaniṣads adumbrate a world-view that only becomes explicit in the *Śvetāśvatara* Upaniṣad and the *Gītā*, the view that there is a being that is beyond and distinct from the two categories of existence which are seen more and more as the fundamental pair of opposites, namely, eternal, unchanging being on the one hand, what Rāmānuja calls *ātmatattva* or 'category of soul', and all becoming that is subject to origin, change, and dissolution on the other. God, in the later theology, is regarded as the source of both, more ultimate, then, than the 'imperishable' (*akṣara*) itself. Liberation means always the realization of the self as an imperishable being, and the general tenor of the Upaniṣads is that the 'imperishable' is one, is Brahman, and that Brahman is totally undifferentiated. Perhaps the best simile of what is understood by the soul's liberation is that of the *Kaṭha* Upaniṣad 4.15:

As pure water poured into pure becomes like unto it (*tādr̥g*),<sup>2</sup>  
So does the soul of the discerning sage become [like unto Brahman].

Thus there is a loss of what is normally described as personality in an eternal mode of existence. This is certainly not the *kaivalyam* of the *Yogasūtras*, nor is it union with God who stands outside the eternal mode of existence though pervading it. It is what the Ṣūfī call *fanā*, the destruction of the purely human personality in the eternal (*baqā*), all sense of a separate individuality being lost; in Ṣūfī terms it is the destruction of man's *bashariyya* or *nāsūt* in his *rubūbiyya* or *lāhūt*, his divinity. But even in the more extreme forms of Ṣūfism there is a distinction between *lāhūt*, what is inherently divine in man, and God himself. So in Hinduism it came to be felt that there might be something beyond Brahman. In this connexion it may not be unprofitable to consider the concept of *akṣara*, the Imperishable Brahman in the Upaniṣads.

<sup>1</sup> *Ibid.*, 9-10 (Renou), 12-13 (Hume). § 16 (Hume), in which the Supreme Person is again roundly identified with the individual soul, seems to be quite discontinuous with the rest of the Upaniṣad, and may be an addition.

<sup>2</sup> Scarcely 'the very same' as Hume translates.

It first appears in the *Bṛhadāraṇyaka* 3.8. Gārgī asks the sage Yājñavalkya: 'That which is above the sky, that which is beneath the earth, that which is between these two, sky and earth, that which people call the past, the present, and the future—across what is that woven, warp and woof?' What kind of being, Gārgī is asking, is it that transcends all spatial and temporal categories? To this Yājñavalkya replies 'Space'. Gārgī remains unsatisfied and asks on what space is woven, warp and woof.

'That,' says Yājñavalkya, 'Brāhmins call the Imperishable. It is not coarse, not fine, not short, not long, it does not glow nor does it adhere; it is neither shade nor darkness, air or space. Unattached it is, without taste, smell, eye, ear, voice or mind, without energy (*atejaskam*), without breath or mouth, without measure, without inside or outside. It eats nothing nor does anyone soever eat it.' This Imperishable, then, is a being about which nothing can be truly predicated because any predication would bring it down to the ordinary categories of human thought which are conditioned by space and time, whereas the Imperishable is beyond both. In the later Upaniṣads and particularly in the *Śvetāśvatara* it was sharply divided off from the *kṣara* or 'perishable'; but in the *Bṛhadāraṇyaka* the 'imperishable', though devoid of mind (*manas*), energy (*tejas*), or measure, is yet the measure of all things; for it is 'at the behest of the Imperishable' that 'the sun and moon stand apart, . . . that the earth and sky stand apart, . . . that the moments, hours, days, nights, fortnights, months, seasons, and years stand apart'. The Imperishable, then, though devoid of qualities in itself, is at the same time that which keeps the perishable world in being, and though previously described as without eye, ear, or mind, it is nonetheless 'the unseen seer, the unheard hearer, the unthought thinker, the ununderstood understander. Other than it there is naught that sees. Other than it there is naught that hears. Other than it there is naught that thinks. Other than it there is naught that understands. Across this Imperishable, Gārgī, is space woven, warp and woof.' Thus the Imperishable is seen here not simply as an Absolute without any quality, but also as the upholder of the universe and the sole true percipient. It is very much more than

the *akṣara* of the *Muṇḍaka* and *Śvetāśvatara* Upaniṣads and the *Gītā*. It corresponds almost exactly to the God or Lord described in these later treatises; and it is this perpetual shifting of the meaning of words which makes any coherent interpretation of the Upaniṣads wellnigh impossible, for the terminology of the later Upaniṣads begins to use Sāṃkhya categories which do not agree with the terminology of the earlier treatises. This is particularly true of the *Śvetāśvatara*.

The *Muṇḍaka* Upaniṣad takes up the thought of the passage from the *Bṛhadāraṇyaka* which we have just analysed. Here it is again the Imperishable that is the source of all things.

That which is invisible, impalpable, without family, without caste,  
Without sight or hearing, without hand or foot,  
Eternal, all-pervading, present everywhere, exceeding subtile,  
That is the Imperishable (*avyaya*) which the wise perceive as the  
source of creatures.

As a spider emits and draws in [its threads],  
As herbs arise on earth,  
As the hairs of the body and head [arise] from a living person,  
So from the Imperishable arises everything here.<sup>1</sup>

This theme is again taken up in 2.1.1:

As from a blazing fire sparks  
Issue forth in their thousands with [individual] forms,  
So from the Imperishable, my friend, beings manifold  
Are born and thither also they return.

The Imperishable, then, is here regarded as the source of all being and, one would think, the first cause. It is, moreover, also Brahman, the immortal and the real (2.2.1). It is

Manifest, yet hidden: 'moving in secret' is its name,  
The great abode. In that is placed  
What moves and breathes and winks.  
What that is, know as Being and Not-Being,  
An object of desire, higher than understanding,  
Best of creatures.

<sup>1</sup> *Muṇḍaka Up.*, 1.1.6-7.

It is the last word that is astonishing in the context, for Brahman, the imperishable being, is here referred to as a creature—the best of creatures, certainly, but a creature (*prajā*) none the less. This could be interpreted simply as loose phraseology, but there is other evidence in the Upaniṣad to show that the Imperishable Brahman is regarded as being subordinate to another principle, Puruṣa, the Supreme Person.

Heavenly, formless is the Person,  
He comprises without and within, unborn is he:  
Without breath, without mind, effulgent,  
Higher than the high Imperishable.<sup>1</sup>

And this superiority of the Person to Brahman is confirmed in a later passage<sup>2</sup> where he is described as the Maker, Lord, and Person whose womb is Brahman. This would appear to be the sense of *brahmayoni* rather than ‘the source of Brahman’ as it is generally translated, or ‘who has his source in Brahman’<sup>3</sup> (giving precisely the opposite meaning!); for Bhagavad-Gītā, 14.3 is clearly a development of this same idea, and there it is for once unambiguously stated that ‘the great Brahman is my womb; therein I plant my seed. From that is the coming-to-be of all contingent beings’. Brahman, then, is the *materia prima* which is regarded as being constant through change, and Puruṣa, the great Male, is its Lord and the agent which forms it.<sup>4</sup> This at any rate can be deduced from this passage (3.1.3). But it would be useless to insist that this distinction is maintained throughout even so short an Upaniṣad as the *Muṇḍaka*. There seems to be no difference between the Imperishable Brahman of the first *Muṇḍaka* and the Puruṣa of the first *khāṇḍa* of the second. Both seem to be based on *Bṛhadāraṇyaka* 3.8; both are eternal, and both are the source of all things.

In the second *khāṇḍa* of the second *muṇḍaka* the Puruṣa is again quietly forgotten, and the *ātman* takes its place. The *ātman* too is ‘he on whom the earth, the sky, and the atmosphere are woven, and the mind together with all senses’.<sup>5</sup> He is ‘the bridge of immorta-

<sup>1</sup> Ibid., 2.1.2.

<sup>2</sup> Ibid., 3.1.3.

<sup>3</sup> So Max Müller.

<sup>4</sup> *karṭṛ*: *Muṇḍaka* Up., 3.1.3.

<sup>5</sup> Ibid., 2.2.5.

lity', and he is also 'the more minute than the minute . . . set down in the secret place [of the heart]'.<sup>1</sup> Yet here again a distinction is made, for whereas the Imperishable Brahman is 'without sight or hearing',<sup>2</sup> and the Puruṣa is 'without life-breath or mind',<sup>3</sup> the *ātman* is to be 'known by thought'<sup>4</sup> because it *does* possess a mind.<sup>5</sup> This is important, for it explains how the *ātman* can choose its devotees; for we read in 3.2.3:<sup>6</sup>

This *ātman* is not to be obtained by instruction,  
By intellect, or much lore heard;  
He is to be obtained by him whom he chooses.  
To such a one the *ātman* reveals his own form [*tanūm*].

The *ātman* is here regarded as a personal force which chooses whomsoever it will. Liberation is no longer regarded as something that can be attained by one's own effort; it depends on the grace of the *ātman*. The *ātman*, moreover, though it is 'he on whom, the sky, the earth, and the atmosphere are woven', is in the preceding verse spoken of as the arrow which should be shot into the target which is Brahman: it is then the means by which one obtains Brahman, not Brahman itself. This explains why it is also called the 'bridge of immortality'.<sup>7</sup> Moreover, the *ātman* itself disappears in the featureless Brahman when the final goal is reached.

Those ascetics whose firmly determined aim is the knowledge of the  
Vedānta,  
Whose being is purified by the Yoga of renunciation,  
They at the end of time, surpassing death,  
Are all liberated in the Brahman-worlds. . . .

Gone are [all] deeds and the *ātman* which consists of knowledge;  
All become one in the Imperishable (*avyaya*) beyond.

<sup>1</sup> Ibid., 3.1.7.

<sup>2</sup> Ibid., 1.1.6.

<sup>3</sup> Ibid., 2.1.2.

<sup>4</sup> Ibid., 3.1.9.

<sup>5</sup> Ibid., 2.2.7.

<sup>6</sup> This stanza is also found in *Kaṭha Up.*, 2.23.

<sup>7</sup> *Muṇḍaka Up.*, 2.2.5.

As rivers flowing into the ocean  
 Disappear, leaving behind them name and form,  
 So too the one who knows, released from name and form,  
 Draws near (*upaiti*) to the divine Person beyond the beyond.<sup>1</sup>

Now it must be admitted that in the *Muṇḍaka* the descriptions of Brahman, Puruṣa, and *ātman* do not vary greatly; and it is quite legitimate to see in them all the supreme principle, for the descriptions of them resemble each other closely. This, however, should not blind us to the distinctions, and it is important to consider what these are, for they are further developed in the Bhagavad-Gītā and are the first steps in the change of orientation from pantheism to a recognizable form of theism. Brahman is the source of all phenomenal being, it is imperishable; but Puruṣa, the personal God, is 'beyond the imperishable',<sup>2</sup> he is *parāt param* 'beyond the beyond'.<sup>3</sup> The *ātman*, on the other hand, though all-pervading is nonetheless only the arrow aimed at the target Brahman. It is *viññānamaya* 'possessed of knowledge', but it must ultimately disappear and become one with the imperishable Brahman.<sup>4</sup> Yet even in the *Muṇḍaka* Upaniṣad this sublime union of all souls in Brahman, if such it is, is not regarded as union with God. The 'one who knows' and is released from 'name and form' and has in very deed become Brahman,<sup>5</sup> does not thereby become Puruṣa or God, he is merely in a position to draw near to God, for the word *upaiti* means no more than this. Further it is perhaps worth pointing out

<sup>1</sup> Ibid., 3.2.6–8.

<sup>2</sup> Ibid., 2.1.2.

<sup>3</sup> Ibid., 3.2.8.

<sup>4</sup> Ibid., 3.2.7. The sense of *ekībhavanti* is not absolutely clear. The phrase occurs in *BrUp.*, 4.4.2 ff. where it refers to a dying man whose senses become one, that is, are extinguished in the heart. Here the meaning appears to be 'he is becoming a unified or unitary being, one in himself'. *Praśna*, 4.2, however, is closer to our passage. In it the rays of the setting sun *ekībhavanti* in an orb of brilliance, i.e. the setting sun which no longer appears to emit rays. Our passage can, then, either mean 'All individual souls become unified [in themselves, i.e. integrated] within [the sphere of] the imperishable beyond', or 'All individual souls become one in the imperishable beyond', i.e. one with it. Both senses are permissible, and the phrase is probably deliberately ambiguous. 'All become unified in the imperishable beyond' would probably best render the meaning of the text.

<sup>5</sup> Ibid., 3.2.9.

that this is precisely the word used for a pupil approaching his master for instruction<sup>1</sup> and that this is by far the commonest meaning of the word in the Upaniṣads. The purport of all this seems to be that the released soul who has realized his essential unity with all things in Brahman, the Imperishable ground of all being, is then and only then fit to approach God. All things proceed from Brahman as sparks from a fire, and all things return to him as rivers to the sea; things, then, are one in the sense that they are all made of one substance, and the realization of this causal oneness in which all sense of individuality is lost, is regarded by the author of the Upaniṣad as being a necessary preliminary in any approach to him who is beyond the beyond, who is beyond immortality. The means of attaining to oneness with Brahman are described by the *Muṇḍaka* as the Yoga of renunciation, and it is with this Yoga that the first six chapters of the *Gītā* are concerned. The thinking of the *Gītā* begins where the *Muṇḍaka* leaves off.

The *Gītā*, however, differs from the *Muṇḍaka* in that Buddhist influence would appear to have affected it. Thus we find the Buddhist term *nirvāṇa* appearing in conjunction with Brahman,<sup>2</sup> and *nirvāṇa* is, of course, a Buddhist, not an Upaniṣadic conception. Similarly the term *brahmabhūta*<sup>3</sup> would seem to be directly borrowed from Buddhism, for the term in this form is not found in the Upaniṣads, although the form *brahma bhavati*<sup>4</sup> is twice attested. In Buddhism, on the other hand, it is a common term for one who has attained liberation or enlightenment.<sup>5</sup> Buddhism, however, while accepting the term, never attempted to define what was meant by it any more than it attempted to define *nirvāṇa* more exactly than as the 'deathless', that is, a state beyond space and time. The simile of the ocean, however, is applied to *nirvāṇa* in the *Udāna*<sup>6</sup> just as it is to Brahman in the Upaniṣads. It seems, then,

<sup>1</sup> ChUp., 4.4.3: *Kauṣītaki* Up., I.1: BrUp., 2.1.14, 15: 6.2.7: *Prāśna* Up., I.3: 6.1.

<sup>2</sup> BG., 2.72: 5.24-26.

<sup>3</sup> Ibid., 5.24: 6.27: 18.54.

<sup>4</sup> BrUp., 4.4.25: *Muṇḍaka*, 3.2.9.

<sup>5</sup> E.g. *Dīgha Nikāya*, iii.84: *Majjhima*, i.111: iii.195, 224: *Saṃyutta*, iii.83: iv.94: *Anguttara*, ii.206: v.226: *Suttanipāṭa*, 561, 563.

<sup>6</sup> p. 55.



fairly safe to conclude that when the Gītā uses the word *brahma-bhūta* it meant that the soul was merged in a world-soul and not, as Rāmānuja held, that it simply realized its own essence. Both the Buddhist usage and the *Muṇḍaka* passage on which the *brahma-bhūta* passages of the Gītā seem to be based, bear this interpretation out.

As we have seen, the *Muṇḍaka* introduces two new ideas into Brahmanical thought. It not only makes a distinction between the *ātman*, Brahman, and Puruṣa or God, but it also introduces the idea of divine election, though it is the *ātman*, not God, who is here the agent of election.

The *Śvetāśvatara* develops the idea much more fully. God is there the origin and Lord of both the perishable and the imperishable (*kṣara* and *akṣara*),<sup>1</sup> over the world of coming-to-be and passing away and over the world of eternal values, over what the *Śvetāśvatara* Upaniṣad calls 'ignorance' and 'knowledge'. This equation of 'ignorance' with 'becoming' and 'knowledge' with 'being' was later worked up in a grandiose manner by Śaṅkara into his famous doctrine of cosmic ignorance as the ultimate source of phenomenal being. The idea was already present in the *Iśā* Upaniṣad (9, 13) where ignorance and knowledge are concepts parallel to, but not identical with, 'becoming' and 'not-becoming'. In both the *Iśā* and the *Śvetāśvatara* 'being' and 'becoming' are categories of existence. The Imperishable Brahman is the category of being, but it is also the source of the category of becoming; but, in the *Śvetāśvatara* at least, God is supreme over both aspects of Brahman, and he is responsible for the conjoining of the two as well as for their separation: this constitutes his supernatural power or *māyā*.<sup>2</sup>

In the *Śvetāśvatara* the figure of God stands out from all that is other than he far more clearly than in any other Upaniṣadic literature, yet even in this Upaniṣad liberation is not considered to be union with God. God can be contemplated and known, and such knowledge brings liberation,<sup>3</sup> but knowledge of him does not mean union with him.<sup>4</sup> Liberation is described as either to be

<sup>1</sup> 1.10: 5.1.

<sup>2</sup> *ŚvetUp.*, 4.9-10.

<sup>3</sup> *Ibid.*, 1.11: 2.15: 4.16: 5. 13: 6.13.

<sup>4</sup> See my *At Sundry Times*, pp. 111-12.

merged in Brahman,<sup>1</sup> as realization of oneness,<sup>2</sup> as isolation,<sup>3</sup> or as access to Brahman.<sup>4</sup> The reason why the author does not speak of union with God but rather prays God to give him access to Brahman, is probably that his God, though he is Lord of both the finite and the infinite in a way that the God of the *Yogasūtras* is not, is nevertheless seen against a Sāṃkhya-Yoga background, and union with him is therefore not considered possible. Moreover, the emphasis is still all on intellectual contemplation, and there is no suggestion that God is a legitimate object of love. Liberation is interpreted as either a merging into Brahman as in the *Muṇḍaka* or as isolation as in the *Yogasūtras*. The two are not considered incompatible. In the words of the *Muṇḍaka* 'All become unified in the Imperishable beyond'.

It is always unsafe to generalize about the Upaniṣads, so contradictory are they of themselves and so maddeningly imprecise is their terminology. Yet it is nonetheless not wholly false to say that in the verse Upaniṣads there is a just perceptible movement away from a monist-pantheistic view of the universe towards a more overtly theistical one. God begins to detach himself from the universe and to take on personal characteristics. The theology of the *Śvetāśvatara* would appear to be approximately this:

God is the supreme Lord of the universe, and all the universe proceeds from him 'in whom this whole universe comes to be and dissolves'.<sup>5</sup> The created world which emanates from him is Brahman (which already in the *Muṇḍaka*<sup>6</sup> is called the best of *creatures* (*prajānām*)); and Brahman is twofold,<sup>7</sup> the imperishable and the perishable. The imperishable is what the Buddhists understand by *nirvāṇa*, an eternal mode of existence, but it is also the unchanging ground of the changing universe. It is both the unchanging *per se*, the state of being experienced by the liberated soul, and the *materia prima* of the universe which, though constantly taking on different forms remains, as *materia prima*, always the same. As the *Chāndogya* puts it: 'Just as everything made of clay can be known in one lump of clay—any new form it takes being but a verbal

<sup>1</sup> *ŚvetUp.*, 1.7.

<sup>2</sup> *Ibid.*, 2.14.

<sup>3</sup> *Ibid.*, 1.11.

<sup>4</sup> *Ibid.*, 6.10.

<sup>5</sup> *Ibid.*, 4.11.

<sup>6</sup> 2.2.1.

<sup>7</sup> *ŚvetUp.*, 1.10: 5.1.

distinction, a name—the reality is clayness’,<sup>1</sup> so too primal matter preserves an unchanging identity beneath the world of change. This dualism is reflected in the life of the individual being: to the ‘perishable’ aspect of the universe corresponds the soul that is still in bondage to matter, to the ‘imperishable’ the released soul. The bound soul is steeped in ignorance, the released one is the possessor of saving knowledge, ignorance and knowledge being identified with becoming and being respectively.<sup>2</sup> God is the ruler of both and therefore committed to the world of becoming as much as to that of being. *Māyā* is God’s power active in Nature,<sup>3</sup> what we would call the laws of Nature, God’s creative power from which he is inseparable,<sup>4</sup> and this power in turn is identified with Nature as such.<sup>5</sup>

Both the *Śvetāśvatara* and the *Gītā* introduce Sāṃkhya terminology into the already sufficiently confused vocabulary of the Upaniṣads, but this should not blind us to the fact that neither in the Upaniṣads nor in the *Gītā* is there an absolute duality between the imperishable and perishable, the *puruṣa* and *prakṛti* of the Sāṃkhya, for both are combined in the ‘imperishable infinite city of Brahman’,<sup>6</sup> and the ruler of the city is God. From the individual’s point of view liberation means crossing over from one side of the city to the other, a transition from the status of a slave to that of a lord, and this high estate can be reached by meditating on the Lord of lords.<sup>7</sup> In this sense liberation means to become *like* God, not to unite with him, but to resemble him in his immortality and freedom.

Hindus reverence the Vedas and more particularly the Upaniṣads, the end of the Veda or Vedānta, as revealed truth, the *brahman* or sacred word of the Supreme Spirit. By revelation we in the West understand a revelation by God of his own nature: we expect revelation to have a positive content. The Upaniṣads, however, reveal a God who is essentially a *deus absconditus*, ever elusive to the human mind. The real message of the Upaniṣads is not so much the identity of *ātman* with Brahman, which amounts to a

<sup>1</sup> ChUp., 6.1.4.

<sup>2</sup> ŚvetUp., 5.1.

<sup>3</sup> ŚvetUp., 4.9–10.

<sup>4</sup> Ibid., *devātma-śakti*, 1.3.

<sup>5</sup> Ibid., 4.10.

<sup>6</sup> Ibid., 5.1.

<sup>7</sup> Ibid., 1.11.

tautology—for either word is used to mean almost anything—as that the human soul is immortal: its being is bound by neither space nor time:

Unborn, constant, eternal, primeval this  
Is not slain when the body is slain. . . .

More minute than the minute, greater than the great  
Is the soul that is set in the secret place of a creature here.<sup>1</sup>

The Gītā, though it does not enjoy the status of *śruti*, is a revelation in quite another sense; it is a revelation of the nature and attributes of God and of his relationship to man. The God of the Upaniṣads, like the God of the *Yogasūtras*, though real and the source of reality, is yet not the object of man's desire; he is only the object most worthy of his contemplation: 'By knowing God one is released from all fetters.'<sup>2</sup> The God of the Gītā is quite different; he is a God of love and grace whom it is possible to know at least in part, and so to love him.

In these lectures I shall have no time to undertake any detailed analysis of the Gītā. All I shall have time to do is to analyse its theology, to enquire into the relationship between God and Brahman, and the relationship between liberation and the new and totally different concept of the love of God. Rāmānuja divides the eighteen chapters of the Gītā into three parts of six chapters each. The subject-matter of the first is psychology; it concerns itself with the nature of the soul. The second section is concerned with theology, the nature and attributes of God, and the last is devoted to miscellaneous topics, the full and 'most mysterious' doctrine of all being summed up in the conclusion of the last chapter.

Rāmānuja, like all the ancient commentators, treats the Gītā as a united whole. Modern commentators, on the other hand, have all been struck by the contradictions that are everywhere apparent in it. Some, like Garbe and more radically Otto, have sought to construct an original Gītā which would be coherent in itself from what seems to be an extraordinary hotch-potch of mutually

<sup>1</sup> *Kaṭha Up.*, 2.18, 20.

<sup>2</sup> See above, p. 53 n. 3.

exclusive doctrines. Others, like Hill and Edgerton, are happy to accept the contradictions as they stand on the grounds that what seems self-contradictory to us has never been felt to be so by the Hindus. In these lectures I have no alternative but to treat the Gītā as a single whole.

Now in trying to establish that there is a real distinction between God and Brahman in the Gītā, one is immediately faced with the problem of the terminology used. *Ātman*, for instance, is indisputably used to mean both the 'immortal soul' which cannot die and what we would call the 'ego', concepts that correspond quite exactly to the *rūḥ* 'spirit' and *nafs* 'carnal soul' of the Ṣūṭīs. Indeed, in BG. 6.5–6 the word *ātman* seems to indicate not only both these, but also the human person as such. The passage runs as follows:

*uddhared ātmanā' tmānaṁ  
nā' tmānam avasādayet.  
ātmaiva hy ātmano bandhur,  
ātmaiva ripur ātmanaḥ.*

*bandhur ātmā' tmanas tasya  
yeṇā' tmaivā' tmanā jitaḥ;  
anātmanas tu śatrutve  
vartetā' tmaiva śatruvat.*

Without any explanatory footnote Edgerton translates as follows:

One should lift up the self by the self,  
And should not let the self down;  
For the self is the self's only friend,  
And the self is the self's only enemy.

The self is a friend to that self  
By which self the very self is subdued;  
But to him that does not possess the self, in enmity  
Will abide his very self, like an enemy.

This translation, as it stands, is meaningless, and those of Otto, Hill, and Radhakrishnan are better only in so far as they employ a capital S to distinguish the two senses of 'self'. There is, however,

a further difficulty, for not only is the word *ātman* used in its usual two senses, that is, to mean the 'immortal soul' on the one hand and as a reflexive pronoun on the other; it is also used in the sense of the 'lower self', the 'animal soul' as exemplified in *kāma-krodha* 'desire and anger'. As Radhakrishnan points out, the idea is already present in the *Dhammapada*,<sup>1</sup> where it is stated that 'the self is the lord of self', meaning that the 'immortal soul' is lord of the total human personality or of the ego.<sup>2</sup> This triple ambivalence of the word *ātman* is extremely confusing, but it is common both to the *Gītā* and the twelfth book of the *Mahābhārata*.<sup>3</sup> It is, however, no longer mysterious if we set it alongside the parallel Muslim development where different words are used to indicate the three different meanings. Against the background of Muslim terminology which expresses the same ideas with greater clarity, we should translate:

[A man] should uplift himself by his spirit: he should not degrade himself. Spirit is man's friend, the animal soul his enemy. Spirit is the friend of him who has himself conquered the animal soul; but as an enemy would spirit behave to him who is spiritless.<sup>4</sup>

When translated thus the meaning of the text becomes perfectly clear.

The case of *ātman*, however, is only one case of a word being used to indicate two or more totally different things. The word *brahman* is another case in point. In chapter 5.16—end it is obviously used in the sense of 'an eternal mode of existence', 'world-soul', or 'impersonal Absolute'—the exact nuance cannot be allowed to detain us now—whereas in § 10 of the same chapter it means 'Nature' or *prakṛti*,<sup>5</sup> and it is in this sense that the word is used in

<sup>1</sup> § 380.

<sup>2</sup> On 'self' in Buddhism see my *At Sundry Times*, pp. 98–103. The ego, for the Buddhists, is, of course, considered to be an illusion. There is no continuity in the human personality, and a man is not the same today as he was yesterday. To speak of an 'ego' is, then, a concession to popular terminology.

<sup>3</sup> E.g. 6509, 6558, 8748, 8802, 8962, etc.

<sup>4</sup> That is, denies spirit. Cf. *Isā Up.*, § 3 which speaks of 'slayers of the *ātman*' meaning those who stifle it: see Hume's note *ad loc.*

<sup>5</sup> See below, p. 67.

14.3 where Krishna says: 'The great Brahman is my womb, in him I place my seed.'

Again even the word *īśvara*, 'the Lord', is not exempt from confusion, for in 15.8 it is used to mean the individual soul which is here expressly stated to be *part* of God. This, incidentally, amounts to proof that when the *Śvetāśvatara* Upaniṣad (1.11) speaks of the soul achieving 'lordship' at death, it does not mean that it becomes *īśvara* or God *tout court*, as might otherwise be supposed, for the same Upaniṣad (4.10) also says that 'the whole world is pervaded by beings that are part of him', that is, individual souls. *Aiśvaryam* is no more used in an absolute sense there than is *īśvara* in BG, 15.8. The one case proves the other, and the achievement of lordship means no more than the passage from the condition of being a bondsman to matter to the complete freedom of the spirit. Similarly in the fifth chapter of the *Gītā* which superficially appears to be devoted to the exaltation of the impersonal Brahman above the Lord, it is said that the Lord (*prabhu*) does not initiate agency and actions in this world, nor does he receive anyone's good or evil deeds, but this must refer to the soul 'embodied in the city of nine gates' mentioned in § 13, that is, the individual incarnate soul, not the universal Lord who is Krishna.

With these qualifications in respect of terminology in mind we are now in a position to consider the respective rôles of God and Brahman in the first chapters of the *Gītā*. Rāmānuja is quite right in maintaining that the main theme of these chapters concerns the soul and the methods by which it can obtain liberation. One of the arguments Krishna uses in his attempt to persuade Arjuna to go into battle is that, since the soul is immortal, it cannot be affected by the death of the body; and for this purpose he relies on the authority of the *Kaṭha* Upaniṣad.<sup>1</sup> But it is not clear whether the *Gītā* is here thinking in terms of a plurality of souls, or one world-soul, or both. In 2.12 Krishna says: 'Never was there a time when I was not, nor thou, nor these lords of men, nor shall we ever cease to be, all of us, henceforward', and he thereby seems to assume the eternal existence of a plurality of souls. Nor is this necessarily

<sup>1</sup> BG., 2.19 = *Kaṭha Up.*, 2.19.

contradicted by § 17 which speaks of an indestructible neuter something by which the whole world is pervaded.<sup>1</sup> This is elsewhere identified with God 'in the form of the unmanifest'<sup>2</sup> or with the Supreme Person,<sup>3</sup> a confusion which I have sought to explain elsewhere.<sup>4</sup> § 18 then goes on to say that 'bodies which belong to an (or the) eternal soul come to an end'; and it is quite impossible to say whether the author is here speaking of the neuter principle which pervades the whole universe or of the individual soul spoken of a few verses earlier, since the relevant words are in the genitive case in which masculine and neuter are not distinguished. However, the word used for soul is *śarīrin-*, 'embodied one', and this is exactly parallel to the *dehin-* of identical meaning which appears in § 13. Hence we must conclude that the author here admits of a plurality of souls on the one hand and an indestructible something which pervades the whole universe on the other; and that, of course, is Brahman. The co-existence of a plurality of individual souls and a world-soul, however, is not necessarily self-contradictory; and, given the two concepts, liberation can be taken to mean either the realization of one's own unitary being within the universal 'soul-stuff' of Brahman, or merging into Brahman, both of which are admitted by the *Śvetāśvatara* Upaniṣad; or again it may be taken to mean actual identity with Brahman.

The various means of achieving this state—*jñāna*, *yoga*, and *sannyāsa*—need not detain us, for they all have this in common, that they aim at a complete detachment from and indifference to all that is not the immortal soul. This is achieved primarily by the conquest of desire and anger,<sup>5</sup> as well as of the delusion that the soul or *ātman* is in any sense an agent;<sup>6</sup> for if liberation into a timeless state is to be achieved, it is essential to realize that the soul cannot be an agent, since action can only take place in time.

Now in the first six chapters God is scarcely spoken of in relation to liberation except as an object of contemplation; and he is to be contemplated as the Supreme Being who, although he is not for a minute idle in his ordering of the world, is nonetheless, in the

<sup>1</sup> Or 'by whom all this world is woven'. See my *At Sundry Times*, pp. 124–5.

<sup>2</sup> BG., 9.4.    <sup>3</sup> 8.22.    <sup>4</sup> See *At Sundry Times*, *ibid.*    <sup>5</sup> 3.37 ff.    <sup>6</sup> 5.8.



ground of his being, wholly unsullied by what he does. The conception is not very different from that of *īśvara* in the *Yogasūtras* except that the God of the *Gītā* is God in every sense of that word, the Eternal, who creates, sustains, and reabsorbs the universe. 'Know,' Krishna says, 'that though I am the doer of this, I am eternally a not-doer. Actions do not stain me, for I have no yearning for their results.'<sup>1</sup> Thus by contemplating the God whose action keeps the world in being, yet who eternally remains changeless in his essence, the devotee realizes that he himself, constantly involved in action though he may be, is at the root of his being eternally at rest. Contemplating God thus he will 'see all things without exception in his own soul, and then in [God]'.<sup>2</sup>

*Man 'arafa nafsahu, fa-qad 'arafa rabba-hu*, says a well-known Ṣūfī tradition attributed to the Prophet: 'Who knows himself, knows his Lord', and this text forms the subject-matter of the first book of Ghazālī's *Kīmīyā-yi Sa'ādat* with which we shall be dealing later. By seeing oneself as the microcosm of the divine, one comes to know the divine itself. To realize one's own immortal soul as eternal and yet as active in and through the body, controlling it through its link with matter, yet forever unaffected by what it does, is to understand *in parvo* the relationship of God to the world. Such a man cannot be afflicted by pleasure or pain, for they will not touch his deepest essence. That this is really the meaning of the *Gītā* seems clear from the fact that in this passage self-realization is regarded as being prior to knowledge of God: the liberated soul sees all things first in himself and *then* in God. The same idea is repeated in 6.29–30. 'The man who is integrated by Yoga discipline<sup>3</sup> sees his *ātman* as being in all things and all things in his *ātman*,<sup>4</sup> considering all things indifferently (*samadarśanaḥ*). For him

<sup>1</sup> 4.13–14.

<sup>2</sup> 4.35: cf. 6.29–30.

<sup>3</sup> *yogayuktātmā* would seem to mean what we would call an 'integrated personality' in the Jungian sense of a personality no longer centred on the ego but on what Jung calls the 'self' which has its being outside time. See my *Mysticism, Sacred and Profane*, pp. 109–10 and *At Sundry Times*, p. 77.

<sup>4</sup> This state would appear to be what the *Yogasūtras* call *sarvaviśayam jñānam*, the intuitive knowledge of all things which is said to precede the final isolation of the soul. See above, p. 33.

who sees me everywhere and all in me, I am not lost, nor is he lost to me.' Here again it is the realization that one's soul, because it is eternal and beyond space and time, must somehow comprise all that is conditioned by space and time, that leads on to the understanding of God in whom all things move and have their being. The next verse makes this point abundantly clear. '*By analogy with oneself*', it says, 'he who sees the same everywhere (*sama-*, that is, "changeless, spiritual being") whether it be [manifested in] pleasure or pain, is deemed the highest Yogin.' *Sama-* is used in the *Gītā* to mean what is unaffected by pleasure and pain or any of the other opposites,<sup>1</sup> and is once equated with Brahman.<sup>2</sup> Brahman, then, in these contexts means that which, itself being changeless, is unaffected by change or by anything that goes on in the phenomenal world. It is in fact the quality of eternity in both God and human souls. In this state the soul is freed from all desire and has no consciousness of 'I' or 'mine': it is the state of Brahman.<sup>3</sup> Similarly in the fifth chapter the man who has achieved complete indifference to all earthly things, is established in Brahman, he goes to the *nirvāṇa* of Brahman and actually becomes Brahman;<sup>4</sup> and only by becoming Brahman can he then approach God. By analogy with the experience of his own soul man is now in a position to understand the nature of God.

The state of becoming Brahman is achieved in both the fifth and sixth chapters by intense Yogic concentration and introspection, the mind being subjected entirely to the *ātman* or immortal soul.<sup>5</sup> This is endless bliss,<sup>6</sup> and the Yogin who achieves it is the highest of his kind.<sup>7</sup> He is superior to the ascetic, the *jñānin* or gnostic, as well as to the more humble mortal who performs his religious duties conscientiously; for he has realized the eternal nature of his soul—he has become Brahman which is at the same time his inmost soul. But, says Krishna in the last verse of Chapter VI: 'Of all Yogins I consider him to be the most integrated (*yukta*) who worships me with faith, his inner soul lost in me.'

It would, then, seem clear that in the *Gītā* itself, and not only in

<sup>1</sup> 2.38, 48: 4.22: 12.18.      <sup>2</sup> 5.19.      <sup>3</sup> 2.71-72.      <sup>4</sup> 5.24-26: 6.27.

<sup>5</sup> 6.18, 26.      <sup>6</sup> 6.28.      <sup>7</sup> 6.32.

Rāmānuja's commentary, liberation is seen not as an end in itself, but as a stage on the way to union with God. It is the essential purification that must precede any approach to God, the *zuhd* or ascetic preparation that must precede the mystic's *qurb* or 'drawing nigh' to God. Brahman, then, even in the first six chapters of the *Gītā*, is not the ultimate reality. It is rather what the Buddhists understand by the word—an eternal mode of existence in which all liberated souls share, and it is no accident that the *Gītā* so often falls back on Buddhist terminology. In our next lecture we shall have to consider how the *Gītā* and Rāmānuja conceive of the liberated soul's relationship with God.

## IV

### The God of Love

WE saw in the last lecture that, in the first six chapters of the Gītā, liberation was regarded as ‘becoming Brahman’, which seems to mean, as it does in Buddhism, to enter into an eternal form of existence. The term *brahman* seems to be formally equated with the Buddhist *nirvāṇa* in the compound *brahma-nirvāṇa*.<sup>1</sup> We shall now have to consider first the relationship of Krishna as God to Brahman as the impersonal Absolute, and secondly his relationship to the released soul which, as *brahma-bhūta*, has already realized itself as Brahman.

The general impression conveyed by the last two-thirds of the Gītā is that God both is Brahman and is yet higher than it. Let us see whether a closer examination of the text enables us to be more precise.

We must first consider one passage in which God would appear to be only an aspect of Brahman:

Brahman [the text reads], is the supreme Imperishable; it is called Nature in its relationship to the human person (*adhyātmam*); as the creative force known as *karma* it causes the conditions of contingent being to come into existence. In its relationship to contingent beings it is what is subject to coming-to-be and passing away (*kṣaro bhāvaḥ*). In its relationship to the gods it is Puruṣa. In its relationship to sacrifice I am it here in the body.<sup>2</sup>

<sup>1</sup> BG., 2.72: 5.24, 25, 26.

<sup>2</sup> BG., 8.3–4. *Adhyātmam*, *adhibhūtam*, and *adhidaivatam* are all common Upaniṣadic terms meaning ‘with reference to the human person’, ‘with reference to creatures or contingent beings’, and ‘with reference to the gods’. See Jacobi’s *Concordance*, ad loc. Here they would seem clearly to be used adjectively agreeing with *brahman*. To translate them as if they were independent substantives as Edgerton, Hill, and others, following the Indian commentators, have done is quite contrary to the Upaniṣadic use of the words, and seems quite unjustified. The

In this passage, then, it would appear that God is merely Brahman in its relationship to the religious cultus; he is not identical with the supreme Imperishable, but only one aspect of it. This single instance of the subordination of God to the 'supreme Imperishable', Brahman, however, seems contrary to the main trend of the *Gītā*, and is probably to be interpreted against the background of 10.20 ff. in which Krishna describes himself as the best of each category of being, by which, presumably, he means that it is he who, by entering each category of being, gives it value. Moreover Krishna, as personal God, is the only true object of worship, and even worship offered to false gods is acceptable to him;<sup>1</sup> he is, then, in a very special sense the 'supreme Imperishable' in its relationship to sacrifice.

There are, moreover, a number of passages in which God seems to be merely a personalized version of Brahman. Thus, like Brahman, he is *sama-*,<sup>2</sup> unaffected by change and emotion, and as such devoid of love or hatred. Again he is formally addressed as the 'supreme Brahman, the supreme abode'<sup>3</sup> although Brahman itself is elsewhere referred to as God's supreme abode or law.<sup>4</sup> Like Brahman again he is the All,<sup>5</sup> devoid of qualities, and the *ātman* established everywhere.<sup>6</sup> Thus even in the *Gītā* Indian religion remains rooted in pantheism; and God, like Brahman, therefore, must not only be the cause of all things, he is also all things. What is surprising rather is not that he is sometimes identified with Brahman, but that in several passages at which we must now look, he is raised far above it.

We have just said that although Brahman is described as God's 'supreme abode or law (*dhāman*)', God is himself thus described.

evidence of the Upaniṣads is overwhelmingly strong and totally against any such interpretation. *Adhiyajño* agrees grammatically with *aham* in the usual way, but still refers to Brahman. 'I am Brahman in relationship to the sacrifice' is what Krishna is saying. *Adhyātma-* is in fact used in the sense indicated 37 times in the Upaniṣads, *adhibhūta-* 4 times, and *adhidaivata-* 14 times. The three occur together in *BrUp.*, 3.7.14-15 from which this passage from the *Gītā* no doubt derives. *Adhidaivatam* and *adhyātman* are regularly contrasted (12 times). *Adhiyajña* is an innovation in the *Gītā*.

<sup>1</sup> 9.20-27.

<sup>2</sup> 9.29; 13.27.

<sup>3</sup> 10.12; cf. 15.6

<sup>4</sup> 8.21; cf. 15.6.

<sup>5</sup> 11.40.

<sup>6</sup> 13.32; cf. 10.20.

This contradiction is only apparent; for in 8.21 Brahman, 'the unmanifest beyond the unmanifest', is described by *Krishna* as his 'supreme abode', whereas it is *Arjuna* who in 10.12 and again in 11.38 addresses *Krishna* as 'the supreme abode'. This merely shows that *Krishna* is propounding a doctrine that is totally new to *Arjuna*, one that at first he is unable to understand; for what God is asking him to believe is that he, the incarnate personal God, is higher and more fundamental than what had hitherto been universally accepted as the ultimate principle. For *Arjuna* Brahman was the ultimate ground of the universe, to which personal beings including the highest could only be subordinate; and Brahman, in the Upaniṣads, is that from which everything proceeds. He simply does not understand the new doctrine, and, in addressing *Krishna* as 'the supreme Brahman and the supreme abode', he acknowledges him as the ground of all being as taught in the Upaniṣads, the highest conception open to him. It is only after he has seen God in a vision specially vouchsafed to him that he is able to exclaim: 'And why should they not worship thee, for thou art more venerable than Brahman, thou, the original creator. O infinite Lord of the gods, in whom the world dwells, thou art the Imperishable, Being, Not-Being, and what is beyond both.<sup>1</sup> Thou art the primeval God, the ancient Person, thou art the supreme bourn of this universe; the knower and what is to be known art thou, the supreme abode; by thee is this universe pervaded,<sup>2</sup> O infinite in form.'<sup>3</sup>

*Arjuna* here, after he has been terrified by the vision of God as devouring Time,<sup>4</sup> acknowledges him as 'more venerable than Brahman' and as what is beyond being and not-being, that is, totally inaccessible to conceptual thought. This does not prevent him from again calling him 'the supreme abode', for that is traditional terminology for the highest principle, and as the greater than Brahman he includes Brahman as the whole includes the part, for as *Krishna* had already said of himself: 'I have established

<sup>1</sup> *Tatparam*, so Rāmānuja. *Tat param* 'that supreme' could equally be read.

<sup>2</sup> See above, p. 60, n. 1.

<sup>3</sup> BG., 11.37-38.

<sup>4</sup> 11.32.

the whole universe with one single fraction of myself, and so abide.<sup>1</sup>

Throughout the Gītā we are confronted with two principles which together constitute the whole of what we would call created existence, that is, all that has being of any kind and is other than God. The two principles are the eternal and the temporal, the static and the dynamic, the world of pure spirit and the world of thought, emotion, volition, sensation, and matter, the ideal and the phenomenal. Sometimes Sāṃkhya terminology is used, and we meet with the familiar *puruṣa* and *prakṛti*: elsewhere we meet with the two natures (*prakṛtis*) of the Lord,<sup>2</sup> or two 'unmanifests',<sup>3</sup> or again two *puruṣas*.<sup>4</sup> In Rāmānuja's theology the two together constitute Brahman, 'the body of the Lord', and this seems to be the consistent view of the Gītā itself.

In 7.5 ff. we are introduced to the two natures of the Lord. The lower consists of the elements, mind, consciousness, and the ego; the higher is *jīvaḥbhūtam*, organic life, we might translate, which is the womb or origin of all beings. This 'womb' of all things is elsewhere identified with Brahman,<sup>5</sup> and not only is it the origin of the world, it also keeps it in existence.<sup>6</sup> This Brahman, as origin and final resting-place of all phenomenal being, is the *mūla-prakṛti* or 'primal matter' of the Sāṃkhya, but not the 'primal matter' of Aristotle, for it is a living and dynamic force, instinct with energy even when it is at rest. It is much more 'matter' as interpreted by Marx and Engels, the 'most important of the inherent qualities' of which 'is motion, not only mechanical and mathematical movement, but still more impulse, vital life-spirit, tension'.<sup>7</sup> The two *prakṛtis* of the Lord are not, then, equivalent to the *puruṣa* and *prakṛti* of the Sāṃkhya, they are rather (i) living matter at rest, and (ii) living matter in motion. They are two aspects of the same thing—matter in the Marxian, not in the Aristotelian, interpretation of the word. Both constitute what is elsewhere called the 'unmanifest', the *avyakta*.

<sup>1</sup> 10.42.<sup>2</sup> 7.5 ff.<sup>3</sup> 8.18 ff.<sup>4</sup> 15.16.<sup>5</sup> 14.3.<sup>6</sup> 7.5.<sup>7</sup> K. Marx and F. Engels, *The Holy Family*, ed. Moscow, 1956, p. 172.

But here again there are two *avyaktas*, not one. The lower one corresponds to the lower 'nature' of the Lord; from it all beings derive, and into it they are dissolved;<sup>1</sup> but 'beyond this unmanifest there is another unmanifest, an eternal mode of being (*bhāva*) which does not perish when all contingent beings perish. This unmanifest is called the imperishable; it is [also] called the highest course. Once this is attained, men do not return [to the phenomenal world]. That is my supreme abode. That is the *puruṣa* beyond, attainable by exclusive devotion, in which [all] contingent beings dwell, by which this universe is pervaded.'<sup>2</sup>

This 'eternal unmanifest', being God's 'supreme abode', is, of course, Brahman. Brahman, then, is also the *paramā gatiḥ*, 'the highest course'<sup>3</sup> to which the liberated man attains. It is both *puruṣa* and *prakṛti*, 'soul' and 'Nature' as commonly understood—the unmanifest 'life-spirit' in matter and changeless being.

Both God and Brahman are referred to as 'what does not perish when all [other] beings perish',<sup>4</sup> and both are the unchanging substrate of the universe. 'When a man sees the various states of contingent beings as abiding in one [essence], . . . then he attains to Brahman':<sup>5</sup> similarly in the theophany of Chapter XI Arjuna is said to have seen 'the whole world abiding in one [essence] yet divided manifold<sup>6</sup> in the body of the God of gods'.<sup>7</sup> This does not mean that, even in Chapter XIII which is the most confused in the whole *Gītā*, God and Brahman are identical. Rather Brahman is the one essence in which all creation abides, and as such he is the body of God only, not his *ātman* or soul. This concept Rāmānuja was to develop much more fully later.

<sup>1</sup> BG., 8.18.

<sup>2</sup> 8.20–22.

<sup>3</sup> The words *para-* and *parama-* present the translator with a problem. The usual translations 'highest' and 'supreme' will hardly do since the 'highest course' is in fact itself transcended by God. Rāmānuja glosses: *ātma-svarūpaṁ viśayebhyaḥ param*, 'having the nature of soul, beyond sense objects'. Perhaps 'supra-sensory' would be as good a translation as any.

<sup>4</sup> Of God, see 13.27.

<sup>5</sup> 13.30.

<sup>6</sup> Cf. 13.16 of Brahman.

<sup>7</sup> 11.13.



We saw that in 8.22 the 'imperishable unmanifest' is identified with the '*puruṣa* beyond'. Elsewhere<sup>1</sup> the 'perishable' and the 'imperishable' are spoken of as two *puruṣas*, the higher of which is the *kūṭastha*-imperishable, 'the imperishable who stands on high', that is, the absolutely unchangeable: but the *Gītā* goes on to say: 'The supreme Person is another, it is called the supreme soul (*paramātmā*) who, entering the three worlds, supports them, the undying Lord.'

Thus the *Gītā* seems to be quite consistent in its analysis of being: it is only the terminology that varies, and that does vary quite inordinately. Being is divided into two categories which may conveniently be classed as what is liable to change and what is not. One is 'absolutely unchangeable, unmoving, firm', the 'undefinable unmanifest',<sup>2</sup> the other is the world of becoming. The unchanging side of being, however, pervades all that comes to be and passes away, and all things can therefore be said to exist in and through it. What is new in the *Gītā*'s teaching, however, is that there exists a being still higher, whose dwelling or law (*dhāman*) eternal being is, and who is at the same time the source of that law which in its turn emits and reabsorbs all contingent being. Though he too pervades all things eternal and temporal, he transcends them all, for he is their overseer:<sup>3</sup> though pervading them he at the same time stands apart from them, contemplates them and approves of them. He is the foundation of both eternal and temporal being: 'I am the foundation of Brahman, of the immortal and the imperishable, of the eternal law, and of absolute bliss'.<sup>4</sup>

Thus God is absolutely transcendent, but he is also absolutely immanent. Just as he is the foundation of Brahman, so does he indwell the soul, just as the soul indwells the body,<sup>5</sup> and it is he who, abiding in the human heart, causes creatures to act by his supernatural power (*māyā*).<sup>6</sup> Thus in the *Gītā* Krishna, as God, asserts his supremacy over the impersonal and indescribable Brahman, to become which or to realize oneself as which had been the aim of the Upaniṣadic sages. God, though taking over all the

<sup>1</sup> 15.16. The idea is based on *Muṇḍaka* Up., 3.1.2-3.

<sup>2</sup> 12.3.

<sup>3</sup> 9.10: 13.22.

<sup>4</sup> 14.27.

<sup>5</sup> 17.6: cf. 13.31.

<sup>6</sup> 18.61.

attributes of Brahman, nevertheless transcends Brahman as a personal God who wills and thinks and becomes incarnate.

It is now time to consider this new theory of a personal God existing beyond the impersonal Brahman in relationship to the soul in search of liberation and to liberation itself.

Liberation is either described in quite general terms, or in relation to Brahman, or in relation to God. It means reaching the beyond (*param*),<sup>1</sup> the state beyond (*param sthānam*)<sup>2</sup> or the 'course beyond' (*parā, paramā gati-*)<sup>3</sup> or highest goal as Edgerton translates, which, as we have seen, is a synonym for Brahman. It is an 'imperishable abode' (*padam avyayam*)<sup>4</sup> or one 'free from defect'<sup>5</sup> or again 'supreme success' (*sāmsiddhiṃ paramām*).<sup>6</sup> Sometimes it is referred to as *sukham* 'what is pleasant',<sup>7</sup> or 'what is supremely pleasant',<sup>8</sup> very often as 'peace' or 'cessation' (*śānti-*)<sup>9</sup> which is once equated with 'supreme *nirvāṇa*'.<sup>10</sup> If we accept Rāmānuja's interpretation of *para* as meaning 'beyond the senses', we obtain a clear picture of 'liberation' as being a state of absolute rest and quiet bliss in which there is neither change nor suffering, perfect and timeless peace. This is the condition of Brahman (*brāhmī sthitiḥ*);<sup>11</sup> and so it can be said that the liberated man not only goes to the eternal Brahman<sup>12</sup> or achieves contact with it,<sup>13</sup> it is also conformed to the nature of Brahman (*brahma-bhūyāya kalpate*),<sup>14</sup> becomes very Brahman,<sup>15</sup> and enters the *nirvāṇa* of Brahman.<sup>16</sup>

But what are the liberated man's relations with the Lord? Twice he is said to approach him<sup>17</sup>—and again the Upaniṣadic word for a pupil approaching his *guru* is used—or more plainly he goes to him.<sup>18</sup> Or again he participates in him through worship<sup>19</sup>—for the sense of participation must still be present in the root *bhaj-* since not only does the worshipper participate in the Lord, but the Lord also participates in him.<sup>20</sup> Thus he is made fit for deification (*mad-*

<sup>1</sup> 3.19.      <sup>2</sup> 8.28.      <sup>3</sup> 6.45: 8.13: 9.32: 13.28: 16.22.

<sup>5</sup> 2.51.      <sup>6</sup> 8.15.      <sup>7</sup> 5.21.

<sup>9</sup> 2.70, 71: 4.39: 5.12, 29: 6.15: 9.31: 18.62.

<sup>11</sup> 2.72: cf. 5.19, 20.      <sup>12</sup> 4.31: 5.6: 8.24: 13.30.

<sup>14</sup> 14.26: 18.53.      <sup>15</sup> 5.24: 6.27: 18.54.

<sup>17</sup> 9.28: 10.10.      <sup>18</sup> 4.9: 7.23: 9.25, 34: 18.65. 7.19 (*prapad-*). Cf. 7.18 (*āsthitah*).

<sup>19</sup> 7.28: 15.19.      <sup>20</sup> 4.11.

<sup>4</sup> 15.5.

<sup>8</sup> 6.21, 27.

<sup>10</sup> 6.15.

<sup>13</sup> 6.28.

<sup>16</sup> 5.24–26: 2.72.

*bhāvāyo' papadyate*),<sup>1</sup> approaches God's nature,<sup>2</sup> or simply goes to it.<sup>3</sup> Once indeed the worshipper is actually said to enter into God.<sup>4</sup> Such, then, is the bare evidence of what the Gītā conceives the relationship of the liberated soul to God to be.

There is one striking difference between the passages that refer to Brahman and those that refer to God: for whereas the liberated man actually becomes Brahman, he merely approaches God, and once only, right at the end of the Gītā where Krishna is expounding to Arjuna 'the most secret doctrine of all', does he speak of his devotee entering into him.

Rāmānuja claims that *ātmabodha* or 'self-realization', so far from being man's highest goal, is, on the contrary, only a prelude to *bhakti*, loving devotion to the Lord. We must now examine the Gītā itself to see whether the text bears him out.

The first six chapters, as we have seen, are mainly concerned with the realization of the self which is identical with Brahman. When God is mentioned in connexion with liberation, it is usually as the object of meditation, and it is only when he is thus meditated on that he can be approached. This is fully in accord with the Hindu belief that you go to or become what you worship, the worshipper of the gods going to the gods, and the worshipper of Krishna, the true God, going to him,<sup>5</sup> for 'what a man's faith is, so is he'.<sup>6</sup> Yet in Chapters V and VI which are directly concerned with the achievement of liberation, God, like the *īśvara* of the *Yogasūtras*, is little more than an object of meditation; he is not yet the higher than Brahman but the only eternally released soul. This seems clearly to be the case in Chapter VI where the meditation on God precedes the intense concentration on the self which leads to liberation. The peace that inheres in God and ends in *nirvāṇa* is contemplated *before* the soul achieves the *nirvāṇa* of Brahman. This is because the God of the sixth chapter is not the living God of the later chapters, but merely the supreme object of contemplation. By contemplating God as the exemplar of all released souls because he has never been bound by matter, the soul is then

<sup>1</sup> 13.18.

<sup>2</sup> 14.19.

<sup>3</sup> 4.10: 8.5-7.

<sup>4</sup> 18.55.

<sup>5</sup> 7.23: 9.25.

<sup>6</sup> 17.3.

enabled to plunge into its own essence and to realize that itself, at its deepest level, is not bound by matter. This, and not 'the peace that inheres in God', he considers to be 'the ultimate bliss attainable to consciousness and beyond sense' . . . a bliss 'which once attained, he thinks there is no other boon beyond'.<sup>1</sup> This is 'supreme bliss', and the Yogin has now become Brahman.<sup>2</sup> He has found what Martin Buber calls 'the original pre-biographical unity' of the soul in which 'the soul is bound to imagine that . . . it has attained to a union with the primal being or the godhead'.<sup>3</sup> Than this, the Yogin considers, there can be nothing higher; it is the ultimate bliss, and God, his perfect exemplar, therefore fades from his vision. Thus in Chapters V and VI Krishna describes how the Yogin and Sannyāsin attain to liberation which consists in the realization of one's own inmost soul as being eternal, *brahma-bhūta*, that is, existing outside time. Contemplation of God as *the* Eternal Being is useful to obtain this result, but it does not necessarily bring you any nearer to God himself. It is only in the later chapters that man's relation to God is considered, the final relationship between the released soul and God only being described at the very end of the book. The relationship between loving devotion and the state of liberation is, however, touched upon long before this. 'Men who are liberated from the delusion of the pairs of opposites, worship me with firm resolve',<sup>4</sup> Krishna says, and thereby implies that liberation is no more than a necessary first step on the path of the worship of God. Again it is only after one has integrated oneself that one can advance towards God;<sup>5</sup> and even more clearly we read: 'Thou who hast integrated thyself with the Yoga of renunciation, when liberated, wilt draw nigh to me.'<sup>6</sup>

The whole doctrine is clearly summed up at the end of the eighteenth chapter where it is made quite clear that 'to become Brahman' is only a first step on the way to God.

With consciousness cleansed [it says], integrated, restraining himself with firmness, abandoning the objects of sense, sounds and the rest, casting aside desire and hatred, cultivating solitude, eating lightly, with

<sup>1</sup> 6.21-22.<sup>2</sup> 6.27.<sup>3</sup> See above, pp. 17-18.<sup>4</sup> BG., 7.28.<sup>5</sup> 9.34.<sup>6</sup> 9.28.

voice, body, and mind restrained, ever intent on the Yoga of meditation, cultivating passionless detachment, abandoning the ego, force and pride, desire, anger, and possessiveness, regarding nothing as his own, becalmed, thus is he fitted for becoming Brahman. Having become Brahman, his soul is serene; he neither grieves nor desires. Indifferent to all beings, he receives the supreme devotion to me. Through devotion he comes to know me, who and how great I am in my very essence. Then, knowing me in my essence, he forthwith enters into me. Though he be ever engaged in works, relying on me, he reaches the eternal undying state by my grace.<sup>1</sup>

The Yogin or mystic can, then, reach the condition of Brahman entirely by his own efforts: having purified his soul of all dross, he realizes it as immortal. This is the necessary prerequisite for the reception of the divine grace which leads first to a knowledge of God, and then to communion with him. The Yogin renounces all things in order to find his own soul. This in itself is pleasing to God, even if he has no conception of Krishna as the omnipotent, omniscient Lord; for 'even those who revere the undefinable Imperishable, the unmanifest, omnipresent and unthinkable, the absolutely changeless, immovable and fixed . . . reach me indeed'.<sup>2</sup>

This is 'the most secret doctrine of all', that after liberation man will not only experience immortality as Brahman, but will then, and only then, come to know God and to participate in his life. Yet there is a secret doctrine even higher than this, and that is that God loves man: 'Because I greatly desire thee, therefore will I tell thee thy salvation. Think upon me, worship me, do sacrifice to me, do me reverence. Then shalt thou come to me. This I promise thee truly: for thou art dear to me.'<sup>3</sup>

Thus in the *Gītā* we see mysticism developing in a way that seems to be the direct opposite of the way it develops in Islam. For Indian mysticism starts from the actual experience that the human soul, when stripped to its ultimate nakedness, is immortal because it has its being outside time. Speculative philosophy then busied itself with this empirical fact; some philosophers alleged that in liberation the soul did nothing more than realize its own individual

<sup>1</sup> 18.51-56.<sup>2</sup> 12.3-4.<sup>3</sup> 18.64-65.

immortality, others maintained that the soul became merged into the infinite as a river is merged into the sea without, however, becoming actually identical with it, and others again declared that the absolutely undifferentiated oneness that the soul experiences in this state meant that the soul was nothing less than the Absolute. The Gītā, which claims to be the revelation of an incarnate God, can admit either the first or the second hypothesis, it cannot admit the third: for it teaches that liberation does not mean the final isolation or destruction of individual personality, much less does it mean that man has realized himself as God, it only means he has realized his own immortality, and that is only the essential preliminary to communion with God. Thus while it is perfectly possible to speak of a man as *brahma-bhūta* 'become Brahman', he can never be *mad-bhūta* 'become Me or God'. He attains, it is true, to *mad-bhāva* 'a divine mode of existence', but there is all the difference in the world between sharing in a divine mode of existence and actually being God: for Brahman in these passages means, as it does in Buddhism from which the expression *brahma-bhūta* seems to derive, a divine mode of existence, whereas God is a Person who is beyond the eternal as much as he is beyond the temporal, and the last message of Krishna to Arjuna is that God loves him and that he is to be entered through love. This is possible, for man himself is said to be a particle of God.<sup>1</sup>

The idea of God's love for man is present for the first time in the Gītā, though it cannot be said that it is prominent in it. Indeed, it must have been extraordinarily difficult for any thinker in the Upaniṣadic tradition to conceive how God, whom at first he regarded as a kind of personalized super-Brahman, unaffected by change, though ultimately causing it, could possibly be subject to feeling of any kind. This traditional view of the supreme Being is still reflected in 9.29 where God, like Brahman, is described as *sama-* 'alike' or 'indifferent' to all creatures; he neither loves nor hates any of them. This is the traditional view, and it is only gradually that the later chapters modify it. In the later part of the book, however, we are told that it is out of compassion for those

<sup>1</sup> 15.7.

who meditate lovingly on him that God, while ever remaining impassive in his own eternal nature, destroys the darkness born of ignorance which prevents them from realizing their own eternal souls.<sup>1</sup> It is he who raises souls in bondage out of the 'ocean of the round of death',<sup>2</sup> although it is he himself who 'sets all things in motion as if fixed in a machine'.<sup>3</sup> God's compassion, indeed, seems strangely at variance with his 'lower nature' which forever keeps the wheel of *saṃsāra* going. He reminds us of the 'necessary being' of Avicenna who is obliged by his own nature to create.<sup>4</sup> Krishna too keeps creation going like a vast machine, the wheel of Brahman of the *Śvetāśvatara* Upaniṣad, but what he incessantly does through his 'lower nature' or supernatural power (*māyā*) he undoes by his grace. God is forever retrieving particles of his higher nature from enslavement in his lower nature, but this constitutes no absolute dualism as in the Manichaean system which is in some ways comparable, for *sub specie aeternitatis* God remains always impassive and one, but in so far as he ensnares creation within his 'lower nature', he is eternally performing a kind of cosmic Yoga, striving to integrate in his eternal essence those particles of him which are temporarily lost. *Pralaya* or the return of the cosmos to its primal state of unity is God's *saṃādhi* just as liberation for the individual soul is the integration of all the bodily faculties in the 'pre-biographical unity' of the immortal soul. Man, then, aspiring to liberation is merely imitating God's ever-recurring tendency to absorb what he emanates back into himself; and it is because the aspirant for liberation is in this respect imitating a necessary tendency in God that God holds him dear; for it is not the man who loves his neighbour that the Lord esteems, but rather the man who has reached what St. François de Sales called 'la sainte indifférence', 'undisturbed by the world, . . . uninvolved in any enterprise, . . . unaffected by love or hate, . . . treating friend and foe equally, . . . content with his lot, . . . compassionate and friendly to all though caring for none'.<sup>5</sup> None of

<sup>1</sup> 10.11.<sup>2</sup> 12.7.<sup>3</sup> 18.61.<sup>4</sup> See L. Gardet, *La Pensée religieuse d'Avicenne*, Paris, 1951, pp. 48 ff.<sup>5</sup> BG., 12.13-20.

this advances us very far beyond the Upaniṣadic view of God or Brahman—God merely puts the seal of his approval on the classical ideal of utter detachment from all worldly things. There is no positive content in this *prīti*, this affection, whatever: it is certainly not what we mean by love.

Now, it would seem that Krishna, when he is imparting a new doctrine in the Gītā, indicates this by referring to it as *guhyatama*—‘most secret’. Thus the doctrine proclaimed in Chapter IX, which first introduces *bhakti* and presents Krishna as the sole true object of worship, is described as *guhyatama*-. Again the quite new doctrine that God transcends Brahman, the ‘absolutely changeless and imperishable’, and as *puruṣottama*, the ‘absolutely supreme Person’, transcends all other beings described as ‘Person’ including the ‘primal Person’ of the *Puruṣasūkta*, is once more described as *guhyatama*-. When the author comes to the end of Chapter XVIII, having twice used the superlative, he is somewhat at a loss to find words for a doctrine he considers even more amazing than all that had gone before. The purport of this doctrine which, in order to emphasize its yet greater importance, he calls *guhyād guhyatama*-, ‘more secret than the secret’, is that the soul, after it ‘becomes Brahman’ at liberation, has yet further joys to experience, for though already enjoying the bliss of its eternal being, it will now enter into its Lord. Yet even this is not Krishna’s ‘most secret doctrine of all’<sup>1</sup> which is his ‘ultimate word’. This, his absolutely final word, because the most secret of all, is that Arjuna is not only beloved (*priya*-), but is also positively desired (*iṣṭa*-) by him. This, the closing section of the last chapter of the Gītā, forms the culmination of the whole book, the purpose of which would thus seem to have been fourfold—first to demonstrate that there exists a personal power beyond even the impersonal and ‘imperishable eternal’, second that the proper relationship of man to God, whether in bondage or in the freedom of liberation, is one of humble adoration, thirdly that liberation, ‘to become Brahman’, is not the ultimate aim of existence but is only the essential purification of the human soul that precedes its approach to God,

<sup>1</sup> 18.64.



fourthly that the proper relationship between the human soul and God is one of love.

It is perhaps not unprofitable to compare this 'most secret of all' doctrines proclaimed by the *Gītā* to the 'secret doctrine' of Ghazālī 'to explain which would be unlawful innovation (*bid'at*)'.<sup>1</sup> For Ghazālī this secret doctrine would appear to be the actual identity of the human soul with God, the *Anā'l-ḥaqq*, 'I am the Truth', of Ḥallāj. For the author of the *Gītā* the *aham brahmā'smi*, 'I am Brahman', of the Upaniṣads was already a commonplace. What for him is secret and therefore new was that though the human soul is Brahman, that is, eternal in essence as a river and the sea are both one as being water, it is distinct from God who is beyond Brahman and therefore for the first time has the opportunity of loving him and being loved by him. Ghazālī, on the other hand, for fear of being convicted of fearful heresy, dare not say what he believes to be true, namely that he has found the One which is beyond the creator God and with which the human soul is, in the last analysis, identical. In sharp contradistinction to him the author of the *Gītā* says—and he can scarcely believe it—that there is indeed a loving God *beyond* the undifferentiated unity of Brahman. The Hindus knew, from the immemorial practice of Yoga techniques, that it can be shown to be a fact of experience that the human soul has changeless being outside time, and they speculated on the relationship of this state of being to the Brahman which they regarded as being the changeless substrate of the external world, but it is only in the *Gītā* that they reach the conclusion, through a divine revelation, that there is a Person who transcends even the eternal Brahman and the eternal soul. The Muslims were taught, again through divine revelation, that there is a personal God and he is alone eternal, yet this seemed to be proved false by the basic experience of their own mystics who claimed to have passed out of time. Thus, since they had no concept corresponding to Brahman or *nirvāṇa*, they could only conclude that man, in his deepest ground, *was* God. How they related their experiences to their theology will be the subject of our last four lectures.

<sup>1</sup> KS., ii, 898.

In orthodox Islam, as opposed to the philosophers and those later Šūfīs who were influenced by the Neo-Platonism of the philosophers, there was, as we have seen, nothing that corresponded to the Hindu conception of Brahman. Hinduism, however, starts with the idea of an impersonal Absolute, Brahman, and only later advances towards the idea of a personal God. Even in the Gītā the distinction is so ambiguously made that most modern scholars have seen no clear distinction between the two. For Śaṅkara Brahman was the One, the Absolute, and absolutely indivisible; and God, the Lord, in his system, corresponds almost exactly to the Nous of the Neo-Platonists. He is the 'mass of wisdom' of the *Māṇḍūkya* Upaniṣad (§ 5) which is at the same time the 'Lord of all' and the 'knower of all'. In both systems God is the first and only product of the One, for, according to them, from One only one can proceed. For Śaṅkara, however, Brahman, the One, alone truly exists, and the mystic, in en-stasy, as Louis Gardet calls it, experiences this one absolutely undifferentiated being, apart from which, he now thinks he realizes, nothing at all, not even God, can claim existence: he is Brahman in the fullest sense. From the absolute point of view God does not exist, but from the relative point of view he is seen to be the first evolute from the One, the creator and sustainer of the world and omnipotent Lord. But even from the relative point of view he must be regarded as subordinate to the wholly undifferentiated and inactive Brahman. In this Śaṅkara faithfully follows the *Māṇḍūkya* Upaniṣad and interprets all other Upaniṣadic texts in this sense. For him, it is clear, the experience of liberation is one of absolute unity—a unity, indeed, so absolute that all else must be seen as illusion. 'The soul', and here I must quote Buber again, so extraordinarily relevant is what he says in this context, 'willingly imagines *and indeed is bound to imagine* (mine once did it) that it has attained to a union with a primal being or the godhead.' Rāmānuja, like Buber, utterly denies that any such inference is justifiable.

For him, as for the Sāṃkhya, there exist an unlimited number of individual souls, the sum-total of which constitutes Brahman.<sup>1</sup>

<sup>1</sup> Rāmānuja (R.) on BG., 8.3.

Liberation means no more than the soul's realization of its own true nature which is eternal—what Buber calls the 'original unity' of oneself which 'is hidden unchanged beneath all biographical change'. Rāmānuja interprets liberation as the *Yogasūtras* do, as the isolation of the soul in its essence: he does not interpret it as meaning a merging into Brahman as a vaster spiritual unity, for Brahman is merely the 'soul-thing'<sup>1</sup> or 'category of existence which characterizes the soul'. For Rāmānuja what we call created or contingent being and what Avicenna would call possible being is neatly divisible into the spiritual and the material, the eternal and the temporal, or, to use the Indian terminology, the imperishable and the perishable. The first consists of souls, the second of matter in the Marxian sense of that word, namely, the substrate not only of all change and motion, but also of all thinking and feeling. Soul and matter, however, are both dependent on God as our own bodies are dependent on our souls. Together, then, they may be regarded as the body of God,<sup>2</sup> and this view of the relationship of the created all to God is consistently maintained by Rāmānuja throughout his writings. Alternatively the two categories of created being are thought of as the intelligent or conscious (*cit*) and the non-intelligent (*acit*),<sup>3</sup> and these may exist independently or apart. Thus the kingdom of God is divided into three different abodes, corresponding perhaps to the three steps of Viṣṇu, the abode of unconscious matter, the abode of conscious spirit and the abode of beings which are a compound of the two.<sup>4</sup> The three abodes, then, are spirit, matter, and beings partaking of both, which, in Rāmānuja's scheme of things, means liberated souls, inanimate matter, and living human beings and animals.

The soul of its nature is eternal and timeless<sup>5</sup> and not susceptible to change. Being a purely spiritual thing, it is indivisible<sup>6</sup> and of the same substance as God:<sup>7</sup> it is pure consciousness (*cit*) and as such unthinkable.<sup>8</sup> Its essence is knowledge and bliss.<sup>9</sup> But because it is all these things, it should not be supposed that souls, when

<sup>1</sup> *ātma-vastu*—R. on BG., 5.19.

<sup>2</sup> R. on BG., 3.30, etc.

<sup>3</sup> R. on BG., 2.17, etc.

<sup>4</sup> R. on BG., 8.21: 15.17.

<sup>5</sup> R. on BG., 2.20, etc.

<sup>6</sup> R. on BG., 2.18.

<sup>7</sup> R. on BG., 4.35.

<sup>8</sup> R. on BG., 2.25.

<sup>9</sup> R. on BG., 6.44.

liberated from their corporeal tenement, are all identical. The world is not pervaded by one world-soul, but is inhabited by a multitude of individual souls. Thus when the Gītā speaks of seeing all things in one's self, it does not mean that one's own soul comprises all creation as the pantheists would hold, but that there exists 'an identity between yourself and other creatures when divested of all material adjuncts (*prakṛti*) in that they all have one form—consciousness'.<sup>1</sup> This is all that is meant when it is said that Brahman is the same in all creatures;<sup>2</sup> it merely means that as 'soul-thing' it is the stuff of all souls. Similarly when it is said that the soul is omnipresent,<sup>3</sup> it means no more than that it is more subtle than all the evolutes of Nature and only in this sense can it be said to pervade them. Rāmānuja does not seem to use the obvious argument that the soul is omnipresent because it is literally immeasurable, not thinkable in spatial terms. 'Seeing all things in one's soul and one's soul in all things',<sup>4</sup> which is a common experience of nature mystics and has been fully dealt with by William James in his *Varieties of Religious Experience* in his chapter on mysticism and by myself in *Mysticism, Sacred and Profane*, does not mean that one's soul and the All are, in some inexplicable way and at the deepest level of consciousness, identical; it means that one sees one's soul as having the same 'form' as all ensouled things: there is identity of spiritual essence but diversity of persons, if we may apply Christian terminology to a very different set of ideas. Thus 'when one has experienced one soul, one has experienced the whole soul-stuff' (*ātma-vastu-*) because there is an identity of substance between them (*tatsāmyāt*).<sup>5</sup>

It is true that souls are eternal and as such have their being outside space and time, and this much they have in common with God; but this participation in the divine nature is not apparent to them so long as they inhabit a body. The soul's connexion with the body is not illusory as Śaṅkara held, but real,<sup>6</sup> and is caused by God's *māyā* which does not mean 'illusion',<sup>7</sup> but God's mode of operation throughout the material universe.<sup>8</sup> The embodied

<sup>1</sup> R. on BG., 4.35.

<sup>2</sup> Cf. BG., 5.19.

<sup>3</sup> BG., 2.24.

<sup>4</sup> Ibid., 6.29.

<sup>5</sup> R. on BG., 6.29.

<sup>6</sup> R. on BG., 7.14.

<sup>7</sup> Ibid.

<sup>8</sup> R. on BG., 18.61.

human soul is not created, for the idea of creation out of nothing is foreign to all Hinduism; from all pre-eternity it has been transmigrating from body to body, and it is only on liberation that its links with matter are finally severed. With liberation it enters into a new form of existence; it passes from that 'abode' or section of the kingdom of God in which the conscious and the unconscious are combined, into the 'highest abode'<sup>1</sup> of God which is Brahman, where all is pure consciousness and timeless bliss. This is *ātma-darśana*—the 'vision or direct experience of the soul'<sup>2</sup> which, by making the soul aware of its own timeless substance, enables it to realize timeless substance itself, that is, Brahman as such.

We have seen that the soul's connexion with a body is regarded as existing from pre-eternity, and it is important here to distinguish between our two uses of the word 'eternity'. It can either mean time without beginning and without end or it can mean a state of being where time simply does not exist. These two modes of existence for which we indiscriminately use the word 'eternity' are, of course, totally distinct and very nearly mutually exclusive. The first the Indians call *saṁsāra*, the second *brahman* or *nirvāṇa*: God alone, according to Rāmānuja, transcends them both. The soul imprisoned in *saṁsāra* must strive to be liberated therefrom, but it may well be asked how it ever became so enmeshed since God is all-powerful and, according to Rāmānuja, full of loving-kindness.<sup>3</sup> God, it is said,<sup>4</sup> 'is the cause of the emanation of the souls of the gods, etc., but the principal cause of the diversity that exists among the gods and other creatures is the different potencies engendered by the past actions of already emanated souls'. This is a *regressus ad infinitum*, for creation, in the Hindu system, is cyclic. The world is for ever and ever emanated from and reabsorbed into the deity or, in Rāmānuja's system, into the body of the deity. Are the 'potencies engendered by past actions' to be regarded as derived from God or not? If so, God must be responsible for any evil thing those potencies may lead to: but Rāmānuja will not have this, because his God is wholly devoid of any evil quality.<sup>5</sup> As

<sup>1</sup> BG., 8.21: 10.12.<sup>2</sup> R. on BG., 2.53, 60, etc.<sup>3</sup> See R. on BG., 6.47.<sup>4</sup> R. on BG., 4.14.<sup>5</sup> Cf. R.'s introduction to BG.

there is no beginning to *saṁsāra*, there never was a time when human souls were not conditioned by these 'potencies engendered by their past actions',<sup>1</sup> and these are only indirectly related to God, who surveys impassively the development of the potentialities of Nature which he himself had set in motion.<sup>2</sup> The implication is that these potentialities planted in embodied souls by God in pre-eternity were free to develop as they wished, and that God is thereby not responsible for their development in *saṁsāra*. 'Nature, which depends on me,' says Rāmānuja's commentary, 'is in accordance with the *karma* of souls; I, whose will is [always] true, survey it as an overseer, [but it is] Nature which produces the world of moving and unmoving creatures. The world is kept going by reason of my supervision of it in conformity with the *karma* of souls. My lordly power . . . consists in my sovereignty, my willing what is real, and my freedom from cruelty and all defects whatsoever.'<sup>3</sup> Although the Gītā says time and again that God, through the intermediary of the three *guṇas* or 'strands' that pervade all Nature,<sup>4</sup> is the sole real agent, Rāmānuja exempts him from all responsibility for evil by attributing it to the 'potencies engendered by the past actions' of embodied souls. *Mutatis mutandis* this is not unlike the Christian doctrine of original sin.

The *Yogasūtras* and Buddhism had taught that liberation is within the grasp of everyone if he will but make the effort. Rāmānuja denies this, saying that without divine grace it would not be possible to make the immense effort required to conquer the lower self,<sup>5</sup> and the Yogin who seeks liberation without first fixing his mind on God is heading for mental breakdown.<sup>6</sup> God is no longer simply an object, the contemplation of which will help one to liberation; it is God who enables the Yogin so to concentrate the mind by purifying it with his saving touch.<sup>7</sup> True, there are people whose spiritual development is such that they can of their own resources enjoy the experience of their own immortal souls,<sup>8</sup> but this must be considered as the result of God's grace in former

<sup>1</sup> *prācīna-karma-śakti*-.

<sup>2</sup> BG., 9.9.

<sup>3</sup> R. on BG., 9.10.

<sup>4</sup> BG., 3.15-30.

<sup>5</sup> R. on BG., 2.61-62.

<sup>6</sup> R. on BG., 2.63.

<sup>7</sup> R. on BG., 6.15.

<sup>8</sup> R. on BG., 3.17.

lives. No man attains even to the fruition of his own soul without the action of the divine mercy: without that he is forever caught in the relentless machine of God's *māyā*.<sup>1</sup>

*Māyā* is the power by which the soul is bound to the body, and since *māyā* belongs to God, he is the cause of bondage as well as of liberation. But Rāmānuja also calls it God's wisdom (*jñāna*-) and his will (*saṅkalpa*-);<sup>2</sup> more often he thinks of it as a divine game<sup>3</sup> which he plays without deriving any benefit from it for himself.<sup>4</sup> That Rāmānuja, along with the later sacred texts of the Hindus, should regard the whole world-process of bondage and release as a 'game' which the deity plays with individual souls, may seem surprising; but it should be remembered that the final upshot of this game is not only the perfect bliss which liberation brings, but also beyond this the even intenser happiness of union with an absolutely perfect being who at the same time loves you with a consuming passion.

For Rāmānuja God is the fully personalized Viṣṇu who is also *parabrahman*, the supreme Brahman; he is possessed of all desirable qualities in their perfection, and the traditional epithet *nirguṇa*, 'without qualities', applied to the Absolute and also to God *qua parabrahman* is explained as 'devoid of evil qualities'.<sup>5</sup> As well as being omnipotent and omniscient he is compassionate and tender in his love for men.<sup>6</sup> So far from being impassive, he cannot bear separation from his elect. 'Since I cannot bear to be separated from those [who love me],' Krishna is made to say, 'I choose them out. I bring to fruition their acts of devotion designed, as they are, to enable them to possess me. I remove whatever obstacles are in their way and inspire them with intense love for me.'<sup>7</sup> Thus God first helps man to achieve his own liberation which enables him to enjoy the timelessness of his own soul—and this is a godlike condition (*mad-bhāva*-), on the attainment of which he may be led to think that he himself is God so profound and seemingly final is the bliss that he enjoys.<sup>8</sup> This, however, is not the end, for God who

<sup>1</sup> BG., 18.61.    <sup>2</sup> R. on BG., 4.6.    <sup>3</sup> R. on BG., 7.14.    <sup>4</sup> R. on BG., 7.12.

<sup>5</sup> R.'s introduction to BG., etc.    <sup>6</sup> See R. on BG., 6.47, etc.    <sup>7</sup> R. on BG., 8.14.

<sup>8</sup> Cf. BG., 6.22 'Once he has possessed himself of [the vision of the soul], he thinks there can be no higher boon'.

himself is 'that desire which is not opposed to righteousness',<sup>1</sup> rekindles the fire of desire in the tranquil soul and causes it to be directed exclusively to him. This is something quite new in Hindu mysticism, for hitherto the eradication of desire in any form had been regarded as a *sine qua non* without which liberation could never be achieved. The idea that, after the soul had liberated itself from every attachment, had realized its total autarchy and independence of all things, and had thus achieved a state of bliss beyond which it cannot even conceive that there might be something yet more exquisite—the idea that after all this the soul should once again be set aflame with a passion of longing, must have been regarded almost as madness by the earlier mystics who regarded it as an indisputable axiom that liberation is the final goal beyond which it is impossible to go. Having become Brahman, which hitherto had been synonymous with the highest principle, the eternal, unchanging source of all things, what further step could there possibly be? The whole position of the Brahmvādins is challenged by Rāmānuja: their interpretation of liberation as meaning that the soul realizes itself as the One and unfractionable spiritual Being, is false. The soul may think that, in realizing its own 'undifferentiable unity', it thereby realizes itself as the One and the All. This, according to Rāmānuja, is a vulgar error, for the soul realizes itself as one single *śeṣa* or fraction of an infinite Being that vastly transcends it and which is its sovereign Lord (*śeṣin*),<sup>2</sup> to whom it owes its very existence. Once the liberated soul becomes conscious of God, on the other hand, it is once again galvanized with desire. Already it has become 'like God', it now yearns to be united with God himself in a transport of passionate love, and it is quite unable to bear separation from him for a single moment.<sup>3</sup> The mystic no longer attaches importance to his godlike condition which has transported him outside time nor to the sense of power that he derives therefrom, he is interested in one thing only and that is God.<sup>4</sup> Through God's grace he can now possess God as a lover possesses his beloved; from utter detachment he falls again into an attachment more passionate than anything he had known

<sup>1</sup> BG., 7.11.<sup>2</sup> R. on BG., 9.4.<sup>3</sup> R. on BG., 6.47.<sup>4</sup> R. on BG., 8.14.



before, his 'mind cleaves to God', and without him he is no longer secure in his own immortal soul,<sup>1</sup> and thus he at last becomes united to his immortal Lord. And even after fruition this love never leaves him, for 'once one has achieved possession of God, this never fails'.<sup>2</sup> To know, to love, and to be united with God is man's final goal. Liberation, indeed, is an excellent thing, but compared to the love of God it is as a mustard-seed beside Mount Meru,<sup>3</sup> and the cultivation of one's own immortal soul is contemptuously dismissed as fit for those only who do not know how to love.<sup>4</sup>

The kernel of Rāmānuja's teaching, then, is this: to realize the nature of one's immortal soul as being unconditioned by time and space and to see all things in the soul and the soul in all things, is inherent in all men naturally, and it is a godlike state. But this is not to know God: to know God is to love him, and without a passionate and all-consuming love there can be neither communion nor union with the beloved. Any mystical state which is one of undifferentiated oneness is the experience that one individual soul enjoys of its own individual self: it has nothing to do with God. Thus in any form of mystical experience from which love is absent, there can be no question of God: he is absent too. To interpret the experience as being identical with the One or the All is absurd; beguiled by the beauty and apparent infinity of its own deep nature, the liberated soul—so Rāmānuja holds—mistakes the mustard-seed for Mount Meru, the drop for the sea.

<sup>1</sup> R. on BG., 8.15.

<sup>3</sup> R. on BG., 6.47.

<sup>2</sup> R. on BG., 9.2

<sup>4</sup> R. on BG., 12.11-12.

## Vedānta in Muslim Dress

IN our brief study of Indian mysticism we saw how slowly it advanced towards the idea of a personal God who was distinct from the human soul, and how, even in its theistic development, it did not at first move forward from a passive contemplation of the Lord in his solitary perfection to an active love of him. In religions which are fully monotheistic from the start the clear distinction which we have been able to draw between the mysticism of the complete isolation of the soul in its timeless and eternal ground, that of merging in the All as a drop of water in the sea, and that of a loving communion and union with God, cannot always be drawn with anything like precision: for whereas the Indian sage starts from the timeless essence of the human soul, which he claims is a fact verifiable by experience, the monotheist starts with the idea of a transcendent God who is creator of all things including the human soul, who is made known by revelation, who operates in time, and who demands from man absolute obedience to the laws he has revealed. Of the monotheistic creeds it is only Christianity that builds a bridge between God, the Eternal, and man, the temporal, in the shape of Jesus Christ, the God-Man, who in his dual nature is fully representative of both kinds of existence. Man is brought into relationship with God through Christ who, by his sacrifice on the Cross, demonstrates God's indefectible love for man. For the Christian to partake of eternity means to participate in the being of God, and this is achieved by the grace of God which raises man up in passionate longing from his merely human estate to a divine form of existence in which he is fitted to share in God's nature and to love him as the source of his being and his Redeemer.

Since the proper relationship between God and man is one of love, and since God is himself defined as love, it follows that the soul that has been purified of sin can enjoy a relationship with God so close as almost to amount to fusion. The creature abandons himself entirely to the will of God and allows God to act through him: there is a complete unity of will though not of essence. In the state of transforming union the mystic will feel himself so transfused and penetrated by the love of God that he interprets this complete alteration of his being as meaning that he now is, in a sense, God by participation, so completely has he abandoned all thought of self. The resulting experience may then be expressed in almost monist terms, yet the monotheistic mystic is always aware that however transfused and transformed he may be, he never can become identical with God if only for the simple reason that he can never attain omnipotence and omniscience.

Obviously the layman who is a stranger to mystical experience is in no position to distinguish between the various 'states' of the mystic; but comparison between the Indian experience and that of the monotheistic mystics should put us on our guard. Above all the Indian contention that the human soul is, at least potentially, an extra-temporal being should be taken at least as seriously as the monotheistic assertion that there is no eternal being but God. In fact it must be taken rather more seriously, for whereas there is overwhelming evidence that human beings can, and do, from time to time succeed in transcending time itself and realize a form of being in which death is 'an almost laughable impossibility', it will never be possible to prove to the sceptic that the God of mystical experience is other than an ever-recurring element eternally present in the constitution of man himself. Thus Jung, following Leuba, persists in regarding all mystical manifestations as being due to the activities of what he calls the archetype of the self which, like the Indian *ātman*, is the true centre of the human personality. This term includes 'the totality of the psyche in so far as this manifests itself in an individual. The self is not only the centre, but also the circumference that encloses consciousness and the -unconscious; it is the centre of this totality, as the ego is the centre of

consciousness'.<sup>1</sup> The Indian *ātman* too is the centre and circumference of the personality, the hub and the felly, as the *Bṛhadāraṇyaka* Upaniṣad puts it:<sup>2</sup> and both the Upaniṣad and Jung would agree that this 'self' is identical with the 'God' of mystical experience, and cannot be distinguished from him empirically.<sup>3</sup> Yet though Jung goes all the way with the Upaniṣads in that he speaks of the self as the 'One who dwells in [man], whose form has no knowable boundaries, who encompasses him on all sides, fathomless as the abysses of the earth and vast as the sky',<sup>4</sup> he does not draw the metaphysical conclusion that the Upaniṣads in the main do, he does not identify the 'form that has no knowable boundaries' within with infinity without. For him every man has within him his own infinity, and this is in no way correlated with that of his neighbour or with a God external to man (if such a God exists). Each soul is a cosmos unto itself, not *the* cosmos. In this respect Jung aligns himself with the Sāṃkhya-Yoga and Rāmānuja: human souls have a common 'infinite' and eternal substrate, what Rāmānuja calls the category of *ātman*, but they are nonetheless separate ontologically—microcosms, each a universe of its own with its own presiding deity, the *ātman* or soul of each single individual.

In the Indian tradition Rāmānuja was the first to claim unequivocally that the realization of the *ātman* within one, 'the One who dwells within, whose form has no knowable boundaries', is not only not the realization of the One God who is the creator and sustainer of the universe, but only the first stage from which it is possible to approach him. For him the experience of release, in which the soul drops out of time, was a reality, but Krishna, as the living God and Lord, was also a reality, and the two realities had to be brought into relation. For Śaṅkara and the monists the transcending of time and the realization of undifferentiable unity which accompanies it, represented man's final goal beyond which it was

<sup>1</sup> C. G. Jung, *The Integration of the Personality* (Eng. trans.), London, 1940, p. 96.

<sup>2</sup> 2.5.15.

<sup>3</sup> C. G. Jung, *Answer to Job* (Eng. trans.), London, 1954, p. 177.

<sup>4</sup> *Ibid.*, p. 180.

impossible to proceed, because man is not only his own micro-cosmos, he is the cosmos *tout court*, or rather the eternal substrate of an unreal because perishable cosmos which is an illusory projection of himself. Beyond this sole self-existent reality, plainly, no further progress would be possible. Rāmānuja concedes that with liberation one realizes oneness and eternity, but he insists that the soul must be shaken out of this passive contemplation of self and brought into the presence of God, who is other than self, by means of a passion of love.

Jung, I think, is therefore wrong when he says that we cannot distinguish between the archetype of the self and the God-image, by which he means God as he makes himself known in mystical experience; for in that type of experience in which God and the soul are regarded as separate beings the relationship between the two is always one of love, whereas in the Yogic type of mysticism of both the *Yogasūtras* and the first six chapters of the *Bhagavad-Gītā* there is no question of the love of one person for another, but only an *askesis* of self-integration and self-realization in which there is no union of one with another, but only the extrication of an eternal element in the soul from all that is not eternal. Yoga is the realization of a single spiritual essence and in the *Gītā* means exactly what Jung means by integration (the word *yoga* itself means 'joining'), whereas mystical union in the Christian sense is the union of one spiritual essence with another, the most natural simile for which is that of sexual union. Hence in Christian and most of Muslim mysticism love is the highest manifestation of man's relationship to God and without it no union is possible, whereas in Yogic mysticism release is not achieved by love, but by intensive introspection and the mastering of sense and mind. The achievement of the *Bhagavad-Gītā* was that it brought the God who is the object of meditation into relationship with the God who is the object of passionate love, but between meditation on God, which is merely a means of achieving liberation and the leap towards the God who is the object of the soul's desire, the *Gītā* places release. In Christian and Muslim mysticism this stage is never made quite clear, for the idea of liberation is foreign to both

systems. The Ṣūfīs, however, were plainly familiar with the experience, and Qushayrī speaks of the Ṣūfī who is *ibn waqti-hi*, 'the man of his time',<sup>1</sup> for whom the future does not exist, hence 'he neither fears nor sorrows'. This presented them with a problem, for if it is possible to experience a form of existence in which there is no time, does it not follow that the soul itself is uncreated since creation implies the existence of time? This view was already present in Sarrāj's time<sup>2</sup> and was rejected by the orthodox as being plainly heretical. In rejecting this view, which is basic to all Indian mysticism, however, the Ṣūfīs caused themselves unnecessary difficulties, for it is perfectly possible to admit that the soul may reach a state of consciousness in which past and future become meaningless without drawing the conclusion that what experiences this timeless state of being is necessarily uncreated; for the nature of such timeless existence is wholly outside the scope of our ordinary categories. Ghazālī, as we have seen, attempted to solve the problem by positing a world of spiritual substances not susceptible to measurement alongside the corporeal world. Such substances would necessarily transcend not only space but also time since time itself is, according to Aristotle and the Muslim philosophers, the measurement of motion. Thus by rejecting, at least in their exoteric teaching, any possible connexion between God the Eternal Creator, and his creation, which has its being in space and time, they deprived themselves of any rational explanation of the experience of transcending time: they could only say that creaturely existence was thereby annihilated and that God alone remained. This is, in fact, a rather silly quibble, for there must be consciousness of the experience, otherwise it would not be an experience, and consciousness is individual since it occurs in one person at any given time and place. The Ṣūfī in ecstasy was forced by the logic of his own position, to say, with Ḥallāj, *Anā'l-ḥaqq*, 'I am the Truth or God', because he had passed beyond time, and in his theology there is no timeless entity but God. They were not in a position to make the distinction between an

<sup>1</sup> Qushayrī, p. 119.

<sup>2</sup> Sarrāj, pp. 222-3.

extra-temporal soul and an extra-temporal God which came quite naturally to Rāmānuja.

Islam, we would have thought, was not a congenial soil in which a mystical tradition of any kind could take root. Šūfism met with the hostility of the orthodox theologians from the beginning, and both Dhū'l-Nūn of Egypt and Abū'l-Ḥussayn al-Nūrī<sup>1</sup> are said to have been arraigned before the Caliph on suspicion of heresy. The theologians maintained that there could be no love between God and man because there can only be love between like and like, and God is totally unlike any created thing:<sup>2</sup> when the Qur'ān speaks of love, then it means no more than obedience. Yet the idea that not only is love between God and man possible, but also that it can be experienced here and now, very much made its way into Šūfism, and it is already fundamental in Rābi'a, Muḥāsibī, and Dhū'l-Nūn,<sup>3</sup> who flourished at the end of the second and the beginning of the third centuries of the Hijra. For Dhū'l-Nūn love is an intense yearning of the soul, and he is not afraid to use the word *shawq* meaning 'passionate longing' to make his meaning clear. 'There are four doors [to wisdom],' he says, 'first fear, then hope, then love, then passionate longing',<sup>4</sup> and the way to win God's approval is to shape one's character in conformity with his 'beautiful attributes'.<sup>5</sup> This enables the soul to enjoy the fellowship of God which is eternal bliss;<sup>6</sup> but such fellowship cannot be achieved by man's own unaided efforts, for it is God who chooses his lovers from pre-eternity:

Special souls has he chosen for his love;  
These did he choose before time ever was.  
He chose them before he brought forth his creation,  
And they are depositories of wisdom and manifestation.<sup>7</sup>

The soul so chosen renounces its own will and its very self entirely, it loves God's will only, however much suffering it may

<sup>1</sup> See above, p. 3.

<sup>2</sup> KS., ii, 943.

<sup>3</sup> See the articles on the latter two in Abū Nu'aym's *Ḥilyat al-Awliyā*, ix, 331-95, x, 3-4 and 73-110.

<sup>4</sup> *Ḥilya*, ix, 378.

<sup>5</sup> *Ibid.*, p. 376.

<sup>6</sup> *Ibid.*, p. 360.

<sup>7</sup> *Ibid.*, p. 355.

be caused thereby. So great is its love for him that there is no room for the love of any thing beside him: 'not even the measure of a grain of mustard-seed remains empty of him, and nothing remains in them but he'.<sup>1</sup> This does not mean that the individual mystic as such ceases to exist as some Ṣūfīs were later to maintain: for 'nothing that God sees can die any more than anything that sees God can live. For God's life is abiding (*bāqī*), and abiding in it is he who sees it'.<sup>2</sup> Dhū'l-Nūn, then, like Rāmānuja regards passionate longing as the means by which the soul journeys to God; and, again like Rāmānuja, though with more certain an emphasis, he insists that the initiative must, in the last instance be God's. Moreover, he is chary of using words for 'union' to denote the soul's relationship to God; he prefers to speak of the vision of God.

For Muḥāsibī too it is God who kindles the fire of love in man's heart. 'Passionate longing wells up in the heart', he says, 'only through the light of [God's] love; and when God kindles this lamp in the heart of one of his servants, it can only burn on in the ravines of the heart if the heart itself craves light from him.'<sup>3</sup> The mystical union is the result of God's grace in the first instance, and its continuance is only made possible by the willing acceptance of his grace on the part of man; but all the early Muslim mystics agree that the acceptance of grace implies a total abandonment of self and an exclusive devotion to God to the complete exclusion of all created things.

In her book, *Early Mysticism in the Near and Middle East*, Dr. Margaret Smith has shown how indebted early Muslim mysticism is, with its overwhelming emphasis on the love of God, to the thought of the great Christian mystics of a slightly earlier time, and, in view of the grave suspicion with which this doctrine of love was regarded by the orthodox theologians, her conclusions cannot be seriously disputed. What, however, is much more disputable is the possible influence of Indian mysticism on Ṣūfism.

Dhū'l-Nūn and Muḥāsibī, as we have seen, never tire of speaking of God's love for man and man's love for God, but they avoid using the word *tawḥīd*, 'union', to indicate the mystical union.

<sup>1</sup> Ibid., pp. 353.

<sup>2</sup> Ibid., p. 373.

<sup>3</sup> *Hilya*, x, 78.



Dhū'l-Nūn, after describing the flight of the soul in passionate longing to God in which 'it passes through the realm of *malakūt* (spirit) more swiftly than the blowing of the wind', says only that the soul is then 'God's and with God'.<sup>1</sup> Yet at the very time that Dhū'l-Nūn had brought this doctrine of intense mystical love to perfection, very different accents began to be heard in the village of Bisṭām in Western Khorasan. Here was born and flourished a man who was to change the whole tenor of Ṣūfism for better or for worse, for it was he who, for the first time in Islam, dared to make himself equal with the deity: it is he who first said, 'I am He'. The question which scholars have asked themselves for upwards of a century is, did he say this of himself or was it suggested to him by another?

R. A. Nicholson long ago pointed out that Abū Yazīd of Bisṭām might have derived his quite new doctrine of *fanā*, by which he understood the total destruction of the empirical self in God, from his teacher, Abū 'Alī al-Sindī.<sup>2</sup> This view, which was hotly defended by Max Horten,<sup>3</sup> has recently been rejected as not proven by Professor A. J. Arberry.<sup>4</sup> We must, then, once again re-examine the evidence presented both from the Hindu and the Muslim side.

Nicholson maintained that the fact that Abū Yazīd's reputed master was a man from Sind<sup>5</sup> accounted for the doctrines in Abū Yazīd's recorded sayings which seemed to him to be 'certainly' of Indian origin. Arberry, however, considers that the term 'Sindī' may refer to a village called Sind in Khorasan which is recorded by the geographer Yāqūt. Theoretically, of course, it might, but it is rather difficult to believe that the Sind referred to is any other than the province of that name. However that may be, it would seem that this Abū 'Alī was a convert to Islam from another religion, for Abū Yazīd says of him: 'I used to keep company with Abū 'Alī al-Sindī and I used to show him how to perform the obligatory

<sup>1</sup> Ibid., ix, 364.

<sup>2</sup> R. A. Nicholson, *The Mystics of Islam*, London, 1914, p. 17.

<sup>3</sup> *Festgabe Jacobi*, Bonn, 1926, pp. 397-405: *Indische Strömungen in der islamischen Mystik*, I, Heidelberg, 1927, pp. 17-25.

<sup>4</sup> A. J. Arberry, *Revelation and Reason in Islam*, London, 1957, p. 90.

<sup>5</sup> Loc. cit.

duties of Islam, and in exchange he would give me instruction in the divine unity (*tawhīd*) and in the ultimate truths (*ḥaqā'iq*).<sup>1</sup> Abū Yazīd, then, represents himself as learning the 'ultimate truths' about the divine unity from a man who did not even know how to perform the obligatory duties of a Muslim. It seems, then, fairly clear that this man, Abū 'Alī al-Sindī, was a convert from another faith.

What the 'ultimate truths' he taught were are plainly reflected in the sayings of Abū Yazīd with which Sarrāj particularly concerns himself. The most remarkable of these is perhaps the following:

Abū Yazīd is reported to have said [Sarrāj tells us]: 'Once [God] raised me up and placed me before him, and said to me: "O Abū Yazīd, verily my creation longs to see thee." And I said: "Adorn me with thy unity and clothe me in thine I-ness and raise me up unto thy oneness, so that when thy creatures see me, they may say: 'We have seen thee (i.e. God) and thou art that.' Yet I (Abū Yazīd) will not be there at all."'<sup>2</sup>

Here there is one phrase—"Thou art that"—that is wholly unintelligible in the context. So unintelligible is it indeed that Nicholson, rather than commit himself to writing what seemed to him nonsense, translated: 'and that only Thou mayst be there, not I'. Farīd al-Dīn 'Aṭṭār paraphrased the phrase in Persian as follows: 'Adorn me with thy unity so that when thy creatures see me and look upon thy handiwork, they will have seen the Creator.'<sup>3</sup> 'Aṭṭār thus gives us his own interpretation of what he thinks the phrase ought to mean: the mysterious 'that' is used to mean God. The pronoun 'that' (*dhāka*), of course, is never used in Arabic to mean 'God'. If a pronoun is used, it is always *hūwa*, 'he'. Nicholson's mistranslation of the phrase is proof enough that the phrase "Thou art that" in the context is wholly unintelligible. The pronoun 'that' (*tat*), however, is regularly used in Sanskrit as a synonym for Brahman. We have only to think of the phrase *om tat sat* or the *etad vai tat* ('This is truly that') of the *Kaṭha Upaniṣad*. 'That', indeed, for the Hindu is the normal way of referring to

<sup>1</sup> Sarrāj, p. 177.

<sup>2</sup> Ibid., p. 382.

<sup>3</sup> *Tadhkirat al-Awliyā*, i, 174. See Appendix B, I, §§ 320-6.

Brahman as the Absolute, and the phrase *takūnu anta dhāka* is, in fact, a literal translation of the famous phrase of the *Chāndogya* Upaniṣad, *tat tvam asi*, 'Thou art that', which forms the concluding phrase to this most famous of all the *mahāvākyaṇi* or 'great utterances' of the Upaniṣads. 'That which is the finest essence—this whole [world] has it as its soul (*ātman*). That is Reality. That is the soul. That art thou.'<sup>1</sup> This, presumably, is one of the 'ultimate truths' that Abū Yazīd learnt from his master, Abū 'Alī al-Sindī, and the proof that the phrase is borrowed from a Vedāntin source seems to me to be that, whereas the phrase is utterly foreign to anything Islam had ever taught, in Hinduism it is so commonplace as almost to be banal, so universally familiar would it be to anyone schooled in the teachings of the Upaniṣads. It should further be stressed that the phrase is peculiar to the Vedānta, it is not Buddhist, nor is it paralleled in any other mystical system; you meet with no such bald identification either in Neo-Platonism or in Taoism, or anywhere else. Moreover, the phrase came to the knowledge of Abū Yazīd at a time when the great Śaṅkara had just revived and systematized the Vedānta in its most extreme form in India itself.

Now what distinguishes the unadulterated Vedānta of Śaṅkara from both the Upaniṣads and the *viśiṣṭādvaita* or 'non-duality with a difference' of his successor, Rāmānuja, is that he concedes reality to the *ātman-brahman* only and dismisses the whole phenomenal world as *māyā*, which for him means 'illusion'. This again is unmistakably reflected in another of the sayings of Abū Yazīd:

'As soon as I reached [God's] unity' [he says], 'I became a bird whose body was of oneness and whose wings were of everlastingness, and I went on flying in the atmosphere of relativity for ten years until I entered into an atmosphere a hundred million times as large; and I went on flying until I reached the expanse of eternity and in it I saw the tree of oneness.' Then [says Sarrāj], he described the soil [in which it grew] its root and branch, its shoots and fruits, and then he said: 'Then I looked, and I knew that all this was deceit.'<sup>2</sup>

<sup>1</sup> *ChUp.*, 6.8 ff.

<sup>2</sup> Sarrāj, p. 384.

This tree seems to be none other than the cosmic tree of the *Kaṭha Upaniṣad*<sup>1</sup> and the *Bhagavad-Gītā*. Abū Yazīd is represented as describing the soil from which it grew, its roots, branches, shoots, and fruit, but Sarrāj does not tell us how he described them. The *Gītā*, however, does describe them, root, branches, shoots and all. This is what the *Gītā* says:

With roots above and branches below the imperishable fig-tree has been declared. Its leaves are the Vedic hymns. Whoso knows it knows the Veda. Below and above extend its branches nourished by the qualities (*guṇas*), and the objects of sense are their sprouts. Below are extended the roots from which arise actions in the world of men.<sup>2</sup>

Thus we can be fairly certain that when Sarrāj says that Abū Yazīd described 'its root and branch, its shoots and fruits', he described these in accordance with the original. This is already striking, but there is more to it than this, for this selfsame tree appears in the *Muṇḍaka*<sup>3</sup> and *Śvetāśvatara*<sup>4</sup> Upaniṣads, and in the latter case it is brought into connexion with *māyā*. This is how the passage reads:

Two birds, closely linked companions, cling to the same tree. One of them eats its sweet fruit, while the other looks on without eating. On the same tree a person, sunken and deluded, grieves at his impotence; when he sees the other, the Lord contented, and his greatness, his grief departs. . . . From that (Brahman) the Master of *māyā* emits the whole [world],—metres, sacrifices, ceremonies, ordinances, the past, the future, and what the Vedas proclaim. In it, by *māyā* the other (the individual) soul is confined. Now one should know that the phenomenal world is *māyā* and that the great Lord is the master of *māyā*.

The two birds are the same as the two persons, the one grieved because bound to matter, the other contented because it enjoys

<sup>1</sup> 6.1.

<sup>2</sup> BG., 15.1-2. Cf. also Śaṅkara's *Viveka-cūḍāmaṇi*, 145: 'Of the tree of *saṃsāra* (phenomenal existence) [the quality of] darkness is the seed, mistaking the body for one's self is the sprout, attachment the tender leaf, action its water, the body the trunk, the vital functions the branches, the senses its twigs, sense-objects its flowers, pain its fruit.' Cf. also *Mahābhārata*, xii, ch. 254.

<sup>3</sup> 3.1.1-3.

<sup>4</sup> 4.6-10.

perpetual liberation. What binds the former is *māyā*, God's mysterious power which is at the same time identical with Nature or the phenomenal world. *Māyā*, however, also means 'deceit',<sup>1</sup> and this is precisely how Abū Yazīd describes his own tree of oneness. The Arabic word *khud'a* is in fact an exact and literal translation of the Sanskrit *māyā* which both means 'deceit' and God's mysterious power by which he creates. For Śaṅkara, however, *māyā* meant simply 'illusion', and the word *khud'a* is therefore wholly apposite. We have only to compare the dictionary translations of the two words to see how exactly they correspond. For *māyā* Monier-Williams gives for the classical usage 'illusion, trick, artifice, deceit, deception, fraud, juggling, sorcery, witchcraft', and so on, while for *khud'a* Lane gives 'a single act of deceit, delusion, guile, circumvention or outwitting'. The two words could scarcely correspond more exactly. Moreover, to the best of my knowledge, the world is not described as *khud'a* in any other Ṣūfī text, it does not come naturally and only makes sense if it is seen to be a translation of *māyā*. When the Ṣūfīs speak of the unreality of the world, they speak of it as a dream,<sup>2</sup> or a game,<sup>3</sup> not as deceit.

I have pointed out elsewhere how practically everything in this particular saying of Abū Yazīd can be explained from Indian sources, all of which stand close to the *Śvetāśvatara* Upaniṣad,<sup>4</sup> and I do not wish to repeat my arguments here. I would merely emphasize that in these two sayings of Abū Yazīd there occur two phrases which are simply unintelligible unless viewed against a Hindu Vedāntin background. 'Thou art that' is meaningless in the context unless one is familiar with the *Chāndogya* Upaniṣad, and the reference to the world as 'deceit' is wholly unnatural in Arabic and only appears natural if one knows the various meanings of the word *māyā* in Sanskrit. There is no need to stress the simile of the bird in Abū Yazīd's saying and the two birds in the Upaniṣadic

<sup>1</sup> So clearly in *Praśna* Up., 1.16 where it is coupled with *anṛta*- 'unrighteousness'.

<sup>2</sup> E.g. *KS.* ii, 983, 984: *Rūmī*, *Maṣnavī*, iv, 3654.

<sup>3</sup> E.g. *Rūmī*, op. cit., iv, 3666.

<sup>4</sup> See *Indo-Iranian Journal*, i, 294-7.

passage, for the simile of a bird in flight is also a favourite of Dhū'l-Nūn's<sup>1</sup> and may derive from him.

Perhaps of all the sayings of Abū Yazīd that shocked his pious contemporaries, the most shocking was *subḥānī, mā a'ẓama sha'nī*, 'Glory be to me, how great is my glory'.<sup>2</sup> It is very possible, however, that Abū Yazīd never went further than to say *subḥānī*, which is all that Sarrāj<sup>3</sup> records, while Sahlaḥ reports no less than three versions of this particular logion, and it is therefore probable that the second phrase is in each case a gloss. Besides 'How great is my glory' we also have 'How great is my sovereignty (*sulṭānī*)',<sup>4</sup> and, more striking still, 'I am the Lord Most High',<sup>5</sup> the last of which is also reported as a separate saying.<sup>6</sup> Now *subḥānī*, 'Glory be to me', is absolutely blasphemous to Muslim ears, and nothing remotely comparable is recorded of any of the Ṣūfīs who preceded Abū Yazīd, and once again we find the explanation of it in a Hindu source: for the Sanskrit equivalent of these words occurs in the *Bṛhatsannyāsa* Upaniṣad,<sup>7</sup> where we read *mahyam eva namo namaḥ*, 'Homage, homage to me'.

There is, however, yet another parallel between the sayings of Abū Yazīd and the Upaniṣads. Of Abū Yazīd it is recorded that he said: 'I sloughed off my self as a snake sloughs off its skin: then I looked into my self and lo! I was He.'<sup>8</sup> In this case it is quite true that the metaphor of sloughing off the self as a snake sloughs off its skin might derive from sources other than the Vedānta, for it occurs in the very first stanza of the Buddhist *Suttanipāta*, but the combination of the simile with the statement 'I am He' points to only one possible source. This source is the Vedānta or, more specifically *Bṛhadāraṇyaka* Upaniṣad 4.4.7, 12 where we read:

'As the sloughed off skin of a snake lies on an ant-hill, dead, cast off, so does this body lie. But this incorporeal, immortal spirit is

<sup>1</sup> Abū Nu'aym, *Hilyat al-Awliyā*, ix, 364, 385.

<sup>2</sup> Sahlaḥ, p. 78.

<sup>3</sup> Op. cit., p. 390. Sarrāj is our earliest source (d. A.D. 988).

<sup>4</sup> Sahlaḥ, p. 111.

<sup>5</sup> Ibid., p. 68.

<sup>6</sup> Ibid., p. 103.

<sup>7</sup> See F. O. Schrader, *The Minor Upaniṣads*, Madras, 1912, i, 257.

<sup>8</sup> Sahlaḥ, p. 77.

Brahman indeed, is light indeed. . . . If a man should know himself (his *ātman*) and say: "I am He", what could he possibly wish for or desire that would make him cling to the body?"

Once again the resemblance is too close to be fortuitous: there is the same simile of the snake sloughing off its skin and the same conclusion framed in the same words ('I am He', *ayam asmi* in Sanskrit = *anā hūwa* in Arabic) and expressing in the shortest compass the basic doctrine of the non-dualist Vedānta, that is, the absolute identity of the human soul with the Absolute. Moreover, there is further evidence that this logion of Abū Yazīd is directly borrowed from the passage from the *Bṛhadāraṇyaka* we have just quoted, for the saying is preserved not only by Sahlaḥjī but also by Bīrūnī<sup>1</sup>—but with a significant variant; for whereas Sahlaḥjī uses the same word (*naḥsī*) for the self sloughed off and the self into which Abū Yazīd looks and which he discovers to be 'He', that is God, Bīrūnī uses *naḥsī* in the first instance and *dhātī* ('my essence') in the second.

We saw in an earlier lecture how the Sanskrit word *ātman* is used not only as a reflexive pronoun, but is also pressed into service to mean the immortal soul whether of the individual or the world, that soul as conjoined with a body, and what the Muslims call the *naḥs* or lower soul; and we saw that the same ideas appeared in Ṣūfism, but that the Arabic terminology was far more clear and varied. Both accounts of this saying of Abū Yazīd would appear again to be translated from a language which makes no verbal distinction between the concept of *naḥs* or 'lower soul' and that of *dhāt*, the eternal spiritual essence of man. Once again, then, the problem finds its solution in the Sanskrit language where *naḥsī* and *dhātī* can only be represented by the one word *ātman*—'self'. Thus we have no less than four instances in the sayings of Abū Yazīd of words or phrases which are either nonsensical or blasphemous or both in the Arabic-Muslim setting, but which are closely paralleled in Sanskrit Vedāntin texts where alone they fit. Thus not only are Abū Yazīd's ideas pure Vedānta, the very words and phrases he uses only make sense when seen in a Sanskrit context.

<sup>1</sup> *Indiā*, ed. Sachau, p. 43; translation, i, 87–88.

Thus the evidence for Vedāntin influence on Abū Yazīd is not merely the fact that his master was a man from Sind, but the inexplicability of many of his utterances except against a Vedāntin background. It is this internal evidence that makes it overwhelmingly probable that Abū Yazīd's master, Abū 'Alī, was a native of what everyone normally understands by Sind and not of a village in Khorasan: for wherever this man, Abū 'Alī, came from, the 'ultimate truths' he seems to have imparted to Abū Yazīd are pure Vedānta and nothing else. It thus seems reasonably certain that Abū Yazīd was directly influenced by a totally alien stream of mysticism and that it was through him that Vedāntin ideas became part and parcel of later Islamic mysticism.

Abū Yazīd was not an educated man<sup>1</sup> and showed the greatest contempt for book-learning.<sup>2</sup> It is therefore probable that his master, Abū 'Alī, instructed him verbally, and Abū Yazīd himself may have been quite ignorant of the origin of those outrageous theories he made so thoroughly his own. This would seem to be the usual way in which foreign ideas percolated into Islam, and we may assume that just as the sayings of Christ and St. Paul passed into Islam, presumably by word of mouth, and were then transmuted into traditions of the Prophet,<sup>3</sup> so did logia from the Upaniṣads and other Hindu writings pass into Islam by the mouth primarily of Abū Yazīd.

It is known that Buddhist material passed into Islam in a literary form, and Dr. D. M. Lang has done much to illuminate the filiation of these ideas, often through a Manichean medium.<sup>4</sup> Apart from the various versions of *Barlaam and Josaphat* we find the celebrated Buddhist story of the blind men and the elephant from the *Udāna* reappearing in Tawhīdī<sup>5</sup> and Ghazālī,<sup>6</sup> and later in

<sup>1</sup> Sahlajī, p. 53.

<sup>2</sup> Ibid., p. 116.

<sup>3</sup> See *Indo-Iranian Journal*, i, 287-8.

<sup>4</sup> D. M. Lang, *The Wisdom of Balahvar*, London, 1957, pp. 24-29.

<sup>5</sup> *Kitāb al-Muqābasāt*, Cairo, 1929, pp. 259-60. Cf. Fritz Meier, 'Das Problem der Natur im esoterischen Monismus', *Erano's Jahrbuch*, xiv (1946), pp. 174-80. Dr. S. M. Stern had kindly drawn my attention to this passage before I had seen Meier's article.

<sup>6</sup> *KS.*, i, 50-51.



Sanā'ī<sup>1</sup> and Jalāl-Dīn Rūmī.<sup>2</sup> The point of the story is that just as the blind men each feel only a part of the elephant, and thereby run away with the idea that their partial experience is the whole truth, so do the various religions possess only partial truth, yet all claim, in their blindness, to possess the whole. This condemnation of all dogmatism which is fundamental to Buddhism suited the book of the later Ṣūfīs very well, since as time went on they became increasingly impatient of the dogmatics of the theologians. The Buddhist origin of the story was, however, quite forgotten.<sup>3</sup>

Buddhism, however, was not an esoteric creed, and there is thus nothing surprising in the transmission of Buddhist ideas into Islam through literary channels. The doctrines of the Upaniṣads on the other hand were regarded as being highly secret and not lightly to be divulged to unauthorized persons; and it is highly significant that Bīrūnī, in his study of India, was unable to obtain access to them. It does then seem an odd chance that these very doctrines should have made their way into Islam—assuming of course that our theory is correct—through the collaboration of a convert from Hinduism and the grandson of a Zoroastrian who shouted them from the housetops, so intoxicated with this heady wine was he.

Among the sayings of Abū Yazīd there are also some which bear a strange likeness to Buddhist texts. Thus he is reported as saying:

You see how rivers flow with a plashing, chattering sound, but when they draw near to the sea and mingle with it, their plash and chatter is stilled, and the sea has no experience of them nor do they increase in it; nor if they were [again] to emerge from it, would they have the slightest effect on it. Man is like the torrent and the sea; for the torrent, so long as it is alone, bustles along in its course and makes loud its chatter, but when it draws near to the sea and mingles with it, its bubbling and

<sup>1</sup> *Ḥadiqat al-Ḥaqīqa*, Tehran, 1329, A.H. (solar), p. 69.

<sup>2</sup> *Maṣnavī*, iii, 1259 ff.

<sup>3</sup> In this case the story probably passed through the Buddhists of Central Asia, for it occurs in Khotanese. See M. Leumann, 'Das nordiranische (sakische) Lehrgedicht des Buddhismus' in *Abhandl. für die Kunde des Morgenlandes*, Leipzig, 1933-6, II, 122, p. 24. Reference kindly supplied by Professor H. W. Bailey.

chatter are stilled, and the sea has no experience of it, nor does it increase or decrease though [the torrent] were turned back [again].<sup>1</sup>

This seems clearly to be based on *Udāna*, p. 55, which reads as follows:

Just as—whatsoever streams flow into the mighty ocean and whatsoever floods fall from the sky, there is no shrinkage nor overflow seen thereby in the mighty ocean, even so . . . though many monks pass finally away into that condition of *nirvāṇa* which has no remainder, yet there is no shrinkage nor overflow in that condition of *nirvāṇa* seen thereby.

The parallelism is surprisingly exact, but the simile of rivers flowing into the ocean is to be found in almost all forms of mysticism, whether pantheistic or theistic, and should not for that reason be unduly stressed. Abū Yazīd's borrowings from Vedānta are, however, in quite another class since closely parallel passages are found nowhere else except in the Upaniṣads and are utterly characteristic of them.

Now it would be interesting to trace the development of Abū Yazīd's ideas from a form of mysticism that was not noticeably different from that of his predecessors to the extreme Vedāntin position expressed in those sayings of his which we have quoted. More particularly would it be interesting to know at what stage of his career he met Abū 'Alī of Sind. The only clue we have to this is the following. Abū Yazīd is said by Sarrāj to have rebuked Abū 'Alī for the performance of a miracle<sup>2</sup> on the grounds that the performance of miracles is evidence of distraction from the serious business of pure mystical experience. Elsewhere he is said himself to have been favoured with miracles in his early life though even then he set no great store on them.<sup>3</sup> It seems then fair to conclude that his association with Abū 'Alī was not a youthful one; and this would go a long way to explain the very marked difference that can be detected both in his sayings and in the accounts of his attitude towards the religious law and practice of Islam.

To present a clear picture of Abū Yazīd's teaching, let alone of

<sup>1</sup> Sahlaḥjī, p. 124.

<sup>2</sup> Sarrāj, p. 325.

<sup>3</sup> Ibid., p. 324.

any consistent development within it, is, however, impossible. The traditions attributed to the Prophet, even when accepted as genuine by the foremost traditionalists, are frequently suspect. So too, in the case of the sayings of the early Ṣūfīs, we would be well advised to preserve a healthy scepticism as to their authenticity, since they were never subjected to the kind of control which, in the course of the early Muslim centuries, became *de rigueur* in the case of the sayings of the Prophet. The need for caution becomes apparent when we compare the early works on Ṣūfism with each other, and a glance at Zhukovsky's index to Hujwīrī's *Kashf al-Mahjūb* will suffice to convince us that the attribution of a given saying to a given Ṣūfī was often more or less haphazard. Even Rābi'a's description of the two loves the soul entertains for God which Dr. Margaret Smith has made famous,<sup>1</sup> is attributed to a chance acquaintance of Dhū'l-Nūn by the *Hilyat al-Awliyā* of Abū Nu'aym al-Iṣfahānī.<sup>2</sup> In the case of Abū Yazīd the sayings attributed to him by our earliest source, Abū Naṣr al-Sarrāj, may perhaps be accepted with a certain degree of confidence, for they were accepted as genuine by Junayd who wrote a commentary on them and who died about half a century after him:<sup>3</sup> but the recently published selection of his sayings which are certainly the work of Sahlajī<sup>4</sup> must be treated with some reserve. It is an honest enough attempt, indeed, to record all the sayings attributed to the master in Sahlajī's own day,<sup>5</sup> but that day was some two hundred years after the death of Abū Yazīd, and there was thus plenty of time to elaborate freely on the original deposit. This process of free elaboration reaches its finest flower in the *Tadhkirat al-Awliyā* of Farīd al-Dīn 'Aṭṭār, and it is in itself of very considerable interest, for it shows how the later Ṣūfīs attempted to explain or explain away utterances which were in no way reconcilable with orthodoxy.

<sup>1</sup> *Early Mysticism in the Near and Middle East*, p. 223: cf. Abū Ṭālib al-Makkī, *Qūt al-Qulūb*, Cairo, 1932, iii, 84.

<sup>2</sup> *Hilya*, ix, 348.

<sup>3</sup> Sulamī (*Ṭabaqāt al-Ṣūfiyya*, p. 156) gives the date of Junayd's death as A.H. 297 and that of Abū Yazīd as either 261 or 234. Sahlajī gives the latter date only.

<sup>4</sup> See *Indo-Iranian Journal*, i, 290, n. 14.

<sup>5</sup> On Sahlajī's date see *Indo-Iranian Journal*, loc. cit.

There was, however, one very simple expedient to which the ecstatic Ṣūfī could resort if he wished to avoid a head-on collision with authority, and that was to let it be understood that he was mad. This Abū Yazīd himself did when he was accused of neglecting certain of his religious duties,<sup>1</sup> and many of the curious who had gathered round him were content to dismiss his claims to be God as the result of madness. 'Abū Yazīd has gone mad,' they said with a shrug and left him.<sup>2</sup> This too was the impression of an emissary whom Dhū'l-Nūn had sent to wait upon the great man.<sup>3</sup> Shiblī was later to resort to the same expedient<sup>4</sup> and it was Ḥallāj's refusal to do so that cost him his life. It is quite possible that Abū Yazīd may have derived this idea from India too, for we read in the *Nārada-parivṛāja* Upaniṣad that the perfected Sannyāsin should behave like an idiot.<sup>5</sup> In any case the device was to become a common one among the later Ṣūfīs, and in 'Aṭṭār's *Muṣibat-nāma* the word *divāna*, 'madman', became a synonym for an ecstatic mystic. Abū Yazīd was responsible for a lot of the less immediately attractive features of Ṣūfism.

The dichotomy between those sayings of Abū Yazīd in which he explicitly identifies himself with God and the more conventional sayings which are attributed in equal number to him, can perhaps be explained by comparison with the case of Abū Sa'īd ibn Abī'l-Khayr with whom he has much in common. We are told<sup>6</sup> that for forty years the latter indulged in the fiercest austerities and strictly observed the religious law, but that once he had achieved what the Hindus called liberation and he himself sometimes calls 'freedom',<sup>7</sup> he considered that he had passed beyond the law and fully demonstrated this conviction by his outrageous behaviour. So too, perhaps, in the case of Abū Yazīd we may attribute the

<sup>1</sup> Sahlaḡī, p. 95.

<sup>2</sup> Ibid., p. 122.

<sup>3</sup> Qushayrī, p. 38.

<sup>4</sup> Sarrāj, p. 50.

<sup>5</sup> F. O. Schrader, *The Minor Upaniṣads*, i, 184 and 147.

<sup>6</sup> In the *Asrār al-Tawḥīd fī Maqāmāt al-Shaykh Abī Sa'īd*, by Ibn Munawwar, ed. Tehran, 1332, A.H. (solar).

<sup>7</sup> See below, p. 120.

more conventional sayings to the time when he was still on the path and his extravagant claims to be identical with God to the period when he considered he had entered in unto the 'truth', as the Ṣūfīs would say.

If my contention that Abū Yazīd had direct access to Vedāntin teachings is right, his case is full of interest, for it will show us how a man brought up in a monotheistic tradition and a school of mysticism that regarded the love of God leading to the contemplation of his holiness as the highest goal of man, managed to adapt his theology and mystical practice to a system, the cornerstone of which is that the human soul is identical with God. 'I sloughed off my self as a snake sloughs off its skin, and I looked into my essence and saw that "I am He".'<sup>1</sup> This must describe an experience that Abū Yazīd believed he actually had had, and which the Vedāntin terminology he had learnt from his master seemed accurately to describe. Prominent, if not overwhelming, must have been the experience of passing beyond space and time, later described by Abū Sa'īd in the words, 'For me there is no space, neither below nor above, neither right nor left, there is no [such thing as] direction'.<sup>2</sup> The same experience is interpreted by Abū Yazīd as being everywhere at the same time. 'This servant', he says, 'is with God in all places and no place is devoid of him.'<sup>3</sup> This means that he is present in any place he pleases, for he has altogether passed beyond place and space. The experience is expressed by the Upaniṣadic phrase 'I am this All', and since the All is, for Abū Yazīd, also God, he must therefore *be* God. In such a state when the mystic sees himself divested of all human attributes and adorned with divine ones, he is almost bound to think he is God, for in Islam no clear distinction is drawn between God and what the Gītā calls *mad-bhāva*-, 'the mode of existence in which God has his being'. This is scarcely surprising, for the Gītā itself is far from clear on this point, and it took a Rāmānuja to draw what was for him a vital distinction. Abū Yazīd, on the contrary, discards his experiences of divine love as being merely a prelude to the experience of absolute unity, for when all is one, plainly there can be

<sup>1</sup> See above, p. 98.

<sup>2</sup> *Asrār al-Tawḥīd*, p. 115.

<sup>3</sup> *Sahlajī*, p. 75.

no room for love, the nature of which is a mutual outpouring between two persons.

Abū Yazīd has made himself so notorious by his identification of himself with God that we tend to forget that he has some very beautiful things to say about the love that is possible between the Creator and his creature. 'The perfection of the mystic', he says, 'is that he should burn in his love for his Lord,'<sup>1</sup> and 'the reward the mystic receives from his Lord, and his perfection, is to burn in God and for God (*la-hu*).'<sup>2</sup> Or again he marvels at God's loving condescension to his creature: 'There is nothing marvellous in my love for thee, for I am a poor slave; but there is something quite marvellous in thy love for me, for thou art a mighty king,'<sup>3</sup> and not only a mighty king, but also the perfect object of love, 'for it is impossible to know thee', he says, 'and not to love thee.'<sup>4</sup> Moreover, this love has no end because you cannot exhaust the unfathomable riches of an infinite Being, and the lover's 'thirst is never quenched, his tongue hangs out, and he says, "Is there yet more?"'.<sup>5</sup> The intensity of this passionate longing to be with God entails an equally passionate desire to conform oneself so utterly to God that one regards one's own creaturely existence as a hindrance in the way, for, to live the divine life, self must be utterly annihilated. In a characteristic passage he says: 'The man who is advanced [on the mystic path] is lashed with the whip of love, slain with the sword of passionate longing, propped up against the gate of awe.'<sup>6</sup> In assessing the monistic utterances of Abū Yazīd this is the background against which we must see them, for it would be quite unrealistic to treat the two as unrelated phenomena.

There is one saying of his, however, which quite contradicts this exaltation of passionate love, and that is: 'Those who follow the way of love are screened [from God] by their [very] love.'<sup>7</sup> This is a complete denial of all that has gone before and so radical a reversal of the mysticism of love that is the hall-mark of Abū Yazīd's contemporaries and predecessors that we are driven again

<sup>1</sup> Sahljāī, p. 81. <sup>2</sup> Ibid., p. 135. <sup>3</sup> Ibid., pp. 109, 122. <sup>4</sup> Ibid., p. 122.

<sup>5</sup> Ibid., p. 136. <sup>6</sup> Sarraj, p. 227. <sup>7</sup> Sahljāī, p. 133.

to suspect the intervention of an outside influence; and once again no rational explanation is forthcoming unless we accept a direct Vedāntin influence on Abū Yazīd personally. What, then, was the attitude of the contemporary Vedānta in India to worship and devotion to God?

The *Sanmyāsa* Upaniṣads, Gauḍapāda's *Kārikā* to the *Māṇḍūkya* Upaniṣad, the *Laws of Manu*,<sup>1</sup> and Śaṅkara himself, all speak of the liberated man as having passed beyond all the rites of religion. Śaṅkara, however, does strongly recommend an intense and loving devotion to God and was himself the author of many strikingly beautiful hymns to both Viṣṇu and Śiva, but always he insists that these are merely convenient rungs on the ladder to the One. Once a man has realized himself as the One, all else falls away from him; all else, including devotion to a deity, is seen for what it is, and in the eyes of the liberated soul, which is now the One without a second, what it is is pure illusion; it is nothing. Imagine the impact of such a doctrine on one of Abū Yazīd's highly emotional temperament, nurtured as it had been on a mysticism of passionate love.

His ecstasies which take him out of space and time now appear to him in the light of an alien philosophy. Now there is no longer any blasphemy in saying, 'I am He', for that is the 'ultimate truth' he had learnt from his master. However, the God he had known, the Allah of the Qur'ān, was a very different God from either Viṣṇu or Śiva: he was an intensely personal God, and with him, Abū Yazīd had thought, he had had relations of loving intercourse, but now he feels able to say that love itself is a screen. Having reached this supreme eminence, he, like his Indian teachers, now considered himself beyond the religious law, beyond all ritual acts of piety. He does not go to Mecca, Mecca comes to him.<sup>2</sup> He who once denied all value to miracles unless the worker of miracles scrupulously followed every jot and tittle of the law,<sup>3</sup> he who had bitterly accused himself for stretching his legs in a mosque,<sup>4</sup> and refused to concede sanctity to a venerable Ṣūfī who had spat in a mosque,<sup>5</sup> now considers that acts of worship are merely ordained

<sup>1</sup> vi, 95-96. <sup>2</sup> Sahlajī, p. 124. <sup>3</sup> Sarraj, p. 324. <sup>4</sup> Ibid., p. 201. <sup>5</sup> Sahlajī, p. 65.

for those who are incapable of mystical experience,<sup>1</sup> while he himself has reached a stage in which he makes all the acts of worship ever offered in all the heavens and all the earth a pillow on which to rest his cheek.<sup>2</sup> He no longer considers himself bound by worship, or asceticism, or mystical knowledge itself, and loses his temper when his deficiency in the first two is mentioned, claiming that he himself is the source of all three.<sup>3</sup> Meditation too was a hindrance, for only when he had given it up did he realize his identity with God.<sup>4</sup> Reading the Qur'ān and other religious observances were all very well for the ordinary believer, but the perfected mystic had passed beyond all this as he had passed beyond all reason.<sup>5</sup> His growing contempt for all organized religion and for Islam in particular is best exemplified in the terse comment he is alleged to have made when passing a Jewish and a Muslim cemetery. Of the Jews he said, 'They are pardoned', of the Muslims, 'They are duped'.<sup>6</sup> And this is the man whose orthodoxy Junayd sought so ardently to defend!

Just as he came to believe himself to be exempt from the law of the Prophet, so did he come to regard himself as being superior to the Prophet himself. He had indeed said that the Prophet was endowed with knowledge that even the angels did not possess<sup>7</sup> and confessed that between even his own most exalted state and that of the Prophet there were a thousand degrees that separated the former from the latter,<sup>8</sup> but once that he had convinced himself that he was very God, he had no hesitation in saying: 'Verily all creatures are beneath the banner of Muhammad, but, by God, my banner is more exalted than the banner of Muhammad. My banner is of light, and beneath it are all the prophets that have ever appeared among Jinn and men.'<sup>9</sup>

Such a complete change in the character of a man and, through the man, of the movement of which he formed part, was not, I think, possible without a powerful influence from outside, and the only influence that fully explains the sayings attributed to Abū

<sup>1</sup> Ibid., p. 135.

<sup>2</sup> Ibid., p. 133.

<sup>3</sup> Ibid., p. 111.

<sup>4</sup> Ibid., p. 124, cf. p. 80.

<sup>5</sup> Ibid., p. 95.

<sup>6</sup> Sarrāj, pp. 392-3.

<sup>7</sup> Sahlajī, p. 88.

<sup>8</sup> Ibid., p. 66.

<sup>9</sup> Ibid., p. 111.



Yazīd is that of the extreme non-dualist Vedānta which Śaṅkara had revived in India only a short time before Abū Yazīd appeared on the scene. Not only do some of his sayings show an unmistakable Vedāntin origin, but his whole mode of life, his new-found contempt for religious forms which was the natural result of the conviction that he *was* God, are exactly paralleled in the Vedānta of Śaṅkara; for it is that philosophy alone which considers all worship and all religious law to be of value only to the man who has not reached liberation, and to be deliberately disregarded by the liberated. The liberated man abandons all but the Self:<sup>1</sup> the Self is Brahman, and since the Muslims had no concept comparable to Brahman, the self therefore must be God. So Abū Yazīd had no choice but to exclaim, to the outraged horror of the orthodox, 'I am He'. So did Indian monism make its way into the Muslim creed which should have abhorred it.

<sup>1</sup> *Nārada-parivṛāja* Upaniṣad, E. O. Schrader, *The Minor Upaniṣads*, i, 199

## VI

### Self-Deification

IN our last lecture we saw that in Abū Yazīd of Bisṭām Indian mysticism for the first time makes itself felt in Islam; and even if we reject the evidence as mere coincidence, we cannot deny that, with Abū Yazīd, a wholly new way of expressing mystical experience passes into Islam. Prior to Abū Yazīd the essence of the mystical approach to God had been *takhalluq bi-akhlāq Allāh*, 'conforming oneself to the character of God'<sup>1</sup> so that man becomes a mere instrument of God's will: God acts through the perfected mystic, but does not destroy his personality. The idea is expressed in a probably spurious but endlessly quoted tradition: 'When my servant draws ever nearer to me by performing works of supererogation, then do I begin to love him; and once I have started to love him, I become his ear by which he hears and his eye by which he sees.'<sup>2</sup> God displaces the human personality, but does not destroy it. When this has happened, what remains of the human personality is completely 'deified', to use the expression of St. John of the Cross. 'Love between two [persons] is not sound,' says Sarī al-Saqatī, Junayd's master, 'until the one can say to the other, "O thou I".'<sup>3</sup> Here, it must be supposed, it is God who addresses the soul, for the human soul, in so far as it is created and human, is now totally displaced. All this was thoroughly familiar to Abū Yazīd, but he goes much further. Transforming union, for him, does not only mean that God uses the perfected soul as the instrument through which he acts, but also that the soul actually becomes God.

<sup>1</sup> E.g. Abū Nu'aym, *Hilyat al-Awliyā*, ix, 376.

<sup>2</sup> The earliest quotation of this tradition by a Ṣūfī known to me is by Junayd. See *Islamic Quarterly*, i, 80.

<sup>3</sup> Qushayrī, p. 146.

Now in the Vedānta a distinction is always drawn between Brahman, the One, who is the eternal impassive ground of all action and change, and Īśvara, the Lord, who is the omnipotent, omniscient God who creates the universe by his *māyā*. In the Upaniṣads and the Gītā the relationship between the two is anything but clear, but in the completely non-dualistic Vedānta standardized by Gauḍapāda and Śaṅkara it is made perfectly plain, as it was already in the *Māṇḍūkya* Upaniṣad, that the Lord is only the first emanation from the Absolute Brahman. In the sphere of relativity he is absolutely supreme, but in his relationship to the Absolute he is non-existent except that in so far as he is an emanation of the Absolute, he also is the Absolute just as, in the last analysis, all human souls are. *Quā* Lord and agent, however, and as operating in time, he is as unreal as are unliberated souls; in fact he is the *māyin*, the Lord of *māyā*, and as such the author of the whole unreal universe, the creator of unreality or *avidyā*, 'cosmic nescience', as Śaṅkara prefers to call it. Thus as the author of unreality he is distinct from human souls who are the victims of that very unreality with which he envelops them, but as himself identical with Brahman because proceeding from him he is identical with them too.

Śaṅkara's dates are given by Surendranath Dasgupta<sup>1</sup> as 788–820, and the revival of monistic thought by Gauḍapāda within Hinduism is thought by him to be datable at 'about the period A.D. 780'. Rāmānuja's dates are much later and are given by the same authority as 1017–1137.<sup>2</sup> According to Sahlajī<sup>3</sup> Abū Yazīd died in 234/848 at the age of seventy-three, though Sulamī gives an alternative date 261/874.<sup>4</sup> Abū Yazīd, then, was only slightly the junior of Śaṅkara, and the 'ultimate truths' he would have learnt from his Sindī master would therefore be the Vedānta according to Śaṅkara, not the Vedānta according to Rāmānuja who was yet to be born. Since it is fatally easy to confuse all types of mysticism under one head, Abū Yazīd was bound to go a step beyond the older Ṣūfīs; he was bound to identify his former experience of

<sup>1</sup> *A History of Indian Philosophy*, i, 418.

<sup>2</sup> *Ibid.*, iii, 100, 104.

<sup>3</sup> p. 63.

<sup>4</sup> See above, p. 103, n. 3.

loving communion with the Vedāntin experience of identity with the Absolute. From identity of will he jumps forward to the idea, wholly revolutionary in Islam, of identity of essence.

Islam has no concept corresponding to the Brahman of the Vedānta. Allah, like the Yahweh of the Old Testament, is essentially an active God, he is not the timeless Absolute of philosophy. He is a God who deals with men, not something in which the soul can be absorbed. Islamic mysticism, however, taking over many of its leading ideas from Christianity, sees in God the eternal Beloved who draws the soul towards himself in an ecstasy of love, and in the ultimate phase of this love God can say to the soul *Yā anā*, 'O thou I'. However, he always remains an operating, active God, who leads the soul to himself. The Īśvara of the *Yogasūtras*, of the *Śvetāśvatara* Upaniṣad, and of the first chapters of the *Bhagavad-Gītā*, on the other hand, leads the soul on not to union with himself, but to 'isolation' or *brahmabhūya*, 'the condition of Brahman', 'pure' existence in which the distinction between subject and object is lost, and time and space are thereby transcended. Abū Yazīd could scarcely have been aware of the distinction which Rāmānuja was later to make between *mad-bhāva*, 'a divine state of being', and the personal encounter with God himself, since the distinction had never yet been clearly formulated. Any experience, then, which took him outside time and space and beyond all differentiation he would interpret as identity of essence with God: for him there was neither morning nor evening. 'Morning and evening are only for those who are still subject to attributes,' he says, 'and I have no attributes.'<sup>1</sup> 'Attributes' (*ṣifa*) corresponds to the Sanskrit *nāma-rūpa*, 'name and form', and Brahman is devoid of these. But Ṣūfī theology too was beginning to interpret God's *tanzīh*, his total unlikeness to all created things, as meaning that, in his essence (*dhāt*) as opposed to his attributes, he was quite unqualifiable, but they did not separate the essence from the 'essential' attributes which inhered in his essence. When Abū Yazīd says *Anā hūwa*, 'I am He', and so reproduces, almost certainly without knowing it, the *ayam asmi* of *Bṛhadāraṇyaka* Upaniṣad 4.4.12, he is

<sup>1</sup> Sahlaḥjī, p. 70.

not merely saying that he is Brahman, that is, in some sense identical with a passionless, featureless, qualityless Absolute; he is saying, so far as his audience is concerned, that he is the Muslim God, the Merciful, the Compassionate, the Ruler of the Day of Judgment. This, of course, was not only blasphemy but nonsense.

Abū Yazīd, however, did not shrink from the consequences; and if only a fraction of the sayings attributed to him by Sahlajī is authentic, it is quite plain that he claimed to be God in all respects.

The original form in which Abū Yazīd made formal claim to identity with God is probably in the saying which is quoted by both Bīrūnī and Sahlajī: 'I sloughed off my self as a snake sloughs off its skin, and I looked into my essence (or self) and lo, I was He.'<sup>1</sup> This is likely to be original both because we have it from two wholly unconnected sources, and because it reads as a paraphrase of *Bṛhadāraṇyaka* Upaniṣad, 4.4.7, 12. The phrase, however, also appears in the form 'I am Thou',<sup>2</sup> and in order, presumably, to make clear his position of absolute monism, as 'I am I'.<sup>3</sup> The passage where this last phrase occurs alone is absolutely astonishing. Abū Yazīd, in his quest for God, reaches his throne, finds it empty, and therefore takes possession of it himself. Then he says: 'I plunged into the oceans of *malakūt* (the realm of pure ideas) and the veils of deity (*lāhūt*) until I reached the throne, and lo, it was empty; so I cast myself upon it and said, "Master, where shall I seek thee?" And the veils were lifted up,<sup>4</sup> and I saw that I am I, yea, I am I. I turned back into what I sought, and it was I, no other, into which I was going.' The phrase 'I am I' is combined with 'I am He' in another saying: 'I am not I, I am I, for I indeed am He, I am He, I am He, He.'<sup>5</sup>

Once again these sayings which to Muslim ears were either heinous blasphemy or plain lunacy, are absolutely typical of the

<sup>1</sup> See above, p. 98.

<sup>2</sup> Sahlajī, pp. 109, 119.

<sup>3</sup> Ibid., p. 128.

<sup>4</sup> Arberry, *Revelations and Reason in Islam*, p. 96, translates: 'He (God) unveiled.'

<sup>5</sup> Sahlajī, p. 111. Arberry (ibid., p. 98) translates this apparent gibberish thus: 'I am not I I I because I am He I am He I am He He.'

Vedānta. When the mystic affirms 'I am I', he uncompromisingly affirms the sole existence of the One, which must be 'I', whatever else it may be, for 'I' is the subject of all experience. Again 'I am not I' means 'I am not R. C. Zaehner, height 5 ft. 6½ in. as described in my passport or *Who's Who*'; that is not me, but only my *nāma-rūpa*, my 'name-and-form'. And it is for such elementary confusions as these that Śāṅkara gently chides his pupil in the *Upadeśasāhasrī*.<sup>1</sup> I am not the psycho-physical frame my soul inhabits, nor the mind which has laboriously elucubrated these lectures; no—as both the Vedānta and Abū Yazīd teach, 'I am He'. But 'He', for Abū Yazīd, is no unqualifiable Absolute, it is the Allah of the Qur'ān, and so it is only natural that Abū Yazīd should see himself as occupying the throne of Allah. In Hindu terminology he sees himself not only as Brahman but as Īśvara too, so he has no scruple in exclaiming, 'I am the Lord Most High',<sup>2</sup> or more preposterously still: 'Verily, I am—there is no God but me, so worship me.'<sup>3</sup> Here he claims explicitly to be the Allah of the Qur'ān, not merely the 'Truth' as Ḥallāj was to do, nor the Lord, terms which are applicable to what is other than God. He uses the one Name of God which, as he himself points out, God shares with no created thing.<sup>4</sup> In this he goes beyond the Vedānta, for in that system the soul does not become identical with the Lord who is the creator of the universe, but passes clean beyond him into the wholly undifferentiated Brahman. As ruler of the world and as the supreme object of worship, the Lord is the sole author of *māyā*, and the liberated soul can never be identified with him *in this capacity*, for 'occupation with the affairs of the world' (*jagad-vyāpāra*—) is the exclusive privilege of the Lord, not of any individual soul, bound or released. This, at any rate, appears to be the clear sense of *Brahmasūtras* iv. 4.17–21 and of Śāṅkara's commentary thereon.

Abū Yazīd, however, was no scholar, and, for him, to be Brahman could only be interpreted in Muslim terminology as meaning to be God, both in his essence and in his attributes, whereas the Vedānta teaching is rather that the liberated soul *is*

<sup>1</sup> I.10.<sup>2</sup> Sahlaḥjī, p. 103.<sup>3</sup> Ibid., p. 122.<sup>4</sup> Ibid., p. 83.

God in his essence but not in his attributes: it is the One, but not the Creator of heaven and earth. This distinction seems occasionally to have been dimly apparent to Abū Yazīd as the following saying shows:

The first time I made the pilgrimage I saw the House (i.e. the Ka'ba), and the second time I made it I saw the Lord of the House, but not the House, but the third time I made it I saw neither the House nor the Lord of the House.<sup>1</sup>

This presumably means that once the mystic has achieved a condition in which he is devoid of all attributes, he passes beyond the personal God into a purely featureless form of existence, the '*nirguṇa* Brahman' or 'Brahman without quality' of the Vedānta. It seems that it is to a misunderstanding of this idea that we must attribute this utterance of our hero: 'By God's life, my onset is more violent than God's.'<sup>2</sup> This is a comment on the Qur'ānic passage: 'Verily the onset of thy Lord is violent',<sup>3</sup> which then goes on to proclaim the greatness of God as creator:

It is he who originates and restores,  
And he is the forgiving, the loving,  
The occupant of the throne, the glorious,  
The doer of what he intendeth.

God's *batsh* or 'onset' is thus interpreted as his creative activity, and in saying that his own onset is greater than God's Abū Yazīd would seem to mean that he is greater than the Creator in that he has pierced clean through beyond the distinction between Creator and created into the Absolute One. Yet not only is he God and what is beyond him, he is also the archetype of all creation, for he also claims to be the 'guarded Tablet',<sup>4</sup> the uncreate source of all revelation. His self-deification, then, is more absolute than any but the most extreme positions taken up by the Vedāntins.

Now it can be readily imagined that this new type of mysticism introduced into the main current of Ṣūfism must have caused some alarm to those whose principal preoccupation was to gain recognition from the theologians for the orthodoxy of the Ṣūfī movement.

<sup>1</sup> Ibid., p. 79. <sup>2</sup> Ibid., p. 111. <sup>3</sup> Qur'ān, 85.12. <sup>4</sup> Sahlaḥjī, pp. 80, 113.

Yet there is no evidence that Abū Yazīd was ever made to suffer for his beliefs beyond being seven times expelled from his native Bistām.<sup>1</sup> This is in sharp contrast to the fate of Ḥallāj who was executed for making a claim that was identical with that of Abū Yazīd—he claimed to be God (*da'wat al-rubūbiyya*).<sup>2</sup> This Abū Yazīd had done time and time again, both explicitly<sup>3</sup> and implicitly, yet no action was taken against him. This may perhaps be explained by the fact that he was assumed to be mad and therefore left in peace. The indifference of orthodoxy, however, is less surprising than the fact that, unlike Ḥallāj, he was not disowned by any of the Ṣūfīs either in his own day or after his death. Junayd, who had refused to have anything to do with Ḥallāj although he continued on close terms with Shiblī whose views were indistinguishable from those of Ḥallāj, did not repudiate Abū Yazīd, which he might easily have done, but wrote a commentary on his ecstatic sayings, the object of which was apparently to clear him of the charge of heresy. No Ṣūfī before Abū Yazīd had dared to make claims such as he did, yet once they had been made, they were never challenged by Ṣūfīs who considered themselves orthodox. On the contrary they were commented on and in the process of time distorted so as to correspond with the individual views of the commentators. This universal acceptance of Abū Yazīd as one of the greatest of the Ṣūfī 'saints' did more than anything else to smooth the way for Vedāntin ideas in a movement which had hitherto regarded the mystic's goal as being union with God, not outright identification with him.

It now remains for us to examine how later commentators explained or explained away the more outrageous claims of this outrageous man, and more particularly how they built out of his sayings the story of his *mi'rāj* or 'ascension' into the world above, how they modified it, and how they fitted it into their own scheme of things. Whether Abū Yazīd himself did not feel in his more

<sup>1</sup> Ibid., p. 48.

<sup>2</sup> See L. Massignon, *Al-Hallaj, Martyr mystique de l'Islam*, i, 138 ff.

<sup>3</sup> Sahlajī, pp. 79, 82: 'The most accurate definition of the mystic is that the attributes of Truth and the mode (*jins*) of lordship flow in him.'



sober moments that he had perhaps overstated the case is not absolutely clear, but one saying of his seems significant in this connexion. 'After attaining the ultimate', he says, 'the mystic's portion is always to return to one thing—asking for forgiveness.'<sup>1</sup> And this doubt that perhaps the Vedāntin interpretation of his experiences was not the true one is borne out by the sobriety and humility of what purport to be his last words; for at the solemn hour of death he makes no extravagant claim to deity, nor does he disdain to address a God with whom he had claimed identity and whom he had once believed he had transcended, he confesses only to his own hopeless imperfection. 'I have never meditated on thee', he says, 'but that I was distracted, nor hast thou laid hold upon me but that I was languid.'<sup>2</sup> These sayings would seem to indicate that Abū Yazīd himself was more doubtful of the authenticity of his claims than some later Ṣūfīs showed themselves to be.

His case is indeed strangely similar to that of Rimbaud which I have dealt with at some length elsewhere:<sup>3</sup> he too had scaled the heavenly ladder and surveyed all creation from the heights of his ecstasy, but he too had to descend and 'ask pardon for having fed himself on lies'. I personally prefer to think that Abū Yazīd too, who esteemed himself so little as a man, saw that to claim to be God—to be Allah, that is—is really absurd. In justice to him whom posterity remembers for saying, 'Glory be to me, how great is my glory', we must record that he is also reported to have said: 'So long as a man thinks that any creature exists worse than himself, he shows pride.'<sup>4</sup>

There can be little doubt that Abū Yazīd was subject to severe fits of depression as well as of elation so intense that he believed himself to be God. In a depressive phase he is alleged to have remained perfectly silent for thirteen years, his chin resting on his knees and no syllable escaping his lips except an occasional 'Ah'.<sup>5</sup> A man whose dismal lot it was to be his companion during these years was told that this was the period of his *qabḍ*, his

<sup>1</sup> Sahlaḡī, p. 122.    <sup>2</sup> Sarrāḡ, p. 210.

<sup>3</sup> *My Mysticism, Sacred and Profane*, pp. 61–83.    <sup>4</sup> Sahlaḡī, p. 133.

<sup>5</sup> *Ibid.*, p. 141.

'constriction' or depression as we would call it, and that things would have been very different had he had the good fortune to be with him during his *bast*, his 'expansion' or elation.

The alternation of extreme elation and intense depression is known to psychology as a manic-depressive psychosis, and the fact that Abū Yazīd was indeed considered by his contemporaries to be mad would indicate that he may very well have suffered from this affliction. The Ṣūfī technical terms *qabḍ* and *bast* are variously explained, but Qushayrī's description of them is worth recalling when we remember that he was a contemporary of Abū Sa'īd ibn Abī'l-Khayr, a fervent admirer of Abū Yazīd's<sup>1</sup> who attached the greatest importance to *bast* or the 'expansion' of the personality for its own sake. Qushayrī is represented by Abū Sa'īd's biographer as being envious of him, and endless anecdotes are told of how the great Shaykh of Mayhana humbled him. When Qushayrī describes *bast* and its evil effects, then, we may be sure that he had Abū Sa'īd in mind.

Expansion and contraction [Qushayrī says] are two [emotional] states which supervene when a man has passed through the states of fear and hope. Contraction in the adept corresponds to fear in the beginner, and expansion to hope. The difference between fear and hope on the one hand and expansion and contraction on the other is that fear is confined to something in the future, be it the loss of a beloved object or the onslaught of something perilous. So, too, hope refers to the attainment of a desired object in the future, to the removal of an obstruction, or to the end of an unpleasantness for the beginner. Contraction, however, means something actually present at the time: so also expansion. The subject experiencing either fear or hope has his mind fixed on the future, whereas those who experience expansion and contraction are presently and actually the prisoner of an overwhelming obsession (*wārid*). The experiences of persons affected by expansion and contraction will vary according to their respective [spiritual or emotional] states. One kind of obsession brings on contraction, but even so the appetite for other things remains; for the mood is not exhaustive. But there are also contracted persons who have no appetite whatever for

<sup>1</sup> He is said to have made a pilgrimage to his tomb. See Ibn Munawwar, *Asrār al-Tawḥīd*, p. 151.

anything except their obsession, for they are entirely devoted to it to the exclusion of everything else. . . . Similarly the expanded man experiences an expansion great enough to contain [all] creation; and there is practically nothing that will cause him fear. He is so 'expanded' that nothing will affect him in whatever state he may be. . . . One of the proximate causes of contraction is that the mind is attacked by an obsession, the cause of which is the presentiment of damnation and a mysterious intuition that such punishment is deserved. Inevitably contraction will gain possession of the mind. The occasion for the [opposite] mood is a presentiment of drawing near to or of approaching some sort of favour or welcome; then the mind will experience expansion. . . .

Expansion comes suddenly and strikes the subject unexpectedly, so that he can find no reason for it. It makes him quiver with joy, yet scares him. The way to deal with it is to keep quiet and to observe conventional good manners. There is the greatest danger in this mood, and those who are open to it should be on their guard against an insidious deception. . . . Both conditions, that of expansion as well as that of contraction, have been considered by those who have investigated the truth of these matters to be things in the face of which one should take refuge in God, for both must be considered to be a poor thing and a harmful one if compared with the [spiritual states] which are above them, such as the [apparent] annihilation of the servant [of God] and his gradual upward progress in the truth.<sup>1</sup>

In this remarkable passage Qushayrī obviously has his rival Abū Sa'īd in mind, for the latter seems to have regarded 'expansion' almost as an end in itself,<sup>2</sup> for in that state he felt that he was God, and since God was all<sup>3</sup> he too was all. This is obviously what Qushayrī is referring to when he speaks of 'an expansion great enough to contain [all] creation'. Such ideas are, he says, 'an insidious deception' and extremely dangerous. Qushayrī, however, was a voice crying in the wilderness: Abū Yazīd had injected into the body of Ṣūfism a dose of the Indian Vedānta that was soon to transform the whole movement. It was now within the power of every Ṣūfī to realize himself as God, and this entitled him to live

<sup>1</sup> Qushayrī, pp. 32-33.

<sup>2</sup> Ibn Munawwar, op. cit., p. 60, etc.

<sup>3</sup> *ḥama ū'st*: ibid., pp. 300, 318, etc.

in total disregard of the Muslim religious law. We have seen how Abū Yazīd saw himself as superior to the Prophet and beyond the law, and this was only natural: for if it is true that his master came to Islam from the Vedānta, then he would have learnt that the laws of all religions are intended only for those souls which have not attained liberation, but in no wise apply to those who have found release, for the released soul cannot possibly derive any benefit from them.<sup>1</sup> Abū Saʿīd was to put this doctrine into practice in no uncertain way, and it is not without significance that he should use the word *āzādī*, 'freedom' or 'liberation' to mean the ultimate state of the mystic when he loses all sense of an independent ego. 'In this state', he says, 'a kind of helplessness overcomes the servant [of God], and his desires fall away from him. The servant (or perhaps 'the bondsman' in this context) becomes free (*āzād*) and at rest (*āsūda*). Thus he desires only what God will desire. The bondsman is finished with and reaches [his] rest. All is He, and you are no one at all.'<sup>2</sup> As far as I know the word *āzādī* (or Arabic *hurriyya*) is not used before Abū Saʿīd to mean 'release' or 'liberation' into a purely spiritual mode of being. The exact correspondence of the term with Sanskrit *mukti* or *mokṣa* is striking, and the mention of 'rest' (*āsāyish*) in this connexion cannot fail to call to mind the Sanskrit *śānti*, 'rest' or 'peace' which is the fruit of liberation. This may be coincidence, but it is nonetheless striking.

The seed that Abū Yazīd had sown bore a rich fruit, and the extravagant antinomianism of Abū Saʿīd is a typical specimen of what the Vedānta looks like in Muslim dress. But not all the Ṣūfīs went the way of Abū Saʿīd: the majority, of whom Junayd is regarded as the founding father, strenuously clung to the outward observance of the law, whatever their inward experience may have led them to believe. Junayd, indeed, who accepted Abū Yazīd as an authentic exponent of true Ṣūfī doctrine, wrote a commentary on his ecstatic utterances; and the fragments of this work which have come down to us merit our attention.

<sup>1</sup> Cf. Śaṅkara on *Brahmasūtras*, iii, 4.15-19.

<sup>2</sup> Ibn Munawwar, op. cit., p. 300. Cf. p. 328: 'God created thee free, so be as he created thee.' Cf. also p. 25 where 'freedom' is identified with madness.

Abū Yazīd's apparently blasphemous 'Glory be to me, I am the Lord Most High' Junayd seeks to explain away as meaning that the vision of God's glory had completely annihilated him and that he was speaking 'in accordance with what had annihilated him, for, being *in* God, he was diverted from the contemplation of him. He bore witness to none other than God, described him, and spoke through him.'<sup>1</sup> This is in conformity with Junayd's own theory of *fanā* or 'annihilation' in which he would maintain that man's purely human attributes are literally obliterated, and nothing remains but God and the soul's relationship to him. He conceded that 'some of Abū Yazīd's utterances, in their force, their profundity, and extreme significance, were drawn up from an ocean to which he alone had access and which was appropriated to him alone'. 'I saw', Junayd continues, 'that the utmost limit of his [spiritual] state . . . was one which few could understand from his own words when they heard them and which few could interpret because only those who knew the [hidden] meaning of his sayings and had access to the source of his inspiration could bear with him.'<sup>2</sup> But, he goes on to say, 'he was drowned in what he experienced and missed the highest truth (*ḥaqīqat al-ḥaqq*), for he did not enter into it. [He described] spiritual realities (*ma'ānin*) which submerged him in accordance with the occasions on which such immersion occurred, each experience differing from the others. . . . He had [indeed] spoken truly about the science of Union except that his words were only beginnings of what might be expected from one who is of the elect.'

In this passage Junayd concedes that Abū Yazīd had spoken truly of union, that his experiences were peculiar to himself, and that each differed from the next. This, however, only represented a beginning on the road to the mystic's true goal. What Junayd means by this it is difficult to say, but he must be referring to the sayings of Abū Yazīd which immediately follow in the text, and all of these refer to a complete loss of personality at the height of the mystical experience, in which the senses cease to function, and 'there is nothing left in existence, nor is there experience of

<sup>1</sup> Sahlajī, p. 68.

<sup>2</sup> Sarrāj, p. 381.

anything lost . . . nor any time to be reckoned with'.<sup>1</sup> This experience which Junayd describes as *al-fanā* 'an *al-fanā*, 'annihilation following on annihilation', he would seem to regard as being a mere beginning. The explanations of Abū Yazīd's other sayings—and these too are difficult', as Sarrāj encouragingly says<sup>2</sup>—confirm his general view. When Abū Yazīd asks to be clothed in God's I-ness and raised to his Oneness so that when creatures see him, they may say, 'We have seen thee', yet he would not be there at all,<sup>3</sup> Junayd says that 'this is the way a person talks whom [God] has not clothed with the realities of the ecstasy of isolation in perfect and true union; [had God done so], he would have been content with the [spiritual] clothing he might have received and dispensed with what he [actually] asked for, but [the mere fact] that he asked for this [at all] shows that he was near to attaining his goal; but a person who nearly gets to a place is not the same as one who is [actually] in it: it is the difference between having something actually in one's power and the mere desire to be in such a position (?).'<sup>4</sup> Junayd avoids the awkward phrase 'Thou art that', and contents himself with saying that there is no evidence that Abū Yazīd ever actually attained to what he prayed for, though he does not deny that his prayer could have been granted.

His meaning, however, is made rather more clear in his commentary on Abū Yazīd's claim to have become a bird which flew to the field of eternity without beginning where he saw the tree of oneness, all of which he condemned as 'deceit', a word which, as we have seen, translates the Sanskrit *māyā*. This is the original seed from which the so-called *mi'rāj* or 'ascension' of Abū Yazīd was later to develop, and Junayd's commentary on it will not be without interest. 'When [Abū Yazīd] says,' Junayd comments, '“I entered into his unity,” this represents his first glimpse of unity. He describes what he observed there, he describes the furthest point he was capable of attaining, the ground of his own finite roots.<sup>5</sup> All this is only one path among many<sup>6</sup> for those who are

<sup>1</sup> Ibid., p. 388. <sup>2</sup> Ibid., pp. 338-9. <sup>3</sup> See above, p. 94. <sup>4</sup> Sarrāj, p. 382.

<sup>5</sup> Lit. 'the abiding-place in the end (limitation or completion) of his firm-rootedness (*al-mustaḡarr fī tanāhī rusūkhī-hi*)'.

<sup>6</sup> Reading *ṭuruq*.

called to attain the true experience ('ilm) of union.' Further, when Abū Yazīd speaks of 'an atmosphere a billion times as large', he is referring to something infinitely greater; he is in fact describing an infinite form of existence unconditioned by space. 'Then', says Junayd, 'he described what was there, and this was still not the reality he was seeking, nor the final and all-comprising goal; no, this is only part of the way.'<sup>1</sup>

Again when he comments on another of the sayings of Abū Yazīd which we have not quoted, but which is usually considered as an extreme example of the *via negativa* or 'way of denudation', Junayd lets himself go on his favourite conception of *fanā*, the total destruction of all purely human characteristics in the encounter with God and points out that this means the transcending of time: for 'his mentioning ten years refers to his *waqt* (i.e. 'time' in the technical sense of a mystical state). It [really] has no meaning, for all times disappear in this condition; and when time is obliterated . . . ten, or a hundred, or more years all mean exactly the same.' Having transcended time, then, Abū Yazīd goes on to say: 'I now contemplated Union, which meant that [all] created things had utterly disappeared from [the ken of] the mystic and the mystic had utterly disappeared from [the ken of all] created things.' This means, says Junayd, that 'in his contemplation of union certain knowledge arose in him that all created things whatsoever are totally absent from God Most High, and that he is isolated in his majesty from his creation. These words of Abū Yazīd are well known as referring to the bringing of the man desired [by God] into the presence of what he is trying to express (i.e. God).'<sup>2</sup>

Sarrāj is right: 'Junayd's comments too are difficult except to those who are familiar with them.'<sup>3</sup> However, his meaning seems

<sup>1</sup> Sarrāj, pp. 384-5.

<sup>2</sup> *idkḥāl al-murād fi-mā urīda min-hā*. *Murād*, contrary to the usual usage, appears to refer to the mystic, not to God, just as *maṭlūb* throughout Junayd's commentary on the *shaṭhiyyāt* of Abū Yazīd is used of the mystic and not of God who is represented as the seeker, not the sought. *Murād* is also used in this sense by Ḥallāj (see Massignon, *Al-Hallāj*, p. 517).

<sup>3</sup> Sarrāj, pp. 388-9.

to be this: Abū Yazīd describes the state of complete negativity in which all sense of self, all feeling, and all sense of loss of self even—everything is lost: time and space are transcended, and for Abū Yazīd, if not for his commentator, there is awareness of nothing but an undifferentiated oneness in which all created things including the empirical self utterly and completely disappear. This condition Abū Yazīd attributes to himself, but Junayd attributes it to God. In fact this *tajrīd* ('separation') and *tafrīd* ('isolation') must be identical with the condition aimed at by the Sāṃkhya-Yoga—the total isolation of the soul within itself. Abū Yazīd interpreted this as meaning that the soul *is* God: Junayd saw in it rather a state in which the soul in isolation contemplates the isolation of God, exactly as the Sāṃkhya-Yoga does. In neither is there any ardent desire for union with God. Between the two Junayd appears to make a distinction—but we shall necessarily be returning to Junayd who is perhaps the most intriguing of all the Muslim mystics. Before we return to him, however, we must consider Abū Yazīd's so-called *mi'rāj* or ascension, Junayd's views on which we have just considered.

The word *mi'rāj* which had hitherto only been applied to the Prophet's supposed ascension into the highest heaven, is, so far as I know, first applied to Abū Yazīd by Hujwīrī (c. 470/1077). The kernel of the episode he calls the *mi'rāj* is the saying recorded by Sarrāj in which Abū Yazīd says that he 'became a bird', and after flying through all eternal modes of existence and seeing the tree of Oneness, he realized it was all 'deceit'. We saw that the Arabic word *khud'a* most probably represented the Sanskrit *māyā* and how the whole saying could be explained as a rearrangement of different Hindu texts. We also had occasion to remark how unnatural the word *khud'a* appeared in the context. Thus it is not altogether surprising to find that Hujwīrī has radically altered the whole meaning of the saying while preserving the formal structure of the episode. This is how Hujwīrī's account runs:

My inmost soul (*sirr*) was rapt into the heavens, but it looked at nothing [on the way]. Heaven and hell were displayed to it, but it paid no attention to anything; and it was drawn up beyond [all] contingent



beings and all that veiled him from its sight. And I became a bird and flew continuously in the atmosphere of [God's] essence (*hūwiyyat*) until I overlooked the broad plain of oneness in which I saw the tree of eternity without beginning. When I looked [upon it all], all of it was I. I said: 'O Lord God, so long as a sense of "I" remains, there is no way from me to thee, nor have I any means of passing beyond the selfhood of self. What shall I do?' [Then] the command came [saying]: 'O Abū Yazīd, thou canst not escape from thy consciousness of being a "thou" except by following our beloved; wipe thine eye with the dust of his feet as with a collyrium and never cease to follow after him.'<sup>1</sup>

This, says Hujwīrī, is known as the *mi'rāj* of Abū Yazīd.

The central portion is based on the saying as preserved by Sarrāj with slight differences. In Sarrāj it is 'the atmosphere of quality' through which Abū Yazīd flies; in Hujwīrī it is an 'atmosphere of [God's] essence'. In Sarrāj the field is of eternity without beginning and the tree is of oneness; in Hujwīrī the field is of oneness and the tree is of eternity without beginning. These differences are of minor importance: what is important is that the climax of the experience has completely changed. In Sarrāj Abū Yazīd looks and realizes that 'all this is deceit', whereas in Hujwīrī he looks and 'all of it was I'. The substitution of the one phrase for the other is not at all easy to explain except on polemical grounds; for in Hujwīrī the pantheistic vision is represented as being a literally infinite expansion of the ego, not as a release *from* the ego. This blessed release which is seen as a release from the classic pan-en-henic experience in which the mystic sees himself as the All, can only be effected by following the path laid down by the Prophet. This point is made unmistakably clear by Hujwīrī in that he produces God himself to condemn an experience which, so far from being *fanā* or any loss of self, is, on the contrary, a colossal exaltation of self.

'Aṭṭār, too, though he follows Sarrāj in the details in which Hujwīrī differs from him, adopts Hujwīrī's dénouement—'everything I saw was I'.<sup>2</sup> How is this to be explained?

<sup>1</sup> Hujwīrī, *Kashf al-Mahjūb*, ed. V. Zhukovsky, Tehran reprint, 1336 A.H (solar), p. 306. Cf. Appendix B, I, §§ 342–409.

<sup>2</sup> *Tadhkirat al-Awliyā*, i, 175.

We have seen that, in so far as Abū Yazīd follows the Vedānta, he accepted two main propositions—the soul is identical with Brahman (which he translates as ‘God’), and the whole phenomenal world is an illusion. In the place of the latter proposition Hujwīrī makes him say that he is the All—a genuine enough Upaniṣadic proposition which, however, cannot be found in this form in our most reliable sources, Sarraḡ and Sahlaḡ. The nearest approach to it is perhaps the saying: ‘My likeness is as the likeness of the dark (? *muṣṭalam* for *muḡlim*?) ocean, which has neither beginning nor end.’<sup>1</sup> Furthermore his claim to be present in all places with God<sup>2</sup> almost amounts to the pantheistic identification of the human soul with the All. It is therefore possible that both versions are genuine. If so, there is once more so close a Hindu parallel that we must consider whether this is not yet another direct loan from the Vedāntin tradition. Thus in the Gītā<sup>3</sup> we read:

He whose self is integrated by Yoga sees himself as being in all creatures, and all creatures in himself.

And this is immediately followed by a promise by Krishna that he will not abandon the man ‘who sees *me* everywhere and sees all in *me*’. Here God and the liberated soul are apparently regarded as being co-terminous and as comprising all things. Abū Yazīd identifies himself with God time and time again, and if the saying recorded by Hujwīrī is genuine, it would amount almost to a translation of this Gītā passage. For, given the difference of context, there is very little difference between ‘*I looked, and all that was I*’ and ‘he sees himself as being in all creatures and all creatures in himself’.

Whether or not Abū Yazīd was familiar with this passage from the Gītā it is impossible to say, but we can be fairly certain from the tenor of his other sayings that if he spoke of seeing all things as himself, he accepted the corollary of seeing all things in God, because he *was* God. Hujwīrī, however, and, following him, ‘Aṭṭār,

<sup>1</sup> Sahlaḡ, p. 99.

<sup>2</sup> Ibid., p. 75.

<sup>3</sup> 6.29.

add a postscript to the saying which is certainly not original. The two accounts differ very greatly in emphasis, but each serves a polemic purpose. According to Hujwīrī Abū Yazīd has reached that stage, so common in nature mysticism and constantly recurring in the Upaniṣads, in which the self expands to such an extent that it appears to include all things. This is that dangerous condition of *baṣṭ* described by Qushayrī: in its extreme form it amounts to self-identification with God, but it is still *self*-identification, and so Abū Yazīd is himself made to appeal to God to deliver him from his 'self' which has now come to comprise the whole world. God then, like any orthodox theologian, bids him have recourse to the law of his beloved, the Prophet. This is significant, for it means that Hujwīrī regarded all claims to be the 'All' or to be God as dangerous illusions, though he did not condemn them. It is very unlikely indeed that he had any direct knowledge of Indian mysticism, but his terminology is nevertheless extremely reminiscent of that of the Hindu mystical classics. 'There is no way to thee with my I-ness, there is no passage for me from the selfhood of my self,' Abū Yazīd is represented as saying. If we put this into Sanskrit, the 'I-ness' or ego will appear as *ahamkāra*, and so long as that exists there is no way to God. There is nothing remarkable about this, for all types of mysticism agree that the 'ego' must be either disciplined, suppressed, or simply annihilated. But, having got rid of the ego, there remains the 'selfhood of self', the *khudī-yi khud* of the Persian text, that is, in Sanskrit, the *ātman*. Hujwīrī, then, takes up a position that seems almost identical with that of Rāmānuja. It is not enough for a man to 'see himself as being in all creatures and all creatures in himself': he must be delivered from his second self, his transcendent self or *ātman* too, and he must be delivered by God. For Hujwīrī this means a return to the Prophet as the source of revelation.

'Aṭṭār is more subtle. At the end of his *mi'rāj* Abū Yazīd is represented as saying:

I saw Abū Yazīd. Whatever I saw, all that was I. Then did I traverse four thousand deserts, and reached the end. . . . Then I went on for a while in that infinity, so that I said: 'Nobody has ever reached a point

higher than this, and it is not possible that there is any stage more lofty than this.' And when I looked closer, I saw that my head was laid at the feet of one of the prophets. Then I realized that the journey's end of the saints is only the starting-point of the prophets. To the final stage of the prophets there is no end.'

Abū Yazīd, then, like the Yogin of the sixth chapter of the Bhagavad-Gītā, reached a state in which he thought that 'there was no bourn beyond it',<sup>1</sup> but, according to Rāmānuja in India and 'Aṭṭār in Persia, they were perfectly wrong. To realize oneself as infinite, that is, as unconditioned by space and time or, as 'Aṭṭār puts it, 'to journey in infinity' is not the end but only the beginning of the mystical life. This too must be what Junayd meant when he said that Abū Yazīd's words were 'only beginnings' and that he had 'missed the highest truth'.<sup>2</sup>

How or when the legend of the *mi'rāj* of Abū Yazīd started we do not know. Hujwīrī's version of it we have already discussed, but it is only with Farīd al-Dīn 'Aṭṭār that it attains the proportions of a fully-fledged legend.<sup>3</sup> 'Aṭṭār's version is a hotch-potch compounded out of various sayings recorded by Sahlajī, the main portion being based on a text which appears on pp. 138-41 of Badawī's edition of the latter and which has been translated by Professor Arberry in his *Revelation and Reason in Islam*, pp. 99-103. Another and totally distinct version, however, exists, and was published by Nicholson in *Islamica*, vol. ii, pp. 402-15. This version, however, is without interest from the point of comparative mysticism since it is a more or less conventional account of the mystic's journey through the seven heavens, and is designed to confirm the claims of the Prophet through the mouth of Abū Yazīd himself who had vaunted his own superiority to him. Sahlajī's version, however, if genuine, gives us a better idea of how Abū Yazīd or, more probably, a later compiler of his sayings, interpreted his experiences than can his isolated sayings, because it appears as a consecutive narrative.

<sup>1</sup> BG., 6.22.

<sup>2</sup> See above, p. 121.

<sup>3</sup> For parallel accounts of the *mi'rāj* see Appendix B, I.

From the ecstatic utterances of Abū Yazīd which we analysed in our last lecture it seemed fairly clear that he was reproducing in Arabic phrases and ideas that are directly traceable to the Upaniṣads. The Upaniṣadic relationship of the soul to the supreme principle is what Martin Buber called an I/It relationship, and necessarily so, since Brahman is wholly impersonal. The Muslim mystics' relationship to their God, however, had always been essentially an I/Thou relationship in which there always was a distinction of persons. So too Saḥlajī's anecdote which forms the basis of the greater part of 'Aṭṭār's account of Abū Yazīd's *mi'rāj* takes the form of a dialogue between Abū Yazīd and God.

This dialogue falls into four parts, the close of each section being marked by the words *inqaṭa'a ḥujjat Allāh 'alāya*, 'So did God's testimony against me conclude'. God indeed is tempting Abū Yazīd with all the 'consolations' that the mystic could legitimately desire: he is putting him to the test to see whether his claim to desire nothing but God himself is true. The first three sections represent different degrees of contemplation, as the use of the word *naẓartu*, 'I looked', clearly shows: the final section only deals with what the author conceives to be true union.

The ascension starts with Abū Yazīd contemplating God with 'the eye of certainty after he had turned me away from all that was not he and illumined me with his light, . . . and showed me his essence (*hūwiyya*)'. Then Abū Yazīd contemplates his ego through God's own essence, his own excellencies through God's, and so astonished is he at this vision of himself that he exclaims, 'Who is this?' and God replies, 'This is not I nor other than I. There is no God but me.' God then transforms his ego into his own essence and shows him that essence in isolation. Abū Yazīd then enjoys the vision of God, as it were, through God's eyes and in God, and this results in complete loss of consciousness. God restores him to consciousness and gives him 'wisdom from his wisdom, and a tongue from his loving-kindness, and an eye from his light', and Abū Yazīd, completely overwhelmed by his experiences (as well he might be), exclaims, 'What have I to do with thee?' God replies, 'I am thine through thee'. This is the first temptation to which, in

some other of his sayings, he succumbs—it is the temptation to believe that one has pierced beyond God who is active in the world to an utterly immutable Absolute beyond. Abū Yazīd does not succumb: ‘Do not beguile me with myself,’ he says: he does not want himself, but only God without any sense of himself at all. God then bestows himself on him, and Abū Yazīd communes with him with no sense of ego left. God then tells him he is bound to observe his commands and prohibitions, but tempts him again by telling him that he (God) praises and thanks him for observing them. Again Abū Yazīd does not fall into the trap. ‘Bestow the thanks for it upon thyself,’ he exclaims, for ‘thou dost command and thou art the commanded.’ So ends the first temptation, and the lesson learnt is that Abū Yazīd exists only through God and that ‘He is He’, that is, God alone exists as the source of all existence.

In the second phase Abū Yazīd is illumined with God’s essence (*dhāt*), not merely his light, and contemplates him ‘with the eye of bounty (*faḍl*)’. God tells him to ask of his bounty what he will, again putting temptation in his way. Abū Yazīd, however, is not deluded: ‘Beguile me not with thy grace and generosity, nor with thy bounty, for bounty proceeds from thee for ever and to thee does it return. . . . Thou art the desirer and thou the desired.’ God then praises Abū Yazīd for speaking the truth, and Abū Yazīd replies by extolling God as Truth indeed. ‘Thou [too] art nothing but the truth. By the Truth hast thou spoken,’ says God again, tempting him, but Abū Yazīd hotly denies it. ‘No,’ he says, ‘Thou art the Truth, and thy word is truth, and through thee is truth truth. Thou art thou, there is no God but thee.’ Asked again what he is, Abū Yazīd replies, ‘I am through thee.’ Well, says God, ‘If thou art through me, then I am thou, and thou art I.’ Again Abū Yazīd does not fall into the trap. ‘Do not beguile me with thyself [so that I swerve] away from thee,’ he says. This, the second of the great temptations is to mistake what is ‘through God’, that is, the divine mode of existence into which God may transform human nature, for God himself. Abū Yazīd now sees himself as being ‘with God’—he enjoys companionship with him—and ‘through’ him—his existence is sustained by him.

Here follows what may be an interpolated passage, for it is closely akin to the two sayings from Sarrāj we had occasion to quote in the last lecture.<sup>1</sup> God provides Abū Yazīd with wings of 'glory and majesty', but even when so equipped he is unable to come to the end of God's glory and majesty. Terrified, he then cries out for help and implores God to save him from God himself by God's power: he implores the divine mercy to save him from the divine wrath, as 'Aṭṭār interprets it. 'I had no power to bear with him,' cries Abū Yazīd, 'except [it came] through him.' God then views him with the eye of munificence, strengthens him with his strength, adorns him, and crowns him with the crown of his generosity. He isolates him in his own isolation, makes him one by his own oneness, and gives him attributes 'which none share with God'. Then God says to him: 'Make thyself one with my oneness, and isolate thyself by my isolation. Lift up thy head in the crown of my generosity, glory in my glory, exult in my exultation, and go forth with my attributes to my creatures that I may see my selfhood (*hūwiyya*) in thy selfhood—whoso sees thee, sees me, and whoso seeks thee, seeks me, O thou, my light in my earth and my ornament in my heaven.' This terrible invitation to claim absolute divinity and proclaim it to the wide world Abū Yazīd again rejects. 'Thou art the sight in my eye and my knowledge in my ignorance,' he exclaims. 'Be thyself thine own light that thou mayst be seen by thyself.' Once again God is well pleased with him, for he has resisted the temptation and bids God be isolated in his own isolation and oned in his own oneness. 'Do not busy me with thyself [so that I swerve] away from thee.' This last temptation, to which in some of his other sayings he completely succumbs, he here successfully overcomes.

Abū Yazīd now dwells with God in God's isolation, but without being 'isolated' himself. Then his own attributes are obliterated in God's. He now contemplates God through God's very essence (*dhāt*), and God addresses him with his own divine name, and communes with him in his oneness. He tempts him again saying, 'O thou I,' but Abū Yazīd will say nothing but 'O thou'.

<sup>1</sup> See above, pp. 94–5.

So ends yet another temptation—the temptation of completely identifying oneself with God. Once again Abū Yazīd loses consciousness and remains for a time ‘without soul or body like one who is dead’.

God then revives him with divine life<sup>1</sup> and repeats the *mithāq* verse of the Qur’ān—‘Whose is the kingdom today?’ to which Abū Yazīd replies, ‘God’s, the One, the Overwhelming’, thereby admitting his absolute dependence on God. This done, God goes on to say: ‘I have made thee to live with my life, made thee to reign over my kingdom, named thee with my name, given thee to rule with my rule, caused thee to understand my choice, and conformed thee (*wāfaqtu-ka*) to names of lordship and attributes of eternity.’ Again Abū Yazīd is nonplussed. What does he mean? ‘I do not understand what thou wantest,’ he says, ‘I belonged to myself, yet thou wast not satisfied; and I belonged to thee through thee, and still thou wast not satisfied.’ God replies, ‘Belong neither to thyself nor to myself. Verily, I was thine when yet thou wast not: so be mine [as] when thou wast not. And belong to thyself even as thou wast, and be mine even as thou wast.’ Abū Yazīd, understandably confused, replies: ‘How shall this be to me except through thee?’ Then God looks on him with the eye of power, annihilates him with his own Being, and ‘manifests himself’ in him ‘in his essence’. ‘And I existed *through* him,’ Abū Yazīd stolidly repeats. This is the end of this remarkable dialogue. The conclusion is no less remarkable, and I must quote it in full:

And the Word became one, and the All through the All became one. And he said to me, ‘O thou,’ and I said to him, ‘O I.’ And he said to me: ‘Thou art the alone.’ I said: ‘I am the alone.’ He said to me: ‘Thou art thou.’ I said: ‘I am I. But if I were I as an ego, I would not have said “I”. But since I never was “I” (an ego), be thou thou, yea, thou.’ He said: ‘I am I.’ My speaking of him as “I” is like my speaking of him as “he”—denoting unity. And my attributes became the attributes of lordship, and my tongue a tongue proclaiming the divine unity, and my attributes—He, that is: he is he, there is no God but he. Whatever was, was what it was by his<sup>2</sup> Being; and whatever is, is what it is by his

<sup>1</sup> Reading *ḥayyātī-hi* for *ḥayyātī*.

<sup>2</sup> Reading *mā* for *mim-mā*.



Being. My attributes were the attributes of lordship, and my traces the traces of eternity, and my tongue a tongue proclaiming the divine unity.'

Now, whatever this may signify, it is certainly not the straight monism of the Vedānta. It is precisely what Massignon calls transforming union in the case of Ḥallāj: every temptation to identify himself with God is resisted by Abū Yazīd, and it is only when he formally confesses the absolute sovereignty of God in the words of the Qur'ān that God exalts him and clothes him with 'the attributes of lordship'. Then Abū Yazīd can, at God's bidding, affirm again his own individuality, not as an ego, but as 'the alone' (*al-fard*), an individual substance wholly transformed by the essence of God. He is what he is through him who supremely is; and in so far as he is, and only in that respect, is he God. The meaning of 'The Word became one' is, presumably, that all creation is subsumed in the creative word *kun*, 'Be', and that Abū Yazīd realizes himself as that word and thereby sees himself in all created things, but all this is possible to him only through God's will and power.<sup>1</sup> Wholly overcome, Abū Yazīd, like an hypnotic patient, automatically repeats the words God dictates to him.

'Aṭṭār's interpretation is different, for, as we have seen, he ends up his account of the *mi'rāj* with Abū Yazīd claiming to be the All. It would, then, seem probable that the *mi'rāj* recorded by Sahlaḡī is either not a genuine utterance of Abū Yazīd since it avoids the explicit monism of many of his utterances, or else it represents a later modification of the earlier 'Vedāntin' sayings in a form rather more acceptable to current Ṣūfī thought. Personally I would favour the former view since the whole passage avoids any explicit identification of the mystic with God rather too carefully. The author chooses his words with considerable skill. The final 'Whatever is, is what it is, through [God's] Being', has a philosophical ring which would seem alien to the untutored mind of Abū Yazīd.

Before closing this lecture I should like to record 'Aṭṭār's interpretation of Abū Yazīd's *mi'rāj*. As we have seen, he says that Abū

<sup>1</sup> See further below, p. 140.

Yazīd reached a state than which he could conceive none higher: he had reached eternity. 'Aṭṭār, however, here tacks on another saying of Abū Yazīd, also recorded by Sahlajī,<sup>1</sup> in which he is represented as being unable to approach the Prophet because he sees 'a hundred thousand seas of fire without end and a thousand veils of light', and had he put his foot into the first sea, he would have been burnt up. So he is made to say: 'Although I had reached God, I had not the courage to approach Muhammad.' 'That means', says 'Aṭṭār, 'that anyone can reach God in accordance with his own capacities, for God is with all things.' By this he appears to mean that all can find identity with the 'All', and all can experience the eternity of their own being, but this is only the beginning of a deification that can never be complete, and a 'deified' Muhammad would be as vastly superior to a 'deified' Abū Yazīd as is, to use the Indian metaphor, Mount Meru to a mustard seed.

Abū Yazīd, then, by introducing Vedāntin ideas into Ṣūfism, confronted the more orthodox Ṣūfīs with the problem of how to explain in terms that are not crassly heretical, the conviction that many of them secretly shared with him, namely, that the soul, at the end of its journey, actually *is* God. We have seen some of the explanations that were offered: none, however, could be wholly satisfying, for the Ṣūfīs did not admit the existence of what Rāmānuja called the 'category of *ātman*'—a mode of existence which is divine in that it takes account of neither space nor time, but which is not itself the ground of being. We will be considering in the next lecture how Junayd and Ghazālī tackled this problem.

<sup>1</sup> p. 86.

## VII

### The Teaching of Junayd

‘UNION means to isolate eternity from origination’:<sup>1</sup> so did Abū’l-Qāsim al-Junayd of Baghdad define *tawḥīd*, which can mean either the affirmation of the divine unity or the mystic’s experience in ecstasy of that unity or union. That a word meaning ‘union’ should be used to mean its precise opposite, that is, the isolation or separation of two distinct and incompatible elements, may seem surprising. Exactly the same development, however, is observable in the Indian tradition; for the word *yoga*, which means ‘joining’ or ‘uniting’, comes to mean, in the philosophy of the Sāṃkhya-Yoga, the dis-joining or dis-uniting of *puruṣa* from *prakṛti*, of the eternal soul from the psycho-physical apparatus to which it is temporarily attached. In Buddhism the word still means ‘conjunction’, and more specifically the four fetters of craving, false views, becoming, and ignorance, which enslave the immortal spirit to the body and the world.<sup>2</sup> In the Bhagavad-Gītā the word, when applied to a spiritual discipline, means almost exactly what Jung calls ‘individuation’ or ‘integration of the personality’: the *yoga-yuktātmā* is the integrated personality, the man who has subjected his senses, conquered desire, subjected his mind to the *ātman* or permanent spiritual essence and thereby brought it to rest.<sup>3</sup> For the Yoga of the Gītā is nowhere near so radical as that of the classical Sāṃkhya-Yoga; it is a true integration, not a total isolation of the soul from matter. Only in the classical Yoga is the word used in a sense precisely opposite to its etymology. ‘The aim

<sup>1</sup> Qushayrī, p. 3.

<sup>2</sup> See *The Pali Text Society’s Pali-English Dictionary*, ed. T. W. Rhys Davids and William Stede, s.v. *Yoga*.

<sup>3</sup> BG., 6.25–27.

of . . . [this] *yoga*', Hiriyantha says, 'is very different from that of Upanishadic *yoga*. It is not union here, but separation. There, it is believed, the individual self unites with or merges in the absolute self by means of *yoga*; but here, where no such self is acknowledged, it comes to be by itself, through extrication from Prakṛti. Thus *yoga* which means "union" there, comes to mean "disunion" (*viyoga*) here.'<sup>1</sup>

Similarly in Islam, the word *tawhīd*, which means 'uniting' or 'affirming unity', comes to mean with Junayd 'isolation' or 'separation'. In the Sāṃkhya-Yoga the 'isolation' or 'separation' is the isolation of the immortal and timeless soul from its bodily frame: in Junayd it is the separation of God who is alone eternal from the created universe. Commenting on one of Abū Yazīd's sayings Junayd had said that 'all creatures whatsoever are totally absent from God Most High, and he is isolated in his majesty from his creation'.<sup>2</sup> Abū Yazīd had in fact said no such thing: he had said that it was from the mystic, the 'ārīf, not from God, from whom all things were absent. Junayd applies to God what Abū Yazīd and many others had applied to the soul in ecstasy, thereby apparently raising an insuperable barrier between God and the human soul. This he did in order to appear as more orthodox than the orthodox themselves.

Junayd flourished at a time of crisis for Ṣūfism. Dhū'l-Nūn of Egypt had already been arraigned before the Caliph Mutawakkil on the suspicion of heresy, and his own close friend Nūrī only escaped execution by his sublime offer to lay down his life to save his friends or at least to postpone the hour of their imminent death.<sup>3</sup> Junayd, in common with most Ṣūfīs, regarded Ṣūfism as being an essentially esoteric doctrine which it was not lawful to divulge to the uninitiate. He was not then at all pleased when Ḥallāj, whom he had refused to accept as a disciple,<sup>4</sup> started to

<sup>1</sup> H. Hiriyantha, *The Essentials of Indian Philosophy*, London, 1949, p. 122; cf. *Mahābhārata*, III, ch. 212, l. 13,992, *taṁ vidyād brahmaṇo yogaṁ viyogaṁ yoga-saṅjñitam*.

<sup>2</sup> See above, p. 123.

<sup>3</sup> See above, p. 3.

<sup>4</sup> See L. Massignon, *Al-Hallaj, Martyr mystique de l'Islam*, p. 52.

preach the most secret doctrines in public. The result he is alleged to have foreseen in that he dismissed Ḥallāj with these terrible words—‘What a gibbet you will befoul [with your blood]!’<sup>1</sup> Himself of a retiring disposition<sup>2</sup> he wished to develop his doctrine out of the public eye. Yet despite his shyness and reserve he came to be regarded as the founding-father of all the Ṣūfī brotherhoods. There is scarcely a spiritual pedigree that is not traced back to him, and this is true not only of the avowed exponents of his doctrine, the orthodox Sarrāj, Qushayrī, and Hujwīrī, but even of so wild a follower of the ‘intoxicated’ discipline of Abū Yazīd as Abū Sa‘īd ibn Abī’l-Khayr.<sup>3</sup> In Ṣūfī circles his prestige was unchallenged for it was he who was considered to have formulated the Ṣūfī doctrine in terms that could not give offence to the orthodox.

Of his works, some of which still survive in manuscript,<sup>4</sup> only one consecutive fragment has been published so far,<sup>5</sup> and it is not easy to form a consistent view either of his doctrine concerning God or of his interpretation of mystical experience from those sayings of his which are scattered throughout the early treatises on Ṣūfism from Sarrāj to ‘Aṭṭār, but the essence of his doctrine which is uncompromising in its transcendentalism, may perhaps be deduced from his definitions of *tawḥīd*, both in its theological and its mystical aspects, which Sarrāj and Qushayrī have preserved.

Massignon sums up Junayd’s doctrine as a ‘coherent but empty monism’,<sup>6</sup> but this is a generalization which, like all generalizations about individual Ṣūfīs will not stand up to the facts. Consistency was achieved by Indian thinkers like Patañjali because they

<sup>1</sup> Id., *Quatre Textes inédits, relatifs à la Biographie d’Al-Ḥosayn—ibn Manṣūr al-Ḥallāj*, Paris, 1914, p. 45\*.

<sup>2</sup> Cf. Abū Nu‘aym, *Ḥilyat al-Awliyā*, x, 255. Muḥāsibī had the greatest difficulty in getting him to go out at all.

<sup>3</sup> Ibn Munawwar, *Asrār al-Tawḥīd*, p. 27.

<sup>4</sup> Listed by Massignon, *Al-Hallaj* . . . , p. 35.

<sup>5</sup> From the *Kitāb al-Fanā*: see Ali Abdel Kader, *Islamic Quarterly*, i, 71–89.

<sup>6</sup> Massignon, *ibid.*, p. 38. A. Abdel Kader has already corrected this too facile generalization in his ‘The Doctrine of Al-Junayd’ (*Islamic Quarterly*, i, 167–77) and ‘Al-Junayd’s Theory of *Fanā*’ (*ibid.*, pp. 219–28). He sums up Junayd’s doctrine in these words: ‘The worshipper loses the characteristics of his worldly individuality, returning into his eternal primeval self in God.’

were *primarily* concerned with the nature of the human soul and a mystical experience which consisted in an undifferentiable unity, they were not delving into the nature of a transcendent God and the relationship of that God to an originated and dependent human soul. In any case Junayd's definition of *tawhīd*, which is to isolate the eternal from the contingent, is not monism but as frank a dualism as the Indian Sāṃkhya. Junayd's problem was rather how to build a bridge between the two orders of existence without impairing the transcendence and absolute unity of God.

Despite his theological definitions Junayd, like all the Ṣūfīs before him, starts with the assumption that love of God is not only possible, but the one sure way by which the soul can reach God. Qushayrī tells us that when Junayd was still a youngish man, the youngest of a group of Shaykhs in Mecca who were discussing love, he was asked what his opinion on the subject was.

He cast down his head and tears began to flow. Then he said: '[The lover is] a servant [of God] who departs from himself, who cleaves to the recollection of his Lord, who undertakes to discharge his duty towards him, who contemplates him in his heart, whose heart has been set ablaze by the lights of his essence (*hūwiyya*), who drinks a pure draught from the cup of his love, to whom the Almighty reveals himself, and for whom he draws aside [all] veils that conceal him in his hidden remoteness. And if he speaks, it is by God [that he speaks], and if he makes public discourse, it is of God [that he discourses], and if he is active, it is by God's command, and if he is silent, it is with God; and he [exists] through God, belongs to God, and [dwells] with God.'<sup>1</sup>

The assembled Shaykhs started to weep, found nothing wanting in the definition, and bestowed on him the title of the 'Crown of the Mystics'.

The doctrine, then, that won Junayd such signal approval from his elders was neither a rigid monism nor an absolute dualism of God and his creation which he elsewhere seems to have proclaimed: on the contrary it is a doctrine of a *deus absconditus* who gradually reveals himself as the dispenser of the cup of love and the kindler of the fire of yearning in the heart. How is it, then, to be recon-

<sup>1</sup> Qushayrī, p. 147.

ciled with his definition of *tawhīd* as 'the isolation of eternity from origination'?

Massignon is right when he says that Junayd pays attention above all to the Qur'ānic conception of the *Mithāq*, the covenant by which human souls swore fealty to God before he created their bodies: all that individual man has of reality is reduced to this primordial confrontation of his essence, which is still a simple divine idea, with the divine essence itself.<sup>1</sup> In the Qur'ān the *Mithāq* passage reads as follows:

[Recall] when thy Lord took from the children of Adam, from their loins, their posterity and made them testify as to themselves: 'Am I not your Lord?' and they said: 'Yea, we testify'—lest ye should say on the day of resurrection: 'Of this we have been neglectful.'<sup>2</sup>

This may not seem a particularly sure foundation on which to base so novel an idea as that of the eternal existence of human souls as ideas in the divine mind; for the passage tells us little more than that souls pre-exist their bodies. However that may be, Junayd developed the doctrine that the supreme aim of the mystic was 'to be as he was before he was', that is, as an idea in the mind of God. This, in theory, preserved the absolute unity of God, yet made it possible for there to be a relationship between God and the soul. His affirmation of the unity of God for exoteric purposes is absolutely orthodox, his mystical interpretation of it is only apparently so. For exoteric purposes he defines *tawhīd* as 'to declare the isolation of the Unified One by affirming his unity in perfect oneness, [to declare] that he is the One who neither begat nor was begotten, who knows neither opposite nor equal nor any like unto him, than whom none is worshipful. He cannot be compared to anything, nor qualified, nor pictured, nor likened to anything—one God, eternal, individual (*farḍ*). There is nothing that is like unto him. He is the seer, the hearer.'<sup>3</sup> Such is Junayd's declaration of the divine unity.

*Tawhīd* as it affects the mystic is, however, thus described: it

<sup>1</sup> Massignon. *Al-Hallaj*, p. 36.

<sup>2</sup> Qur'ān, 7.171 (Bell's translation).

<sup>3</sup> Sarraj, pp. 28–29: Qushayrī, p. 4.

means that '[God's] servant should be before God the Glorious like a [lifeless] body on which the different modes of his ordaining are exercised in accordance with the ordinances of his power, in the depths of the oceans of his unity: such a man will be naughted to self and to any claims creatures may have upon him and any response he may make to them. [He will exist] by the truths of God's unique Being in the reality of his nearness to him. All sensation and movement will be lost when God faces him with what he desires of him—and that is that he should return at the end to his first state, and be as he was before he was.'<sup>1</sup>

'Deification', then, for Junayd means to become, so far as one is able, once again an idea in the divine mind. If the divine word is 'Be', the word of the human heart which, in Šūfism as in the Upaniṣads, is regarded as the organ of spiritual enlightenment, is 'union'.<sup>2</sup> This strange idea is reproduced in Sahlajī's account of Abū Yazīd's *mi'rāj*, on which we commented in our last lecture: 'And the Word became one, and the All through the All became one. And he said to me: "O thou", and I said to him, "O I". And he said to me: "Thou art the alone". I said: "I am the alone".'<sup>3</sup> The doctrine that seems to underlie both these passages is that with the creative word *kun* 'Be' human souls which had previously been ideas in the divine mind take on a separate consciousness, but when the heart utters its own word *tawhīd* 'union', it again becomes integrated into God as his idea, and God can once again address it as 'O thou I', and it can in its turn say 'O I' in recognition of its divine origin. Sahlajī's version of Abū Yazīd's *mi'rāj*, then, is so very consistent with the thought of Junayd, and is so very much at variance with the common run of the utterances of the ecstatic of Bisṭām that I am strongly inclined to think that it is a remodelling of material stemming directly or indirectly from Abū Yazīd in accordance with the personal theology of Junayd.

Yet the demand that Junayd attributes to God that the soul 'should be as it was before it was' does not mean its total extinction,

<sup>1</sup> Sarraj, p. 29: Qushayrī, p. 135.

<sup>2</sup> Qushayrī, p. 6.

<sup>3</sup> See above, p. 132.



but rather a new life, not indeed as God, but in and through God: for, for Junayd, Ṣūfism means 'that God causes you to die to self and to live in him'.<sup>1</sup> *Fanā* means the destruction of the creaturely life of the flesh and participation in the divine. On the way to this end, however, there are various stages: first the soul is chosen by God, then it is voided of self and 'isolated' in its commerce with God (*taqallubi-hi la-ka*). This twin operation is the first step of all which annihilates all trace of succession in time (*mā tarādafa*).<sup>2</sup> At this stage, then, the soul leaves the temporal world and enters into the eternal. Now at this stage God isolates the soul in itself in what Junayd calls 'the first stage of utter isolation', and this is for once more or less clearly described by this exceedingly abstruse writer. Writing to a friend he says:

May God encompass thee as he encompasses those of his lovers whom he claims as his own, may he confirm thee and us on the paths of his good pleasure, may he conduct thee into the pavilion of his intimacy, and exalt thee in the gardens of the riches of his bounty. May he protect thee in all circumstances as an embryo in its mother's womb. Then may he perpetuate for thee the life that is appropriated [for thee] from eternal (timeless) life for ages everlasting, and may he isolate thee in himself (*bi-hi*) from what is thine and in thyself from what is his, until thou art isolated through him (*bi-hi*) for all eternity. Then there shall be neither thou nor thine, nor knowledge of him, but God will be alone.<sup>3</sup>

This prayer of Junayd for his friend falls into two distinct halves. In the first he prays that God may exalt and protect him like 'an embryo in its mother's womb', that is, wrapped away from all created things *in* God, but this is only the preparation for the break through the time barrier. This, the entering into a different kind of existence, is marked by the emphatic *thumma* 'then'. Once the time barrier is passed Junayd prays that his friend may firmly remain in this timeless state in the life 'that is appropriated [for thee] from eternal life for ages everlasting'. Like Rāmānuja Junayd sees the human soul in eternity as having its own pre-ordained share of eternal life. This he describes as the isolation of the soul in God

<sup>1</sup> Qushayrī, p. 126.

<sup>2</sup> Sarrāj, p. 241.

<sup>3</sup> Ibid. pp. 242-3.

from what belongs to the soul, and in itself from what belongs to God. Now, this seemingly obscure phrase is not comprehensible unless we compare it to the parallel development in India. What *belongs* to the soul is its former life in space and time, *bashariyya*, or the human personality as commonly understood. The soul is now isolated in, or, more accurately, through or by means of God, from its whole psycho-physical life, and this means isolation in itself or by itself from what belongs to God, that is, from all created things, both those that are bounded by time and space and those that are not, that is, other human souls as well as the angels. The soul is now isolated in itself in and through God (for the Arabic preposition *bi-* has both meanings); and this is exactly what Rāmānuja means by liberation. In his system the soul first realizes itself as eternal and therefore as sharing in the nature of God: 'It sees [God] in all soul-stuff (*ātma-vastu-*) and all soul-stuff in God', and God does not conceal himself from the man who perceives the true nature of his soul because he has the same mode of existence (*tat-sāmyāt*).<sup>1</sup> The soul's vision of its eternal self leads on to the vision of God; but at the stage of liberation, before the fire of love is kindled, the soul is isolated in itself, but in and through God: it contemplates the extra-temporal existence it has from God, but as yet it neither knows nor loves God. So too Junayd says, 'There shall be neither thou nor thine'—for the empirical ego has been done away with—'nor knowledge of him, but God will be alone'. The soul is here left in its own eternity in separation from God whom it contemplates in a state of blind annihilation. So far does Junayd take us in this passage, and it is precisely the stage of 'liberation' as understood by Rāmānuja. But this is not the end.

The soul has now passed out of time and is isolated in its own essence: it has reached the *kaivalyam*, the isolation that is the goal of the Sāṃkhya-Yoga. But so far from this being the end, it is only the 'first stage of isolation in separation and the reality of true isolation (*kā'in al-tafrīd*)'.<sup>2</sup> And so [the text goes on to say], 'when the soul is thus separated, [God] overwhelms, and annihilates the

<sup>1</sup> Rāmānuja on BG., 6.30.

<sup>2</sup> Sarrāj, p. 241.

overwhelming of, what the soul in contemplation had previously experienced from God after all consciousness of creaturely existence had been destroyed. At this stage the full reality (*ḥaqīqat al-ḥaqīqa*) [of the mystical experience that the soul now possesses] comes to pass from God to God; and during this process, through the certain knowledge [the soul has] of that final stage which leads to the experience (*ilm*) of union, there is a movement towards the experience of isolation in separation.'

These are dark words indeed, but they seem to mean that God, having allowed the soul to contemplate and to savour its individual and pre-ordained parcel of eternal life, overwhelms and annihilates this new and timeless particularism—that of the immortal *ātman* rather than that of the mortal ego, and sweeps it into the life of divine relationships where all commerce is 'from God to God', and, as a result of this, the soul obtains an infused knowledge of the absolute transcendence of God. It is in God and with God, and yet it knows that God in his 'isolation' and 'separation' is forever distinct from him. The *Gītā* is trying to express the same idea when it says that the soul is only a part of God,<sup>1</sup> and 'Aṭṭār when he says that 'the soul can attain to God [only] in accordance with its own capacity'.<sup>2</sup> Junayd himself, marvelling at the communion that he knows takes place between human souls and God—the souls originated though not in time, and God, the eternal origin of all—exclaims: 'When is he who has no peer and like unto whom there is nothing united with one who has peers and who is like others? Ah! this, but for the grace of the Gracious One, this is a strange fancy, ununderstandable, unimaginable, incomprehensible except as a pointer to a certain truth and as confirmation of the Faith.'<sup>3</sup>

Human souls were in origin ideas of God, and, for Junayd, when the pre-existent souls affirm the sovereignty of God, they at the same time accept suffering for themselves, for, in enunciating the word *balā*, they were not only saying 'Yes', they were also

<sup>1</sup> BG., 15.7.

<sup>2</sup> 'Aṭṭār, *Tadhkirat al-Awliyā*, i, 175. See above, p. 134.

<sup>3</sup> Qushayrī, p. 6.

affirming their acceptance of their own 'testing' or 'suffering', for the word *balā* means both 'yes' and 'testing'. The Qur'ānic story of God's covenant with man Junayd brings together with the Qur'ānic verse, 'Has there come upon man a period of time when he was not a thing mentioned',<sup>1</sup> and relates it to the mystical 'mentioning' of or meditation (*dhikr*) on the attributes of God.

May the truth of election [he writes to a friend] make thee die to the outward appearances of imperfection, and may the Truth, working secretly, save thee from considering thine own advantage and distract thee from the consideration of thy self and thy mystical experiences, and busy thee with the glorification of God during the time thou devotest to meditating on him. Then may he bring it to thy mind that it was he who bore thee in mind in pre-eternity before the time of testing and before the conditions of testing [were created].<sup>2</sup>

Dhū'l-Nūn had already used the idea of man existing in some form before he became a 'thing mentioned'—'Thou didst originate me in thy mercy before I was a thing mentioned',<sup>3</sup> he says—but Junayd goes further, for he maintains that the human soul was for all eternity 'mentioned' by God—there never was a time when it was not present to his mind. For all eternity, then, the soul is in loving communion with God, and God's covenant with man, which for Muḥāsibī was a thing of joy,<sup>4</sup> spelled, for Junayd, rather a 'testing' by suffering. The separation from God which the pre-existent souls confirmed by their acknowledging him as their Lord, is itself the source of suffering—and suffering willingly accepted or, better still, joyfully embraced is one of the ways in which God leads the estranged soul back to himself. 'The mystic', Junayd says, 'knows full well that God does not afflict him out of

<sup>1</sup> Qur'ān, 76.1.

<sup>2</sup> Sarrāj, p. 242.

<sup>3</sup> Abū Nu'aym, *Ḥilyat al-Awliyā*, ix, 332.

<sup>4</sup> Ibid., x, 76: 'He made himself known to them (the souls), guided them to his obedience, and showed them love, independent though he was of them. And he deposited love for him in the hearts of his lovers. Then he clad them in shining light [which appeared] in their speech out of the fullness of the love for him they bore in their hearts. And after he had done this for them, he presented them to the angels, rejoicing in them.'

hatred or in order to punish him. Whatever affliction falls to his lot from God he sees as the purest love between himself and God. It is only sent upon him that he may restore his soul to God out of his full free choice. And when he discovers this and things like this, it is small wonder that his soul flies to God in passionate longing and turns away from its familiar haunts with passion[ate loathing].'<sup>1</sup>

To become fit for union with God the mystic must 'conform to, or live in harmony with, God under all circumstances'<sup>2</sup> so that God's attributes replace his.<sup>3</sup> Then he is in a position to 'taste of the cup of [God's] love, and vital force (*'aysh*) will be joined to vital force, life to life, and spirit to spirit'.<sup>4</sup> Junayd, of course, as a Muslim, wholeheartedly believed in the truth of his religion as officially expounded. Because he admired Abū Yazīd as a genuine mystic, though only a beginner, he sought to soften down the harshness of his utterances, but he would not countenance any infringement of the religious law. He claimed that Ṣūfism depended on the Qur'ān, the religious law, and the Traditions,<sup>5</sup> and that all ways were barred to those who did not follow in the footsteps of the Prophet.<sup>6</sup> Any form of quietism was thus abhorrent to him, and when asked to comment on the proposition that 'those who have knowledge of God reach a state in which they leave behind them good works and the fear of God', he left his interlocutor in no doubt at all as to where he stood. 'This', he said, 'is the doctrine proclaimed by those who teach "the dropping of works"'. In my opinion it is a monstrous doctrine. A fornicator or a thief is better off than people who talk like that.'<sup>7</sup> 'Those who know God', he goes on to say, 'take their works from God and return to him with them. Were I to live for a thousand years, I should not abate one jot from the doing of good works unless some insuperable obstacle were put in

<sup>1</sup> Sarrāj, p. 305.

<sup>2</sup> Ibid., p. 217.

<sup>3</sup> Ibid., p. 59: Qushayrī, p. 145.

<sup>4</sup> Sarrāj, p. 242.

<sup>5</sup> Qushayrī, p. 19.

<sup>6</sup> Ibid.

<sup>7</sup> Sulamī, *Ṭabaqāt al-Ṣūfiyya*, p. 159: Qushayrī, p. 19.

the way.<sup>1</sup> For Junayd life on earth was deadly serious—it was a testing—and by obeying God's commandments and doing good a man conformed himself to God and offered up his actions back to God. Junayd thus continues the deep moral seriousness of his Ṣūfī predecessors, and separates himself sharply off from those gay, free spirits who, like Abū Sa'īd ibn Abī'l-Khayr, were later to flout the law and indulge in the silliest extravagances on the grounds that their exalted spiritual state put them beyond the law. It is a tribute to the spiritual stature of Junayd that even Abū Sa'īd should trace his spiritual ancestry back to him.

Before leaving Junayd a few words must be said about his *Kitāb al-Fanā* which Abdel Kader has recently published.<sup>2</sup> Like all Junayd's writing it is purposely difficult and obscure, for he knew that his doctrines, if clearly formulated, could scarcely be accepted by the orthodox theologians.

In this fragment of the *Kitāb al-Fanā* Junayd attempts to describe what happens to the soul in its encounter with God. His conception of the love of God had little of tenderness in it, for he never forgets for a moment the awful majesty of God. The 'intimacy' that the soul enjoys with God, which the Ṣūfīs call *uns*, he characteristically defines as 'the cessation of shyness without the loss of awe'.<sup>3</sup> The first step towards union, then, he sees as the creature's encounter with the irresistible might and majesty of God, in which the creature is swept away, crushed, and annihilated like a straw in a hurricane.

Using the Covenant verse from the Qur'ān as his text Junayd says that God 'addressed [the human souls] when they did not [yet] exist except in so far as he "existed" them (*wujūdi-hi la-hum*); for he was [eternally] "existing" [his] creation in a manner that was different from his "existing" individual souls (*anfus*), in a manner that he alone knows. . . . He was "existing" them, encompassing them, witnessing them in the beginning when they were no thing apart from their eternal being [in which] state they were from all pre-eternity—and this is the divine (*rabbānī*) existence and divine (*ilāhī*) awareness which is proper to him alone. Therefore did we

<sup>1</sup> Qushayrī, *ibid.*

<sup>2</sup> See above, p. 137.

<sup>3</sup> Sarrāj, pp. 65–66.

say that when he "existed" man, causing his will to flow over him as he wished, [endowing him] with his most exalted attribute in which none can share, this [form of] existence was without doubt the most perfect and the most efficacious.<sup>1</sup>

When I last translated this passage,<sup>2</sup> I took *shāhid* to mean 'calling to witness' in accordance with the tenor of the Covenant verse in the Qur'ān. It must, however, mean 'witness', for Junayd himself elsewhere defines the word as meaning 'the Truth (i.e. God) witnessing in your heart and most secret places and taking cognizance thereof'.<sup>3</sup> The phrase *wajada li-* I would now translate as to 'exist' something in a transitive sense, a usage that is current among some French Catholic existentialist writers. To cause to exist is *awjada* in Arabic, and it would seem that Junayd uses *wajada li-* to indicate something more subtle, more immediate, more 'existential', if you like, than this. What he means, I think, is this: in his timeless eternity God contemplates or witnesses, 'mentions' or thinks, encompasses or comprehends all human souls (*arwāḥ* not *anfus*) in one single existential act of witnessing, thought, and comprehension: in this single act he 'exists' them. The term denotes the logical priority of God over all souls, but a community of substance, and the 'substance' in this case is eternal extra-temporal being. The moment of creation in time is called *fanā' i-him 'an baqā' i-him*, 'their annihilation out of or after their eternal being', that is to say, their entry into time from eternity. This takes place 'in the beginning' and marks the entry of the soul into space and time. The existence of the soul (*rūḥ*) in eternity is divine, *rabbānī* and *ilāhī*, and is simply not comparable to the existence of the lower soul or *nafs* in this world: it is the most perfect existence a human being can enjoy, and it is totally devoid of humanity as we understand it (*idh lā ṣifata bashariyyatin*) since 'it proceeds from God and his overwhelming power.'<sup>4</sup> In itself it too overwhelms all purely human characteristics, but 'what souls have

<sup>1</sup> *Islamic Quarterly*, i, 80.

<sup>2</sup> In my *Mysticism, Sacred and Profane*, p. 166.

<sup>3</sup> Sarrāj, p. 229. Perhaps I would not have made this mistake if I had recalled that in India too God is the *sākṣin-*, the eternal witness in the heart.

<sup>4</sup> *Islamic Quarterly*, i, 80.

of eternity' is an existence derived from another; and to express this idea Junayd says they are 'clad' in it. It constitutes a bliss which is quite incomprehensible: it is a free gift of God, and 'generosity as applied to God is not what we usually mean by generosity'. The relationship between God and immortal souls must therefore always remain unknown to any but God—or rather, as Junayd says elsewhere, to any but God and any individual soul which God deigns to raise up to him; for 'sincerity is a secret between God and his servant which no angel may know that he should record it, nor devil that he should defile it, nor desire that it should divert it'.<sup>1</sup> God's relationship with the soul in eternity is not an I/It relationship, to revert to Martin Buber's terminology, but an I/Thou relationship of such personal intensity that none but the soul and God can have any knowledge of it. This existence in God which is eternal, manifests itself in time through the bodily apparatus, and, in the words of the Tradition: 'When my servant constantly draws near to me by works of supererogation, then do I love him, and once I have started to love him, I become his eye by which he sees, his ear by which he hears, his tongue by which he speaks, and his hand by which he grasps.'<sup>2</sup>

This tradition, which Junayd whole-heartedly accepts, opened the door to every kind of excess since any lunatic who considered he had fallen under the control of a higher power, could claim that his actions were no longer his but God's; and it is no accident that Abū Sa'īd ibn Abī'l-Khayr's initiation into the higher mysteries should have been at the hands of one of these '*uqalā'*-i *majānīn*', these 'madmen who are yet sane', whom God had personally freed from his commands and prohibitions.<sup>3</sup> This kind of thing Junayd had foreseen, and he therefore lays down that absolutely no one has a right to make such claims for himself: hence his insistence that under no circumstances may the obligatory duties of the religious law be neglected. For man lives both in eternity with God and in time with the world. His *rūḥ*, immortal soul or *ātman*,

<sup>1</sup> Qushayrī, p. 96.

<sup>2</sup> This is the full version of this exceedingly popular Sūfī tradition. See Sarraj, pp. 383-4.

<sup>3</sup> Ibn Munawwar, *Asrār al-Tawḥīd*, pp. 24-25.



being eternal cannot directly act, but his *nafs*, which for Junayd seems to mean the whole of the human psyche which operates in time, can and does, though neither the *nafs* nor the senses have any awareness of the soul's timeless bliss.<sup>1</sup> What is present to the one is absent from the other and *vice versâ*.

We have seen that Junayd considered the sign of spiritual progress to be the gradual replacement of human qualities by divine ones. Most Ṣūfīs would have agreed with this, but they believed that this could only be effected by the actual destruction of the *nafs*. Sahl ibn Abdullah of Ṭustar who was famous for his austerities, said: 'Know that this is a time in which no one can attain salvation except by slaying his *nafs* in sacrifice by fasting, long-suffering, and gruelling toil.'<sup>2</sup> In a similar vein Abū Sa'īd al-Kharrāz recommends that the *nafs* be melted by obedience, killed by showing enmity to it, sacrificially slaughtered by despairing of all that is not God, and murdered by the shame one feels before God.<sup>3</sup> Such drastic measures do not commend themselves to Junayd. For him the *nafs* is rather a disease that may yet be cured: once it ceases to be a slave to the lusts of the flesh and opposes them, 'its disease becomes its cure'.<sup>4</sup> The relationship of the eternal soul to the temporal one is, then, for Junayd, analogous to the relationship of God to the eternal soul—the junior partner must in each case be brought into conformity with the senior, and, as far as the lower soul is concerned, this is achieved by conforming to the religious law.

Junayd, shy and retiring though he was, squarely faced the fact that even the mystic has to live in this world, and though, in ecstasy, the soul may transcend the senses and time, it nevertheless has to return to both; and this constitutes the torment (*'adhāb*) of the soul.<sup>5</sup> But there are torments and temptations besides this, and the worst of these temptations is that the soul should enjoy itself in isolation apart from God.

The two words that Junayd continually uses in the *Kitāb al-Fanā* to express God's relationship to the soul are *isti'thār* and

<sup>1</sup> *Islamic Quarterly*, i, 81.

<sup>2</sup> Sulamī, *Ṭabaqāt al-Ṣūfiyya*, p. 209.

<sup>3</sup> Sarrāj, p. 264.

<sup>4</sup> Qushayrī, p. 71.

<sup>5</sup> *Islamic Quarterly*, i, 81.

*istilā*, 'appropriation' and 'supremacy' or 'overwhelming'. When once the soul has communed with God in the manner that God has 'appropriated' to it, it returns again to the world and experiences torment, the 'pang of loss' which stimulates it to an 'intensity of effort'.<sup>1</sup> It now makes demands on (*tālaba*) God of a kind that God alone has a right to make, for such souls 'now dwell in power and have attained to the reality of high favour [with God]. He dwells in them and occupies them, and, thanks to him, all that ever was or was not in the order of contingency (*ṣifa*) wells up within them.' They then 'become satisfied with what had already appeared to them, lose all sense of destitution, and abandon all sense of judgement. They preen themselves on the victory [they think they have obtained] by their own efforts and power and overweening pride. But [in truth] they had been looking on things with what [in them] was theirs, without passing upwards to what is God's, and so they induce distinction and separation because they see and experience with their own two eyes, whereas God overwhelms with his two commands. And when God's manifestations appear to them, [God] causes them to take refuge from him in their own [attributes] so that they exult and glory in their isolation. At this stage they go forth without any repining (?) towards him, preferring [to him] that in which their joy is isolated, playing the wanton with him, so sure are they of his forbearance. They do not see that return will be demanded of them and that an account will be exacted from them. When this happens, it is God's guile that encompasses them in a manner they do not understand.'

Though the style is obscure, the purport of this passage seems to be clear enough. The mystic is represented as first experiencing communion with God in which an eternal and unique relationship is established between the extra-temporal soul and God who is its ground and source: God's rôle is to elect and overwhelm, the soul's to be elected and overwhelmed. Once the mystic is restored to the world of space and time, it strives to re-establish the *rapport* by its own unaided efforts. The mystic's own striving (or what he conceives to be such, for God controls him all the time) brings him

<sup>1</sup> Ibid., p. 82.

to a point where he thinks he can do without God: both his sense of creatureliness and his judgement forsake him, and he prefers to enjoy the isolation of his own soul to the timeless relationship he had enjoyed with God. This is God's *makr*, the quality of guile by which he leads the mystic astray. The mystic thinks he has achieved all this by his own efforts, whereas it is really God putting him off the scent. In the Indian tradition Rāmānuja too warns against this danger. 'The consciousness of a man whose mind is not fixed on God', he says, 'and who, in subduing the senses, relies entirely on his own efforts, cannot succeed even if his mind is directed exclusively to the *ātman*.'<sup>1</sup> Thus, in their different traditions and against their different theological backgrounds, Rāmānuja and Junayd agree that even the soul's realization of itself in apparent isolation from God cannot be attained without the divine intervention. For Junayd this monistic trance is the ultimate temptation, the snare with which God trips up the spiritually proud.

According to Junayd God may now shatter this rapture of self-regarding isolation by re-asserting his authority. The impact causes these souls 'to be distraught for life eternal': it causes acute suffering and makes them realize that they have lost God. None of their acquired mystical habits (*mawāṭin* and *amākin*) will avail them now, they are overcome with misery at their disloyalty to God. God, on his side, makes them long and thirst for him with an unquenchable thirst, makes them taste again utter denudation (*faqr*), and gives them strength to endure suffering for his sake. The last veil is lifted, and God torments them, but they do not shrink away. 'And how should any veil divide them from him?' Junayd asks, 'For they are his captives, imprisoned before him, and even as they are afflicted, they find favour with him in that they are destroyed in what is manifested to them. They no longer aim at looking after themselves, content with God's love and their dependence on him and their nearness to him. In the swiftness of their awakening they behold the myriad glances that proceed from him so that the very destruction [of their human, individuality] is [itself] drowned in the tide that flows over them in

<sup>1</sup> Rāmānuja on BG., 2.66,

eternal Being and violent suffering, until their very suffering is turned to joy, and their abiding in it brings delight in God, for they see that he is near to ward off their suffering and to draw its sting. Then the soul no longer turns away from the burden of suffering out of faint-heartedness, nor is it grieved by it nor chafed. These are the [real] heroes of mystical experience because God has revealed his secrets to them, and they have taken up their abode in his omnipotence (*qahr*), awaiting his command, that God [himself] may fulfil a deed performed.'

I hope I may be forgiven for having quoted Junayd's *Kitāb al-Fanā* at some length. I have thought it right to do so, for here even more than in the epistles quoted by Sarrāj, Junayd's analysis of mystical experience seems to be particularly penetrating. His main line of thought may be summed up as follows.

The relationship between God and the *rūḥ* or higher soul is an eternal one in which God is *mustaulī*, 'absolutely predominant' and *musta'thir*—he appropriates each elected soul to himself in a manner that is peculiar and individual to each and every soul so elected. In mystical experience this relationship will be revealed to the soul in a flash of intuition in which it not only realizes that it has its being outside time, but that it has forever a unique relationship with God. When the vision passes the soul suffers bitter anguish and therefore strives to attain this state again by its own efforts. What it achieves, however, is not a relationship with God of any kind, but a total isolation or en-stasis in itself. In this state it thinks itself independent of God, loses all sense of awe, and behaves with coquettish boldness towards him: this is what Junayd elsewhere calls *awwal tafrīd al-tajrīd*,<sup>1</sup> 'the beginning of' or 'first' 'isolation in separation', and this is the trap which God sets for the soul. It explains, among other things, how Abū Yazīd could have brought himself to believe that his 'onset was more violent than the onset of God'.<sup>2</sup> God, however, now visits the mystic, thus drunk with spiritual pride, again: and his soul, confident though it is in its timeless being, is utterly humbled, made to suffer agonies, but made also, in and through its very agony, to thirst for God who,

<sup>1</sup> Sarrāj, p. 241.

<sup>2</sup> See above, p. 115.

it now sees, is its only true goal and perfect satisfaction. The suffering of the soul is then transmuted into joy, and its spiritual life is now enriched beyond the original happiness it had enjoyed when 'it was not', that is, when it was only an idea in God's mind. Finite existence has thus taken nothing away from it, but, on the contrary, has added what was lacking before, the joy-in-agony of loving and being loved by God. The path that one must tread to reach this state is long and perilous, or, to quote the words of this spiritual genius for the last time: 'The journey from this world to the next is easy and simple for the believer, but to separate oneself from creatures for God's sake is hard, and the journey from self to God is exceedingly hard, and to bear patiently with God is the hardest of all.'<sup>1</sup>

'*Tawhīd* means to isolate eternity from origination.' These were the words of Junayd with which we introduced him; and I hope that our analysis of the few writings of his which remain to us may have made the meaning of this pregnant saying a little more clear. The soul is God's idea and, as such, eternal; it is dipped in time and returns to him enriched by the experience of suffering for his sake. For a Muslim the idea is daring, and the orthodox school of Ṣūfīs of whom Junayd was the founder, adopted other formulas to explain the nature of the soul. Of Junayd's successors in 'orthodox' Ṣūfism Ghazālī was without doubt the most influential as well as the most prolific; and we must now consider his view both on the nature of the soul and the nature of mystical experience.

In Ghazālī we will look for the deep concentration of thought that we found in Junayd in vain; for, in my opinion at least, Junayd was a spiritual genius of the very first rank and was rightly hailed as the 'Crown of the Mystics'.<sup>2</sup> Ghazālī was essentially the popularizer of other men's ideas, and in his mystical writings he bothered very little about consistency. 'When we come to the

<sup>1</sup> Qushayrī, p. 85.

<sup>2</sup> See above, p. 138.

books of Shaykh Abū Ḥāmid al-Ghazālī,' writes the twelfth-century Andalusian philosopher Ibn Ṭufayl, 'so far as he addresses himself to the general public, he binds in one place only to loose in another, pronounces certain doctrines heretical at one time only to adopt them later.'<sup>1</sup> As an example Ibn Ṭufayl quotes his condemnation of the philosophers for denying the resurrection of the body in the *Taḥāfut* and his blithe acceptance of the same doctrine in the *Mizān al-ʿAmal* where he quotes it as being the considered opinion of the Ṣūfī Shaykhs. Such contradictions are to be found throughout the enormous output of Ghazālī and do not seem to have troubled this supposedly logical thinker unduly. Manichaean and Platonic conceptions of the nature of the world jostle each other happily, and no attempt is made to reconcile them. This confusion of thought which is characteristic of Ghazālī is due to the fact that for the first time in the 'orthodox' Ṣūfī tradition Ṣūfism and philosophy meet. Ghazālī was not, as is often alleged, a synthesizer: he borrows from both Ṣūfism and philosophy, combines the two in a loose and incoherent mixture, but makes no attempt to construct a logical system out of the ingredients he has selected. His mystical reading included the *Qūt al-Qulūb* of Abū Ṭālib al-Makkī, Muḥāsibī, and the recorded fragments concerning Junayd, Shibli, and Abū Yazīd al-Bisṭāmī.<sup>2</sup> His own brand of Ṣūfism is, in fact, based largely on Muḥāsibī and Makkī, and there is no evidence that he ever even understood the crucial problem which had exercised Junayd as it was later to exercise Rāmānuja in India—namely, how is the mystic's claim to have reached a state of undifferentiable oneness in isolation to be reconciled with a theistic view of the universe?

Before Ghazālī Ṣūfism had not been seriously interested in metaphysics. It approached the mystical element in religion not *via* theology but by the direct road of moral discipline and self-purification. The greatest exponent of this method is certainly

<sup>1</sup> Ibn Ṭufayl, *Ḥayy bin Yaqẓān*, ed. A. Ḥakīm, Beyrout, 1954, p. 8.

<sup>2</sup> *Al-Munqidh min al-Dalāl*, ed. M. M. Jaber, Cairo (undated), p. 43: translation by W. Montgomery Watt as *The Faith and Practise of Al-Ghazālī*, London, 1953, p. 54.

Muḥāsibī who, in his remorseless analysis of motive and the secret sins of the soul, bears more than a superficial resemblance to St. François de Sales in the mystical flowering of the Counter-Reformation in the West, whereas Junayd, with his profoundly penetrating analysis of the stages the soul goes through in its return journey to God, may not ineptly be compared with St. John of the Cross. Ghazālī's writing on the ascetical preparation for the mystical encounter are very largely dependent on Muḥāsibī, whereas his ideas on the nature of mystical experience seem to be based on Abū Yazīd, Ḥallāj, and Abū Sa'īd ibn Abī'l-Khayr rather than on Junayd. We shall have to return to this in our next and last lecture.

Like practically all mystics Ghazālī considers man to be of a dual nature: he is composed of a mortal body and an immortal soul. His mature views on the relationship between the body and the soul, and the soul and God, are given in the first book of the *Kīmīyā-yi Sa'ādat*, one of his latest works.<sup>1</sup> His starting-point is the celebrated tradition—*man 'arafa nafsa-hu fa-qad 'arafa rabba-hu*—‘Who knows himself knows his Lord’: so he proceeds first to analyse human personality and then, by analogy, he analyses the relationship of God to the world. As his text for the nature of the soul he takes the Qur'ānic text: ‘And they will ask you concerning the spirit; say, the spirit is *min amri rabbi-hi*.’<sup>2</sup> This phrase, as it stands, is exceedingly obscure and may mean either ‘is of the affair of his Lord’, i.e. God's business, or ‘derives from the command of his Lord’. Now, as has often been pointed out before, ‘the semi-personal and changing sense of *amr* [in the Qur'ān] . . . strongly suggests the *mēmrā* of the Talmud which, as a substitute for the name of Yahweh, gives the appearance of being a person or at least an intermediary force between God and the world, without being either in reality’.<sup>3</sup> This personification of the divine command or Word is a commonplace of Isma'īlī theology: for it is made to correspond to the Neo-Platonic Nous, the ‘*aql al-kullī* or

<sup>1</sup> Written after the *Mishkāt al-Anwār* which it mentions on p. 50.

<sup>2</sup> Qur'ān, 17.87.

<sup>3</sup> T. O'Shaughnessy, S.J., *The Development of the Meaning of Spirit in the Korān*, Rome, 1953, p. 39.

'universal intelligence' of Avicenna. It is the exteriorization of the *deus absconditus*, the unqualifiable One of the Neo-Platonists. 'All created things and all creation subsist totally in the *amr* of God,' writes Nāṣir-i Khusraw, the famous Isma'īlī apologist of the eleventh century,<sup>1</sup> 'and nothing is either prior or posterior to it': it is an effect of God, the One, just as writing is the effect of the scribe, in other words it is the creative power of God—the exact equivalent of the Hindu *śakti* or *māyā*—and as such the real creator. Proceeding without intermediary from God it still remains one with God, but 'being perfect in act and potency, it is in truth the creator and the agent'.<sup>2</sup> Thus the impassive godhead is preserved in its absolute unity while creation is the work of the *amr* which, as its sole effect, is both identical with it and distinct. The *amr* or command is also called the 'Word'—the creative word of the Qur'ān, *kun*, 'Be'.<sup>3</sup> Thus the 'Word' of the Isma'īlīs corresponds exactly to the *Īśvara* or 'Lord' of the Śaṅkara Vedānta, the 'Lord of *māyā*'<sup>4</sup> and the *śakti* of the *Śvetāśvatara* Upaniṣad.

The God of Isma'īlī metaphysics is the One of Plotinus which, being absolutely one, cannot be the source of multiplicity: hence the necessity for the creative Word which faces both ways, so to speak—one with the One as its sole effect when it faces inward, but the cause of all multiplicity when it faces outward. The One of Plotinus, however, is very different from the Allah of the Qur'ān, and it therefore seems surprising that Ghazālī should see fit to introduce the idea of the creative Word into Šūfism. His interpretation of the Qur'ānic *amr*, however, is not identical with that of the Isma'īlīs, though, in the *Risālat al-Ladunniyya*<sup>5</sup> where he con-

<sup>1</sup> Nāṣir-i Khusraw, *Kitāb-i Gushā'ish wa Rahā'ish*, ed. S. Nafisi, Leiden, 1950, p. 6.

<sup>2</sup> Ibid., pp. 6–7.

<sup>3</sup> Ibid., p. 86.

<sup>4</sup> *ŚvetUp.*, 4.10.

<sup>5</sup> The authenticity of this work is disputed by Asín Palacios (*Espiritualidad de Algazel*, iv, 388) on the grounds that much of it re-appears in the *Risāla Fi'l-Nafs wa'l-Rūḥ* of Ibn al-'Arabī. It is true that the *Risālat al-Ladunniyya* is more explicitly Neo-Platonist than any of Ghazālī's other works, but the theology of the *amr* and *rūḥ* are fully developed in the *Kīmīyā-yi Sa'ādat*, and the *Risālat-al Ladunniyya* may well represent a very late stage in Ghazālī's thought. The close resemblance to Ibn al-'Arabī's treatise can best be explained by the fact that plagiarism was not at the time regarded as being particularly reprehensible.



sciously tries to adapt Neo-Platonism to 'orthodox' Ṣūfism, it is very nearly so.

We saw that in India the various philosophical schools attempted to explain the nature of the universe against the background of the experience of liberation. Liberation reveals that there is, in addition to the world of space and time, a world where the soul passes beyond both. The experience of liberation is both for the Sāṃkhya-Yoga and the Śāṅkara Vedānta one of undifferentiated oneness: there is no experience of anything but oneself. Gauḍapāda and Śāṅkara held that this experience meant that the released soul was in fact the ground from which all existence in time and space grew, and, because it must have been plain even to them that in fact they were not the creator of the world, they had to take the further step of declaring the world to be illusory. Abū Yazīd, in introducing these ideas into Islam, made the mistake of identifying the qualityless Brahman with the Allah of his own creed. The fact is, however, that the God revealed in the Qur'ān simply is not the God of the mystical experience of Abū Yazīd. Junayd squarely faced this issue. He did not make the mistake of dismissing Abū Yazīd as a madman or his experiences as either illusory or of diabolic origin: he accepted them as genuine experiences of what Louis Gardet calls *an* absolute, or what I would prefer to call, with Buber, the 'pre-biographical' unity of the soul—he accepted them and assigned them their due place in what he conceived to be the soul's ascent to God. For him each soul is unique, and its relationship with God is therefore unique too. His theology is therefore a theology of eternal relationships, and within this frame he logically accounts for the soul's delusion that it is God when all it really is, is itself in its 'pre-biological unity'. Abū Yazīd's experiences which had seemed to raise the mystic beyond the God of the Qur'ān, were deflated and put where they belonged. The majesty and uniqueness of God were thereby preserved intact.

Ghazālī, in his handling of the esoteric doctrine of the *amr*, seems to do so against the background of the claim of Abū Yazīd and others that the mystic in ecstasy actually realizes himself as

God. This appears fairly clearly in the *Mishkāt al-Anwār* in which the mystic is said to see that nothing at all exists except God. The corollary of this is, of course, that creation is illusory; and, like Śaṅkara and Plotinus, Ghazālī is forced to introduce a secondary figure as the creator God of what is from the absolute point of view an unreal universe. This is presumably the secret doctrine 'to explain which would be reprehensible innovation (*bid'at*)'.<sup>1</sup> So, in his works written for the general public other theories of the relationship of the soul to God, and the soul to the body appear.

In the *Kimīyā* Ghazālī divides existence into two separate compartments, the '*ālam-i amr*, 'the world of the Word', and the '*ālam-i khalq*, 'the world of creation'.<sup>2</sup> The latter is the material universe characterized by extension, quality, and quantity, the former is the spiritual, ideal, or intelligible world consisting of souls which are not subject to spatial categories but which are nevertheless created: moreover, it would appear that, like the *ātman*-Brahman of Śaṅkara, they are devoid of quality, they are not in any way definable, and there is no answer to the question: 'What is the soul like?'<sup>3</sup> Similarly, since they are not subject to extension, they are indivisible monads,<sup>4</sup> very much like the *puruṣas* of the Sāṅkhya-Yoga, except that for Ghazālī they are usually though not always created.

The soul is a spiritual essence like that of the angels, and 'its descent into this world is alien to the nature of its essence';<sup>5</sup> further it is the mirror of God<sup>6</sup> and as such enters into a mysterious *rapport* with the 'Preserved Tablet' which is the mirror of God in

<sup>1</sup> KS., p. 898.

<sup>2</sup> KS., p. 12. Ghazālī borrows the idea of the '*ālam al-amr* and the '*ālam al-khalq* from Avicenna. See F. Dieterici, *Alfārābī's philosophische Abhandlungen*, Leiden, 1890, pp. 69, 72 (Arabic text). Dr. R. Walzer tells me that the treatise *Risāla Fuṣūṣ al-Ḥikam* where the passages occur is not by al-Fārābī but by Avicenna. He has also drawn my attention to a similar passage in the *Risāla fī Māhiyyat al-Ṣalāt* (published in M. A. F. Mehren, *Traité mystiques . . . d'Avicenne*, Leiden, 1894, fasc. iii, p. 33 (Arabic text)).

<sup>3</sup> KS., p. 44.

<sup>4</sup> Ibid., p. 45.

<sup>5</sup> Ibid., p. 78.

<sup>6</sup> Ibid., p. 75.

the cosmos.<sup>1</sup> According to a well-known tradition based on Genesis 1.27, 'God created man in his own image',<sup>2</sup> and the soul's relationship to the body must therefore reflect the relationship of God to the world. The soul in the body is like a king in his kingdom and the lower faculties are his functionaries, the two prime instincts of the lower soul, anger and desire, being likened to the chief of police and the chief tax-gatherer respectively.<sup>3</sup> Similarly in the macrocosm God is the king and as such he corresponds to the soul or heart of man:<sup>4</sup> both God and the soul are *munazzah*, 'devoid of qualities', and as such unimaginable and inconceivable, yet both hold sway in their own kingdoms.

In the first book of the *Kīmiyā-yi Sa'ādat* Ghazālī is careful to point out that the 'world of the Word', though in no way commensurable with the 'world of creation', is nonetheless created, yet in the third book he allows himself to say that the soul (which is part of the world of the Word) 'is a substance that exists of itself'.<sup>5</sup> Ghazālī is indeed always betraying himself by these apparent slips of the pen, and it is highly probable that his own inner convictions were far nearer to the Isma'īlī metaphysics than he would have cared to admit. In the *Risālat al-Ladunniyya* where he claims to expound the beliefs of the 'Šūfī elect', he speaks a very different language. As in the *Kīmiyā* the soul derives from the *amr*, Word, or Logos of God, but God does not create it out of nothing: 'he *manifested* the substance of the soul from his one, perfect Word which brings about perfection and benefit'.<sup>6</sup> The Word is unique: it is the 'power' or 'potency' (*quwwa*) of God, known in philosophy as the first intelligence and in the Qur'ān as the Tablet or Pen. So too the soul is a 'durable and permanent substance not subject to decay', 'a singular perfect substance that is alive by its own essence'.<sup>7</sup> The body is described both in the *Kīmiyā*<sup>8</sup> and the

<sup>1</sup> Ibid., p. 22.

<sup>2</sup> Ibid., p. 45.

<sup>3</sup> Ibid., pp. 14-15.

<sup>4</sup> Ibid., pp. 44-45.

<sup>5</sup> Ibid., p. 734.

<sup>6</sup> *Risālat al-Ladunniyya* in Šabrī, p. 23.

<sup>7</sup> Ibid., p. 25.

<sup>8</sup> p. 36.

*Risāla*<sup>1</sup> as the mount or the instrument of the soul, but in the *Risāla* we also meet with the wholly Manichaean idea of the body being composed of darkness and the soul of light.<sup>2</sup> As usual no attempt is made to reconcile the two points of view.

Similarly in his view of the world Ghazālī is wildly inconsistent, although it should be pointed out that he draws a distinction between the '*ālam*, the orderly cosmos which reflects the creative activity of God, the contemplation of which may bring one nearer to the Creator,<sup>3</sup> and the *dunyā*, the sublunary world as it affects man. But in his judgement of the *dunyā* or sublunary world we see the same gaily eclectic tendency at work. As a journey,<sup>4</sup> hotel,<sup>5</sup> ship,<sup>6</sup> or even a dream,<sup>7</sup> it would seem to be relatively harmless, but this can scarcely be said of it in its capacity as the 'prison of the faithful',<sup>8</sup> as a sorcerer,<sup>9</sup> harlot,<sup>10</sup> a refuse dump,<sup>11</sup> or a devouring flame.<sup>12</sup> So too it is the enemy of God,<sup>13</sup> and 'God created nothing on the face of the earth [*sic*] more hateful to himself than the world, and when he created it he never so much as looked at it',<sup>14</sup> so man should shrink from it as from a corpse.<sup>15</sup> This, however, does not prevent this same world from being created for man as a provision.<sup>16</sup> Little inconsistencies like these Ghazālī easily takes in his stride.

The almost paranoiac hatred of the world that Ghazālī sometimes displays must derive directly or indirectly from a Manichaean source, and the contemplation of the filthiness of the body which he commends as a salutary exercise<sup>17</sup> must ultimately go back to Buddhism.<sup>18</sup> This exercise, however, in no way precludes the grateful meditation on the marvellous mechanics of this same vile body.<sup>19</sup> Rarely has the reputation of a thinker of repute rested more securely on the absence of adequate translations of his works.

Professor Arberry doubts 'whether [Ghazālī] was himself a

<sup>1</sup> p. 26.      <sup>2</sup> p. 23.

<sup>5</sup> Ibid., pp. 68, 621.

<sup>8</sup> Ibid., pp. 84, 548.

<sup>11</sup> Ibid., p. 617.

<sup>14</sup> Ibid., p. 617.

<sup>17</sup> Ibid., p. 720.

<sup>19</sup> KS., p. 791.

<sup>3</sup> KS., pp. 789 ff., 921.

<sup>6</sup> Ibid., p. 69.

<sup>9</sup> Ibid., pp. 66, 616.

<sup>12</sup> Ibid., p. 619.

<sup>15</sup> Ibid., p. 622.

<sup>18</sup> Cf. especially *Visuddhi-Magga*, ch. vi.

<sup>4</sup> Ibid., p. 67.

<sup>7</sup> Ibid., p. 622.

<sup>10</sup> Ibid., pp. 67, 616.

<sup>13</sup> Ibid., p. 616.

<sup>16</sup> Ibid., p. 789.

mystic at all in the strict sense of the term'.<sup>1</sup> Ghazālī's interpretation of mystical experience in both the *Kīmīyā* and the *Mishkāt al-Anwār*, however, show a relative consistency that we look for in vain in his metaphysical writing, and it is likely that Ghazālī may have had a purely monistic experience in which all disappeared except the 'One', for this would account for the difference between his esoteric doctrine (which is purely monistic) and his more 'popular' work which tries to follow orthodox lines. In our last lecture, then, we shall have to consider the nature of the experiences he describes, and pass in review the theories of some of his successors who perhaps gave rather more thought to these difficult matters than did the illustrious philosopher of Ṭūs.

<sup>1</sup> A. J. Arberry, *Revelation and Reason in Islam*, p. 108.

## VIII

### Ghazālī and After

GHAZALĪ's prestige as a philosopher and theologian was immense, and his doctrinal formulation of Ṣūfism was bound profoundly to influence the whole future development of the Ṣūfī movement. In the years of his retirement from which none of the blandishments of Sanjar's Grand Vizier, Fakhr al-Mulk, could withdraw him,<sup>1</sup> he must have written his last great work, the *Kīmīyā-yi Sa'ādat*. This and the short treatise, the *Mishkāt al-Anwār*, were singled out for criticism by his enemies,<sup>2</sup> for these works contain propositions which were unlikely to find favour with orthodoxy. These propositions were (i) that the formula 'There is no God but God' was a definition of the divine unity only fit for popular consumption, whereas the 'privileged', the *khawāṣṣ*, preferred the formula 'There is no He but He', (ii) that light in its reality is God, and (iii) that the soul of man is a stranger in this world and originated in the world above. In defence of these propositions Ghazālī wrote the *Faḍā'il al-Anām*, though he evinced little hope of convincing his critics. 'Nowadays', he writes, 'if anyone [ventures to] speak the truth, the very walls rise up in enmity against him.'<sup>3</sup> Yet he can scarcely have been surprised at the criticisms levelled against him, for he confesses that in the *Kīmīyā* he was adumbrating doctrines 'to explain which would be heresy'<sup>4</sup> and 'pure infidelity'.<sup>5</sup>

Now, to judge from the *Kīmīyā* it would appear that Ghazālī held two doctrines, the precise formulation of which would constitute heresy or worse: the first concerns the indwelling of God in creatures, and the second the denying not only to God but to the

<sup>1</sup> *Faḍā'il al-Anām*, ed. M. Ṣābitī, Tehran, 1333 A.H. (solar), p. 15.

<sup>2</sup> *Ibid.*, p. 17.    <sup>3</sup> *Ibid.*, p. 15.    <sup>4</sup> *KS.*, p. 898.    <sup>5</sup> *Ibid.*, p. 745.

human soul as it is in its essence of any attributes whatever. Thus he says that the soul, as king of the psycho-physical body, is *bī-chūn* and *bī-chigūna*, that is, absolutely unqualified, 'exactly as the king of the world (God) is *bī-chūn* and *bī-chigūna*'.<sup>1</sup> This, he admits, is the Mu'tazilite doctrine of *ta'ṭil*,<sup>2</sup> the denying to God in his essence of any attribute whatever; but the whole doctrine would become clear, he says, if 'one were openly to proclaim the peculiar nature of the soul in its secret essence (*sirr*); but this is not permitted'. The doctrine, however, is already formulated, though not precisely, in the tradition, 'Verily God created Adam in his own image'.<sup>3</sup> From the two passages from which we have been quoting it seems fairly clear that the secret doctrine Ghazālī speaks of is that the soul, in its total denudation of all qualities, is identical with God, and there are passages in the *Kīmīyā* and the *Mishkāt* which show that this conclusion is correct.

The second secret doctrine is usually called *ḥulūl* and was particularly associated with Ḥallāj; and this again is justified not only by the *imago Dei* tradition but also by the tradition we have already quoted with reference to Junayd: 'When a servant of mine draws near to me, then do I accept him as my friend, and once I have befriended him, I become his, ear, his eye, and his tongue.'<sup>4</sup> This doctrine of the indwelling God receives additional confirmation from a tradition which purports to be God's words to Moses, but which is in fact based on Matthew xxv. 36-40. 'O Moses,' so the tradition runs, 'I was sick and thou visitedst me not.' [Moses] replied: 'Thou art the Lord of the whole world, how shouldst thou be sick!' [God] said: 'Such-and-such a servant of mine was sick; and hadst thou visited him, thou wouldst have visited me.'<sup>5</sup> It seems slightly ironical that the words of one who had claimed to be God's only-begotten Son should be used by a man who had earned himself the title of the 'Proof of Islam' in support of the heretical doctrine that God indwells all men—a doctrine, moreover, the originator of which had, like Jesus, died upon a cross.

<sup>1</sup> Ibid., p. 45.

<sup>2</sup> Ibid., p. 79.

<sup>3</sup> Ibid., p. 45.

<sup>4</sup> Following the Persian version as given in the *KS.*, p. 952.

<sup>5</sup> *KS.*, *ibid.*

Ghazālī knew full well what he was doing: his ‘secret doctrines’, when clearly formulated, were plain infidelity, they were *ittiḥād* and *ḥulūl*, the doctrine that the soul is identical with God and the doctrine that God indwells the soul.<sup>1</sup> ‘It is all very difficult to explain,’ as Ghazālī rightly adds.

There is a well-known passage in the *Mishkāt al-Anwār* which very clearly shows what Ghazālī’s secret doctrine was.

The mystics [he writes], after their ascent to the heavens of reality agree that they saw nothing in existence except God, the One. Some of them attained this state through discursive reasoning, others reached it by savouring it and experiencing it. From these all plurality finally fell away. They were drowned in pure isolation: their reason was lost in it, and they became as if dazed in it. They no longer had the capacity to recollect aught but God, nor could they in any wise remember themselves. Nothing was left to them but God. They became drunk with a drunkenness in which their reason collapsed. One of them said, ‘I am God (the Truth)’. Another said, ‘Glory be to me! How great is my glory!’ while another said, ‘Within my robe is naught but God.’

So much for the experience; and now Ghazālī proceeds to rationalize it along more or less orthodox lines:

But the words of lovers when in a state of drunkenness [he writes], must be hidden away and not broadcast. However, when their drunkenness abates and the sovereignty of their reason is restored—and reason is God’s scale on his earth—they know that this was not actual identity, but that it resembled identity as when lovers say at the height of their passion:

‘I am he whom I desire, and he whom I desire is I;  
We are two souls inhabiting one body.’

For it is not impossible that a man should be confronted by a mirror and should look into it and not see the mirror at all, and that he should think that the form he saw in the mirror was the form of the mirror itself and identical with it: or that he should see wine in a glass and think that the wine is just coloured glass. And he gets used to this [way of thinking] and becomes fixed in it, and it overwhelms him so that he says:

<sup>1</sup> Ibid., p. 745.



‘Thin is the glass and clear is the wine;  
The two are alike—mutual resemblance.  
It is as if there were only wine, and no glass at all,  
Or as if only glass, and no wine there.’

But there is a difference between saying, ‘The wine is the wine-glass’, and saying, ‘It is as if it were the wine-glass’. Now when this state prevails it is called ‘annihilation’ with reference to the person who is experiencing it, or even the annihilation of annihilation, for [the mystic] is annihilated so far as he himself is concerned, and annihilated too so far as his own annihilation is concerned: he is not conscious of himself in this state, nor is he conscious of his own unconsciousness; for were he conscious of his own unconsciousness, he would be conscious of himself. This condition is metaphorically called *ittiḥād* with reference to the man who is immersed in it, but in the language of truth [it is called] *tawḥīd* (union).<sup>1</sup>

And to conclude we have the usual maddening sentence: ‘Beyond these truths there are further mysteries the penetration of which is not permissible.’

From this passage it is plain that Ghazālī takes Abū Yazīd, Ḥallāj, and Abū Sa‘īd ibn Abī’l-Khayr as his models, for he uses the slogans *Anā’l-ḥaqq*, *Subḥānī*, and *Mā fi’l-jubbati illā’llāh* with which these persons had become identified as the supreme examples of mystical truth. They it was who had reached the ‘reality of realities’,<sup>2</sup> the realization of the identity of the soul with God, or, as he here puts it, ‘the annihilation of the soul and the sole vision of God’. The whole passage, however, is full of contradictions.

In the introduction to the passage we have quoted the human soul is first said to have been only on loan, then it is described as the ‘property’ of God, and lastly as pure not-being. What being it has it derives from its relationship to God. Amplifying the last proposition that man *per se* is pure not-being, Ghazālī proceeds to appeal to mystical experience in justification of the metaphysical doctrine he is here advocating. The experience, we are told, con-

<sup>1</sup> *Mishkāt al-Anwār* in Ṣabrī *Al-Jawāhir al-Ghawālī*, pp. 122–3; tr. W. H. T Gairdner, *Al-Ghazzālī’s Mishkāt al-Anwār*, Lahore, 1952 (reprint), pp. 106–8.

<sup>2</sup> *Ibid.*, p. 121: translation, p. 103.

sists in *seeing* nothing in existence except God, and the seer then becomes drowned in 'isolation', by which he apparently means God's isolation. This is clearly the experience which Junayd had defined in the case of Abū Yazīd as 'the first isolation', the soul's enjoyment of *its own* timelessness and seeming infinity. Ghazālī, however, interprets it here as meaning the identity lovers are alleged to feel at the height of their passion, and as evidence of this unity he quotes a verse of Ḥallāj which affirms the meeting of *two* souls in one body! He thereby confuses the doctrines of *ḥulūl* and *ittiḥād* which he appears to think are the same. He further alleges that the mystic, once his ecstasy is over, *knows* that what he experienced was not actual identity, whereas the reverse seems to be true. Neither Abū Yazīd nor Abū Sa'īd, let alone the extreme Vedāntins, ever doubted that what they had experienced really *was* identity, and this is almost certainly what Ghazālī himself believed, as we shall see. His 'as if' is simply begging the question since he is unwilling to reveal 'mysteries' which it is not 'lawful to penetrate'.

Now in the *Mishkāt* he says that the type of mystical experience enjoyed by Abū Yazīd and Ḥallāj is called '*tawḥīd* in the language of truth'. *Tawḥīd* in the context is probably meant to mean no more than the 'affirmation of the divine unity', but in the *Faḍā'il al-Anām*, which is a commentary in Persian on the *Mishkāt*, he reveals at last the 'secret doctrine' of *tawḥīd*. Here he argues that two things can never become one: for either both exist, in which case they are not identical; or one exists and the other does not, in which case again there is no identity; or they both do not exist, and in that case there is no identity either. So 'perfect *tawḥīd* means that nothing exists except the One'.<sup>1</sup> Here at last Ghazālī forgets to worry about the orthodoxy he usually chooses to parade, and declares himself a non-dualist of whom Śaṅkara himself might have been proud. *Ātman* is Brahman, and Brahman is *ātman*; the soul is God, and God is the soul. This is his 'secret doctrine' and his 'reality of realities'. Yet the *fanā* he speaks of is the first *fanā* of Junayd, the destruction of *bashariyya*, of all the human qualities

<sup>1</sup> *Faḍā'il al-Anām*, p. 24.

that bind the soul to a body, all its mental, emotional, and sensitive apparatus. The terms *ittiḥād* and *ḥulūl*, which imply an original duality, are thus seen as not being extreme enough, and the metaphors Ghazālī uses in the *Kimiyā* and elsewhere like the comparison of God and the soul to the sun and its rays,<sup>1</sup> are mere approximations to the full monistic truth.

In his treatment of *dhikr* Ghazālī puts forward the same interpretation of *fanā*: in the last stage of the meditation on the name of God all disappears except the object of meditation, God. In this passage, however, Ghazālī, very surprisingly, takes up not so much a Vedāntin approach as a Sāṃkhya-Yogin one. The person engaged in meditation 'forgets both himself and all that is, except God. He now enters on the beginning of the Ṣūfī path. It is a condition called "annihilation" or "not-being" by the Ṣūfīs. That is to say, all that is becomes non-existent as a result of his [one-pointed] meditation, and that too becomes non-existent because [the mystic] has forgotten himself as well. And just as God possesses universes of which we have no knowledge and which, as far as we are concerned, do not exist, so our existence is [simply] that of which we have consciousness and about which we have information. When someone forgets these worlds which constitute created being, they cease to exist, and when he forgets his own selfhood, he too ceases to exist so far as a self is concerned: and since he is left with nothing but God, his existence *is* God, neither more nor less (*va bas*). And just as you survey heaven and earth and all that in them is and only see part of-it, you will say that the universe extends just so far as this and that this is all. So too does this man (the mystic) also see nothing but God, and he says, "All is He and apart from him there is nothing at all".'<sup>2</sup>

Ghazālī here seems to be making a dangerous admission from the monist's point of view. You are, he says, what you are conscious of: therefore if, by one-pointed meditation on God, you put yourself into a trance and are aware of nothing but the object of your meditation, you *are* God. God, therefore, is simply a sub-

<sup>1</sup> KS., p. 964, etc.

<sup>2</sup> Ibid., p. 206.

jective state from which all external impressions conveyed by sense or imagination have been obliterated and in which space has been transcended.<sup>1</sup> He is, in fact, the soul *bī-chūn* and *bī-chigūna*—the One who is not affected by space, time, and causation. In this passage Ghazālī as good as says that God is what your meditation makes him. He, however goes further than this and says that, having discovered in yourself that nothing exists except God, you then discover that God is also all things, by which he presumably means that you see all things *sub specie aeternitatis* because you are no longer in space and time.

And this brings us back again to the sixth chapter of the Bhagavad-Gītā. There the technique is identical with the *Ṣūfī dhikr* except that the object of meditation is there, most significantly, the self; all thought is made subject to the self so that a state of mindlessness ensues,<sup>2</sup> and then it is that one sees all things in the self and the self in all things.<sup>3</sup> Realizing an extra-spatial and extra-temporal existence in yourself you project it on to the objective world. Ghazālī thus identifies a subjective condition of undifferentiable unity with God and then projects this condition on to all created things: so it is that he takes up the slogan of Abū Saʿīd, 'All is He'. Thus he succeeds in combining a rigid monism with a full-blooded pantheism. He gives the sanction of his authority not only to Abū Yazīd's 'Glory be to me', but also to Abū Saʿīd's 'All is He'.

Junayd, it will be recollected, had laid down that Abū Yazīd 'had spoken truly about the science of union except that his words were only beginnings of what might be expected from one who is of the elect'.<sup>4</sup> He had pointed out that beyond the first 'isolation in unity'—which is the unity and isolation of the soul only, not of God, there lay the path of suffering and love which was the only way out from the self-isolated soul to God. Ghazālī too says that beyond the realization that the soul is God (as he thinks) the soul can enter into yet higher states. After realizing himself as God what further experience, one wonders, can the mystic possibly enjoy?

<sup>1</sup> Ibid., p. 745. <sup>2</sup> BG., 6.25–27. <sup>3</sup> Ibid., 6.29. <sup>4</sup> See above, p. 121.

‘When he has reached this stage’, Ghazālī informs us, ‘the form of the spiritual world (*malakūt*) begins to reveal itself to him and the souls of angels and prophets begin to appear in comely forms, and that which is willed by his Divine Majesty begins to show forth. Grand mystic states appear which it is impossible to describe.’ This, surely, is downright frivolous. Here is a man with a world-wide reputation as a philosopher, who after making the tremendous claim that he has, in some sense, *been* God, is conscious of no bathos in referring to visions of lesser beings as if this were a yet deeper plunge into the unseen. In the Indian tradition which can claim rather more expertise in these matters than can Ghazālī visions of this kind are regarded as being premonitory signs that *precede* the vision of the ‘self’ or the achievement of isolation: they are ancillary to the achievement of oneness and of no value in themselves.<sup>1</sup> St. Teresa too was not so naïve as to attach undue importance to her visionary experiences, but it is precisely these experiences of hers and of St. Catherine of Genoa that enabled Leuba to build up his thesis that mysticism can be explained in terms of pure psychology without any reference to God as a reality distinct from the soul. Had he been an Orientalist Ghazālī would have furnished him with additional ammunition.

In another extraordinary passage Ghazālī says that ‘man is of the substance of the angels and one of the works of Divinity, as it is said, “Say: The soul is of the command of my Lord”’.<sup>2</sup> So because of the exceedingly intimate relationship that exists between the soul and [God’s] Dominion (*rubūbiyyat*), to seek dominion is man’s very nature, and everyone has a secret desire to say with Pharaoh, “I am your Lord, the Most High”. So everyone naturally loves dominion, and the meaning of dominion is this that all is He and nothing else whatever exists beside him’.<sup>3</sup>

Junayd had issued a solemn warning against all those who thought themselves ‘endowed with the attributes of Lordship’ or

<sup>1</sup> See *ŚvetUp.*, 2.11–15; *Yogasūtras*, 3.32 where ‘vision of those who have reached the goal’ ranks as one of the supernatural powers that precede the achievement of isolation.

<sup>2</sup> See above, p. 155.      <sup>3</sup> *KS.*, p. 660.

divinity. Ghazālī, on the other hand, sees in this 'claim to Lordship' man's *natural* expression of his divinity. He thereby puts the seal of his approval on yet another of the Ṣūfīs' aberrations that had come into existence since the time of Junayd, the fatuous claims of self-deified Ṣūfīs to display not only the essence of God but both his beautiful and his majestic attributes. Shaykh Murshid Abū'l-Ishāq al-Kāzarūnī, for example, had been particularly fond of displaying the divine jealousy because 'all the movements, repose, and dealings of the particular favourites and elect of God are the movements, repose, and dealings of God', as this man's biographer solemnly assures us.<sup>1</sup> His kingdom on earth was some four hundred square parasangs around Kāzarūn, and in this territory he would not tolerate the presence of rival Shaykhs. One Shaykh who presumed to defy this ban was summarily told that if he wished to call the people to God, he should call them to minister to Shaykh Murshid,<sup>2</sup> since for four hundred square parasangs around Kāzarūn he *was* God. Such claims as these Ghazālī would, no doubt, have had no difficulty in accepting.

Another proof that, in his later life, Ghazālī had become a convinced monist is his attitude to personal relationships. 'If you ever see anyone at peace (*rāḥat*)', he says, 'you will understand [him] only when all of you passes away in him, and all becomes his glory, so that duality ceases and unity appears. He remains, and you do not; or he passes away in you, and you remain and he does not. Or else both of you pass away in God and pay no attention to yourselves, and that is perfection. From this oneness there is perfect repose. In short, so long as duality persists, no repose is possible for repose is [only] in unity and oneness.'<sup>3</sup> The doctrine when applied to personal relationships seems even more preposterous than when it is applied to God. We have again reached the position originally formulated in the *Kauṣītakī* Upaniṣad: 'Thou art the soul of every single being. What thou art, this am I. . . . Thou art this All.'<sup>4</sup>

Thus, in the *Kīmīyā-yi Sa'ādat* Ghazālī lends the immense

<sup>1</sup> Maḥmūd bin 'Uthmān, *Firdaus al-Murshidiyya fī Asrār al-Ṣamadiyya*, ed. I. Afshār, Tehran, 1333 A.H. (solar), p. 439.

<sup>2</sup> *Ibid.*, p. 444.

<sup>3</sup> *KS.*, p. 705.

<sup>4</sup> *Kauṣītakī Up.*, 1.6.

weight of his authority to two doctrines which fly in the face both of the letter and of the spirit of orthodox Islam, the doctrine that the soul and God are one thing, and the doctrine that God and the universe are co-terminous. By ruling that the desire for Lordship, that is, the divine omnipotence, is inherent in man by nature because he is the image of God, Ghazālī smoothed the path for all the pathological excesses that were later to bring Ṣūfism into disrepute. And not only this, for it was he too who first introduced Neo-Platonic ideas into Ṣūfism, so that after him the Universal Intelligence and the Universal Soul became *de rigueur* for any Ṣūfī writer in poetry or prose. The reason why Ghazālī should have done this at the end of his life would appear to be that once he had adopted a fully monist position, he felt the need to justify it philosophically, and the current Neo-Platonism deriving from the so-called *Theology of Aristotle* lay ready to hand. 'God', for him, now meant timeless being experienced in ecstasy, and such unqualifiable being, he felt, could have no part in becoming, let alone originate it. Hence his adoption of Neo-Platonic ideas in the *Risālat al-Ladunniyya* and his introduction of the mysterious figure of the *Muṭā'*, the 'Obeyed One', into the *Mishkāt*.

The *Mishkāt* ends with a description of those 'who are veiled by the lights' themselves: these form the highest grade of the mystical hierarchy, and are divided into three sub-categories. The first of these recognizes God as 'transcending the ideas of his attributes'<sup>1</sup> and as Mover of the heavenly spheres. The second, dissatisfied with the plurality inherent in the spheres, realizes that there is a single sphere beyond these, and it is this sphere only which the Lord moves; thus he is removed from all contact with multiplicity. For the third category even this will not do, and they therefore assert that the Prime Mover's communication of movement to the supreme sphere is an act of worship rendered by an angel to the *Muṭā'*, the 'Obeyed One', who himself imparts motion not by direct effort but by his simple command. The 'command' is of course the Qur'ānic *amr* (identified in the *Risālat al-Ladunniyya*)<sup>2</sup>

<sup>1</sup> *Mishkāt*, p. 145 (text): p. 170 (translation).

<sup>2</sup> p. 25 (in *Al-Jawāhir al-Ghawālī*, ed. Ṣabri).

with the Neo-Platonic Universal Intelligence), God's creative Word;<sup>1</sup> and the *Muṭā'* is therefore the Allah of the Qur'ān. The fourth and highest category, however, realizes that this *Muṭā'*, because he is still endowed with attributes, cannot be Absolute Being (*wujūd al-ḥaqq*) itself; his relationship to Absolute Being is rather that of the sun to pure light in the abstract (*al-nūr al-maḥḍ*). Thus they rise beyond the Mover and the spheres and the Obeyed One who orders their movement to a Being utterly devoid of any kind of attribute or quality whatever. This Being is seen by the mystic for whom all else is utterly annihilated although he himself as the contemplating agent remains; but beyond these are the *khawāṣṣ al-khawāṣṣ*, the cream of the mystical aristocracy, who are 'blotted out and *disintegrated* (*talāshū*) in their essence',<sup>2</sup> so that the One alone remains.

Ghazālī's terminology is interesting; for this final state is represented as a *disintegration* of personality into the One, not a controlled process of integration as in the Yoga of the Bhagavad-Gītā. In the terminology of Jungian psychology this is the overwhelming of the thinking ego by the collective unconscious which is by definition without form, and Ghazālī is doing what Gauḍapāda and Śaṅkara had done before him in India—he is constructing a metaphysical system out of a psychological experience: because he has experienced undifferentiable oneness, therefore nothing exists except the One. If this is so, then absolute Being must be denied to the Allah of the Qur'ān, but even Ghazālī shrinks from going quite so far as this. So he introduces the mysterious 'Obeyed One' as a substitute for Allah.

This idea he derives from the *Ṭāsin al-Sirāj* of Ḥallāj<sup>3</sup> where 'the lamp that proceeds from the light of the unseen' is the pre-existent and eternal Light or Reality of Muhammad. This Light is 'more eternal than eternity itself' (*aqdam min al-qidam*), it pre-exists the Pen, is the 'Lord of creation', is superlatively unique and

<sup>1</sup> 'There are mysteries in the doctrine of this *amr* and what it actually is, which are beyond the range of most minds,' adds Ghazālī, *Mishkāt*, *ibid.*

<sup>2</sup> *Mishkāt*, p. 146 (text).

<sup>3</sup> L. Massignon, *Kitāb al Ṭawāsin*, Paris, 1913, pp. 9–15.



superlatively existent.<sup>1</sup> Before time was heard of, he was *madhkūr*, a clear reference to Qur'ān 76.1<sup>2</sup> which we have already come across in our discussion of Junayd. Thus Ḥallāj transfers the Logos theory as applied to Christ lock, stock, and barrel to the person of Muhammad. The Light of Muhammad is the divine Word, God's *dhikr* of himself, his meditation or thinking of himself, which becomes hypostatized as a being separate from him though one with him in substance. This idea Ghazālī adopts, but for Ḥallāj's Muhammad he substitutes the less personal Obeyed One. There can, however, be no doubt that the Obeyed One of the *Mishkāt* is either Muhammad or Gabriel, for obviously Ghazālī does not pick the word at random, but is referring to some passage in the Qur'ān. Luckily the word *muṭā'* occurs only once in that book, in Sūra 81.21:

It is verily the speech of a noble messenger,  
Powerful, beside Him of the Throne established,  
Obeyed (*muṭā'*) there and trustworthy.

According to the commentators this 'noble messenger' is Gabriel, but in this passage it must surely refer to Muhammad<sup>3</sup> as 'transcendent prophetic spirit'. He thereby becomes identified with the 'Lord' who is 'the mover of the universe by way of command',<sup>4</sup> that is, by the creative word *Kun*, 'Be'. Muhammad, then, is God, the active Creator, the Allah of the Qur'ān, while Allah as highest principle becomes the undifferentiable One that the mystic

<sup>1</sup> Ibid., p. 11.

<sup>2</sup> 'Has there come upon man a period of time when he was not a thing mentioned.'

<sup>3</sup> Elsewhere (*Fayṣal bayn al-Islām wa'l-Zandaqa* in *Al-Jawāhir al-Ghawālī*, p. 85) Ghazālī himself identifies the *Muṭā'* with Gabriel. Perhaps, then, in this passage too the *Muṭā'* as divine mediator should be understood as Gabriel. Against this must be set the fact that in the *Mishkāt* (p. 110) Muhammad is explicitly called 'the light of lights' and is identified with the 'transcendent prophetic spirit' as source of light (p. 119). The *Muṭā' qua* 'transcendent prophetic spirit' is equally applicable to Muhammad and Gabriel, but since Gabriel plays no part in the *Mishkāt* it would seem reasonable to conclude that in *that* work it refers to Muhammad *qua* 'transcendent prophetic spirit' unless, as Dr. W. Montgomery Watt suggests (JRAS, 1949, pp. 5-22) the third chapter of the *Mishkat* is spurious, which seems unlikely.

<sup>4</sup> *Mishkāt*, p. 145 (text): p. 171 (translation).

claims to experience in trance. Muhammad, then, is exalted *above* the Logos and assumes the functions of the God the Father of Christianity—and in this respect Ghazālī goes further than Ḥallāj. Muhammad is the Light of lights<sup>1</sup> who illumines both himself and the darkness of not-being which is outside himself.<sup>2</sup> He is in the spiritual world what the sun is in the material world, and he derives his light from the ‘absolutely pure light’<sup>3</sup> which is the One. He is, then, God as he manifests himself in his creation—and this is the third major heresy that Ghazālī sanctioned in Islam: the Prophet is exalted to the rank of the creator God.

In the *Mishkāt al-Anwār* Ghazālī’s novel notion of Muhammad as the Obeyed One is not integrated into the Neo-Platonic scheme of the *Risālat al-Ladunniyya*, nor can it very well be, for the Obeyed One is set above the Neo-Platonic Universal Intelligence which is the Qur’ānic *amr* and is therefore yet another intermediary set between the many and the One. Ghazālī must be more monist than Plotinus himself, for the One may not even utter the creative word ‘Be’ lest its absolute oneness be impaired. Taking the *Mishkāt* and the *Risālat al-Ladunniyya* together it can be said that the hierarchy of being envisaged by Ghazālī in his last period was (i) the One, (ii) the eternal pre-existent Muhammad (the Obeyed One), (iii) the *amr* as Universal Intelligence, and (iv) the *rūḥ* as Universal Soul. The one *is*, the Obeyed One *commands* with the Word ‘Be’, and the Word once spoken becomes the Universal Intelligence, and this, in turn, emanates the Universal Soul which is the female creative principle whose relationship to the Universal Intelligence is that of Eve to Adam.<sup>4</sup>

The introduction of Neo-Platonic ideas into Ṣūfism from philosophy was, of course, made much of by Ibn al-‘Arabī who systematized them into something very like Śaṅkara’s version of the Vedānta. Most of the Persian mystical poets after Ghazālī pay lip-service to them, but in so far as they are still interested in mystical experience rather than this new theosophy, they appear to resent

<sup>1</sup> Ibid., p. 110 (text); p. 75 (translation).

<sup>2</sup> Ibid., p. 119 (text); p. 97 (translation).

<sup>3</sup> Ibid., p. 145 (text); p. 172 (translation).

<sup>4</sup> *Risālat al-Ladunniyya*, p. 35.

the intrusion of the Universal Intelligence and the Universal Soul into their scheme of things. Ḥallāj's deification of Muhammad, however, was readily accepted. Sanā'ī, for example, represents the Universal Intelligence and Universal Soul as being helpless before the Qur'ān,<sup>1</sup> while the former bows down in worship before the pre-existent Muhammad<sup>2</sup> and is devoid of honour until it confesses itself his servant.<sup>3</sup> Nevertheless Ghazālī's late and tentative flirtation with Neo-Platonism did much to change the nature of the Šūfī movement. Formerly it had concerned itself almost entirely with the practice of mysticism, it had concerned itself little with theory; and when it did so, it was interested in the theory as it affected the practice itself, not with the metaphysical constructions which were supposed to tally with mystical experience. Muḥāsibī had laid the foundations of Šūfī ethics and Ghazālī follows him closely in those sections of the *Kīmīyā* (which are little more than abbreviated versions of his earlier and much more voluminous *Iḥyā*) in which he deals with ethics. Junayd had analysed mystical experience itself with extraordinary subtlety, but his thought was not fully understood. Only Qushayrī among his disciples seems to have grasped the import of his teaching, and to this we owe his earnest warning against the dangers that can follow on an expansion of the personality<sup>4</sup> which will lead the mystic to believe that he is God or the All. Ghazālī was hardly of the spiritual calibre to understand Junayd's teaching: yet he can scarcely be blamed for that, for not only was Junayd's writing purposely obscure, his doctrine was also one that mystics who have only had an experience of undifferentiated oneness find it almost impossible to accept. As Buber has pointed out, it is fatally easy, indeed almost inevitable, to mistake the 'pre-biographical unity' of one's own soul for Being itself. The mistake is, however, only made by the mystics who are—as they are bound to be—conditioned by their own religious beliefs. The Upaniṣads taught that the human soul is eternal, infinite, Brahman, the One, and the All,

<sup>1</sup> Sanā'ī, *Ḥadīqat al-Ḥaqīqa*, Tehran, 1329 A.H. (solar), p. 172, 1.6, reading 'ājiz.

<sup>2</sup> Ibid., p. 202.

<sup>3</sup> Ibid., p. 207.

<sup>4</sup> See above, pp. 118–9.

and mystical experience was accordingly interpreted on those lines. The Bhagavad-Gītā did something to redress the balance, but it needed the religious genius of a Rāmānuja to distinguish between 'the category of Brahman' and the God who stands beyond Brahman and who cannot be approached except with the deepest humility and with a passionate love.

In Islam mysticism developed along lines closely comparable to the early experience of Christianity. God could and should be approached with reverence and love; he was always the 'other' and his relationship with the soul was a two-way affair in which the initiative necessarily lay with God. This attitude of humbly waiting upon God which is characteristic of the earliest Ṣūfīs, was very soon wrecked by the introduction of such artificial aids towards ecstasy as music, dancing, and, of all things, the contemplation of beautiful boys. Junayd had set his face against all these practices as had many other Ṣūfīs, but the tide could not be turned because experience taught that ecstasy in which a man could for a moment get outside not only himself but also time and space, could be obtained by the use of music and dance. Ṣūfism thus became much more interested in ecstasy as such than in finding the living God. Preoccupied with 'states' and 'stations' they began to lose sight of the goal, the personal God who kindles the human heart to a new life in himself.

It was Abū Yazīd who, as we have seen, introduced Vedāntin ideas into Ṣūfism and who, by identifying his own subjective state in ecstasy with the Muhammadan God, caused theological havoc in the Ṣūfī movement. It was left to Ghazālī to sketch out the broad outlines of the new theology of the One and the many, but the implications of permanent self-deification had already been illustrated in practice by his predecessor Abū Sa'īd ibn Abī'l-Khayr.

If we are to believe Abū Sa'īd's biographer, Ibn Munawwar, the former, after he had attained to what he thought was complete deification at the age of forty,<sup>1</sup> considered himself to have passed quite beyond the law. He ranked his own sayings at the same level

<sup>1</sup> Ibn Munawwar, *Asrār al-Tawḥīd*, p. 58.

as the Qur'ān, saying that what had been revealed to Muhammad was only part of the full revelation, whereas what God had revealed to his Ṣūfī servants was boundless and would never come to an end.<sup>1</sup> Similarly with Tradition: in the past transmitters of the Traditions of the Prophet had been careful to present a plausible pedigree (*isnād*) for any saying of the Prophet, true or spurious, which they wished to pass on to others. Abū Sa'īd, however, claimed that Tradition, like the allegedly unrevealed portion of the heavenly Qur'ān, could be directly transmitted to the Ṣūfī 'saint'.<sup>2</sup> The pilgrimage too was no longer an obligation to him, for he was early persuaded that he was too exalted to be taken to Mecca,<sup>3</sup> rather his own bodily frame, being the tabernacle in which the All-Highest resided, was the true Qibla, not the Ka'ba.<sup>4</sup>

Not only did he openly infringe the religious law, but he also completely set at naught the specifically Ṣūfī rules of conduct by which Ṣūfīs had hitherto lived. He lived on the alms of the faithful which he squandered in giving banquets in which no extravagance was spared. Holy poverty was not for him; he had got over all that in the forty years of his novitiate in which the excess of the tortures he inflicted on himself was only matched by the opposite excess of luxury in his later life. Nicholson has devoted many pages to the doings of this far from lovable eccentric in his *Studies in Islamic Mysticism*, and we will not attempt to cover again ground so little worth covering. One example must suffice. The Shaykh had received a gift of a thousand dīnārs and, as was his custom, resolved to spend the lot on one gigantic party at which candles were to be lighted at midday. This extravagance met with the disapproval of the local superintendent of police who remonstrated with the Shaykh. The Shaykh, however, retaliated by miraculously causing the candles to burn off his moustache as he tried to blow them out: 'Every candle that God lights', the Shaykh intoned, 'will burn the moustache of anyone who [dares to] blow upon it'; for Abū Sa'īd was, of course, merely the physical frame through which God manifested his power.<sup>5</sup>

<sup>1</sup> Ibid., p. 110. <sup>2</sup> Ibid., p. 263. <sup>3</sup> Ibid., p. 149. <sup>4</sup> Ibid., p. 247. <sup>5</sup> Ibid., p. 112.

The technical term for this kind of behaviour is *ibāḥat*, the 'holding permissible' of what is forbidden by the religious law. Ghazālī time and again attacks these latitudinarians savagely. 'They claim', he says, 'that they have reached such a state of intimacy with God that they are absolved from the duty of prayer and that the drinking of wine, sins of disobedience, and the living off state property become lawful to them. There is no doubt that all such persons should be killed even though there may be a difference of opinion about their eternal punishment in hell. The killing of one such person is more meritorious than the slaughter of a hundred infidels, for the harm they cause in religion is greater; they open a door to licence which cannot be closed.'<sup>1</sup> Yet it is Ghazālī himself who quotes Abū Sa'īd along with Abū Yazīd and Ḥallāj as having uttered words that described the ultimate truth.<sup>2</sup> Assuming that Abū Sa'īd's biography is not a tissue of lies from beginning to end, he must have made himself notorious as one who defied the common law of Islam. For Ghazālī, however, who quotes him with approval, he cannot have been an *ibāḥatī*; and it seems that he would only consider those persons to belong to that category who were rejected by the Ṣūfīs themselves. In Ghazālī's day Abū Sa'īd's reputation as a saint was already established; and his excesses were therefore not to be questioned.

On the legitimacy of the use of song and dance as an aid to ecstasy the opinions of the earlier Ṣūfīs had been sharply divided, and one of Qushayrī's principal criticisms of Abū Sa'īd had been for his open encouragement of this practice.<sup>3</sup> Abū Sa'īd's party, however, triumphed, and after him the ritual song and dance took on an ever-increasing importance, and by Ghazālī's time was firmly established. Another practice had, however, crept into Ṣūfism which was far more questionable, and that was the contemplation of, and Platonic friendship with, beautiful young men. Already in the third century of the Hijra the practice was widespread, and 'few were the Ṣūfīs who were free from it'.<sup>4</sup> This the

<sup>1</sup> *Fayṣal al-Tafriqa bayn al-Islām wa'l-Zandaqa*, in Ṣabrī, p. 94: cf. KS., pp. 30-31.

<sup>2</sup> See above, p. 165.

<sup>3</sup> Ibn Munawwar, *Asrār al-Tawḥīd*, p. 85.

<sup>4</sup> Sulamī, *Ṭabaqāt al-Ṣūfiyya*, p. 232.

more sober-minded regarded as the 'plague of the Ṣūfīs',<sup>1</sup> pleasing to Satan, and a sure road to disaster.<sup>2</sup> Abū Sa'īd, however, encouraged the practice, claiming that Platonic love was totally incompatible with lust, and, to prove his point, he arranged a practical demonstration for Qushayrī's benefit in which his son was sent to test the chastity of a dervish who entertained for him a profound Platonic attachment.<sup>3</sup> By Sanā'ī's time the practice of the Platonic contemplation of physical beauty had led to the abuses that any sane person could have foreseen, and the poet has some biting things to say about it and some very nasty anecdotes to tell.<sup>4</sup> Ghazālī, ready as ever to excuse and defend the practices of 'accredited' Ṣūfīs while condemning the excesses committed in their name, says that to contemplate the beauty of a boy is like 'gazing at a ruddy apple or blossom'.<sup>5</sup> 'The consolation [one derives from the chaste contemplation of beardless youths] may be of the same kind as one gets from [looking at] water, or green pastures, or blossom, or a beautiful picture, and there can be no harm in this.'<sup>6</sup> If it goes beyond this, it is to be condemned.

From the point of view of the kind of Ṣūfism that Junayd and Qushayrī represented Ghazālī himself would have been regarded as an *ibāḥatī* of a very dangerous kind: and it is a matter of regret for those who, like Junayd, thought it unlikely that man can ever or under any circumstances realize himself as God and who prefer not to confuse ecstasy as such with the loving dialogue between God and the soul which the earlier Ṣūfīs claimed to have experienced—it is a matter of regret that Ghazālī should have put the whole weight of his authority in the scale of the monistic brand of Ṣūfism that had invaded the movement in the person of Abū Yazīd; and it is a matter of surprise that a man who, when all is said and done, boasted of an intelligence well above the ordinary, should have shown himself so credulously naive in his approach to the very questionable practices of the accredited Ṣūfīs. After Ghazālī, with but few exceptions, the mystical stream—in Persia at least where little effort was made at systematization—got lost in

<sup>1</sup> Ibid., p. 190. <sup>2</sup> Ibid., p. 396. <sup>3</sup> Ibn Munawwar, *Asrār al-Tawḥīd*, pp. 90–91.

<sup>4</sup> Sanā'ī, *Ḥadiqat al-Ḥaqīqa*, pp. 662, 668. <sup>5</sup> KS., p. 380. <sup>6</sup> Ibid., p. 554.

the sands of religious syncretism in which monism, pantheism, and theism were inextricably mingled; yet this doctrinal confusion, so maddening to the intellect, produced a poetic flowering that has seldom been equalled.

Yet voices of protest were not confined to the rigidly orthodox who had detested the movement from the beginning. The older type of mysticism, based on the love of God, continued to flourish in the Suhrawardī order of dervishes alongside the theoretical monism of Ibn al-‘Arabī and the theologically indifferentist Maulavī dervishes of Jalāl al-Dīn Rūmī. Suhrawardī of Aleppo too, though put to death for heresy, had categorically denied the possibility of the soul ever becoming God.<sup>1</sup> Najm al-Dīn Rāzī, writing in the thirteenth century, was sufficiently well acquainted with other religions to know that mystical experience was by no means confined to Islam, and since he believed Islam to be the true religion, he was faced with the problem of distinguishing between types of mysticism. If Islam alone is the true religion, he thought, there must be something distinctive in Islamic mysticism which raises it above the experiences of mystics of other religions. He admits that Christian monks, philosophers, Brahmans, and Hindus in general can attain to some knowledge of the unseen by bodily *askesis* and ‘purification of the heart’—this either when fully awake or in a trance state between sleep and waking. In this state they pass beyond their animal nature and are delivered from the imagination, and the soul stands self-revealed; ‘lights, revelations, and visions of the unseen appear, but in many cases there is no [sense of] drawing near to God or of finding favour with him. On the contrary [these experiences] make them fall into all kinds of excesses and separate them from God (*hijāb-i īshān gardad*), so that they fall into unbelief and error and experience what the Qur’ān calls *instindrāj* in the verse, “We shall come stealthily upon them from whence they do not know”<sup>2</sup>.<sup>3</sup> The experience which is typical of Islam, however,

<sup>1</sup> See Henry Corbin, *Oeuvres philosophiques et mystiques de Shihabaddin Yahya Sohrawardi*, 1, Tehran/Paris, 1952, p. 228.

<sup>2</sup> Qur’ān, 7.181: 68.44.

<sup>3</sup> Najm al-Dīn Rāzī, *Mirṣād al-‘Ibād min al-Mabda’ ilā’l-Ma’ād*, ed. H. H. Ni’matallāhī, Tehran, 1312 A.H. (solar), p. 162.



is primarily moral, for it reveals the distinction between virtue and vice, the imagination is not overcome, and the mystic sees the condition of his own soul with its advances and backslidings, its soundness and rottenness: it brings him near to God and increases his faith. The essential difference between the two types of experience, Najm al-Dīn says, is that Brahmins, ascetics, Hindus, and philosophers always retain a sense of duality and 'never experience visions of the lights of the attributes of oneness'. Here, unfortunately, Najm al-Dīn is quite wrong, for whatever criticism one may make of Brahmanical mysticism, it is certainly not that it pays insufficient attention to oneness, for even those sects which have a pluralistic philosophy conceive of liberation as the attainment of *an* indifferentiable unity, even though that may not be absolute metaphysical unity. He is, however, nearer the mark when he says that they 'do not come forth from their own being'; but can one be certain that the same is not true of his superior Muslim mystic whose 'humanity is destroyed in the revelation of the light of the attributes of oneness and who enjoys the manifestation of the world of lordship' where 'the tongue which speaks the absolute mystery, does right to say, "I am the Truth".'

Yet Najm al-Dīn Rāzī does see, though not with the authoritative clarity of Junayd, that there is one mysticism of the soul and another of God. It is fatally easy to confuse the two because, after all, man is made in the image of God and is his viceroy on earth,<sup>1</sup> and this, he explains a few pages later, accounts for such sayings as Ḥallāj's 'I am the Truth'; man is the 'Truth' as God's representative, but not as God himself. 'It sometimes happens', he says, 'that the essence of the soul which is God's viceroy is revealed [to itself] and, since it is God's viceroy, proceeds to make the claim, "I am the Truth"; and it sometimes happens that all creatures fall down in obeisance before the viceregal throne of the soul, and it makes the mistake of thinking that perhaps it is God. . . . The lower soul (*nafs*), to satisfy its thirst, drinks in this delusion, for it is not every traveller [on the Ṣūfī path] who can distinguish between truth and error, but only those on whom God looks with favour and who

<sup>1</sup> Qur'ān, 2.28.

are preserved from the bondage of the lower soul and the guile of God.<sup>1</sup>

The *makr* or 'guile' of God we have met with before both in Junayd<sup>2</sup> and Qushayrī;<sup>3</sup> it is the snare precisely that God sets for the spiritual pride of the monist who thinks that because his soul, at its deepest level, is *an* undifferentiated monad, it must therefore be identical with Allah who is *the* absolutely One. This mistake is all the more easily made in that the soul does have a glory of its own which quite transcends all everyday experience. 'The soul too,' writes Najm al-Dīn, 'has a glory of its own, and in this matter travellers [on the path] fall into many an error. Sometimes when the soul reveals itself, the traveller takes it for an experience (*ẓawq*) of the revealed glory of God. Many a traveller has been deceived at this stage and thought that he has attained to the revealed glory of God.'<sup>4</sup> This is one of the reasons, Najm al-Dīn says, why it is essential to have a qualified spiritual director.

Najm al-Dīn then proceeds to write pages on the varieties of mystical experience and he is, quite naturally, not always consistent. The similes he employs, however, are worth considering. He likens a man to a tree and the love of God to fire, and 'so long as a branch of the tree that is man obtrudes its human qualities, the sincere lover will grasp the axe of "There is no god" with the hand of sincerity and strike at the base of the branch and cast it on to the fire of "but God". Then does the fire . . . seize upon it, and in the proportion that it robs it of its being as wood, it transforms it into the being of fire, so that the whole tree which is man and all the branches of his humanity are delivered over to be devoured by that fire, and the fire blazes in every part of the being of the tree until the being of the whole tree becomes fire. Hitherto it had been a tree, but now it becomes nothing but pure fire.'<sup>5</sup> The soul, then, when seized upon by the divine fire, is slowly transmuted into the fire itself, but although Najm al-Dīn then quotes a verse the purport of which is that God bids the soul leave nothing of itself in existence, it may be inferred that the simile intends to con-

<sup>1</sup> Rāzī, *Mirṣād al-'Ibād*, p. 177.

<sup>2</sup> See above, p. 150.

<sup>3</sup> See above, p. 119.

<sup>4</sup> *Mirṣād al-'Ibād*, p. 175.

<sup>5</sup> *Ibid.*, p. 188.

vey that the divine fire which devours the tree is itself peculiar to that particular tree. In fact we read a little further on that 'fire is a blessing to the wood since it brings out its hidden scent', and the scent of each kind of wood differs as the personalities of different men differ:

When both [kinds of wood] are cast upon the fire together,  
The case of the willow is not the same as that of sandalwood.

For it is only the fire that brings out the fragrance of sandalwood above all other timbers.<sup>1</sup> The 'Living flame of love', then, not only transforms the soul into itself, it also brings out the essence of the soul from its material hulk: the fire is of God, but the fragrance of the soul. The soul is deified, it is true, but its own deification is like the deification of no other created thing; and there is as rich a variety in the divine eternal life as there is in this world: and God, though in a sense he is 'all in all', is also 'all things to all men'.

Love came and entered my veins and skin like my very blood  
Till it emptied me [of self] and filled me with the Beloved.  
The Beloved seized upon all the parts of my being:  
For me a name of me remains, all the rest is he.<sup>2</sup>

Thus, four centuries after Junayd, Najm al-Dīn Rāzī once again exposes what he considers to be the error of the monists, and he did this in defence of orthodoxy.

Quite different is the case of Ibn Ṭufayl. A native of Andalusia who flourished in the twelfth century, Ibn Ṭufayl was in religion a sceptic, and the concluding portion of his sole surviving work, a mystical allegory called *Ḥayy ibn Yaqẓān*, 'Living son of Wakeful', is a mild but sympathetic critique of the main beliefs of Islam and, indeed, of all institutional religion based on revelation. The dogmas of Islam, he maintains, are merely symbols of the truth that Ḥayy ibn Yaqẓān, who represents himself, had experienced,<sup>3</sup>

<sup>1</sup> Ibid., p. 189.

<sup>2</sup> Ibid., p. 190.

<sup>3</sup> Ibn Ṭufayl, *Ḥayy ibn Yaqẓān*, ed. A. Ḥakīm, Beyrouth, 1954, p. 75: for translation see Simon Ockley (revised by A. S. Fulton), *The History of Ḥayy ibn Yaqẓān*, London, 1929, p. 166. I reproduce Ockley's translation wherever possible.

for Ibn Ṭufayl claims to be writing 'from some little experience' (*al-dhawq al-yaṣīr*) of contemplation.<sup>1</sup>

In the *Ḥayy ibn Yaqẓān* his thesis is that man can attain to the vision of God entirely on his own and without the aid of any specifically religious framework. The hero Ḥayy grows up on an island of which he is the sole human inhabitant. He is found by a gazelle who suckles him and whom he comes to regard as his mother. Ḥayy, then, in the course of one short life, lives through the whole history of human civilization: he has to learn how to clothe himself and how to defend himself against animals stronger than himself. At the age of seven his foster-mother, the gazelle, dies, and Ḥayy is faced with the mystery of life and death, for he sees all too clearly that the dead carcase bears no relation to the creature who had suckled him and whom he had grown to love. Therefore, he concluded, the essence of his foster-mother, the gazelle, cannot reside in matter. Ḥayy thus finds himself in the position of the writers of the early Upaniṣads: he asks himself, 'What is the *ātman*? What is it that constitutes the self of a given being?' And his reasoning is not unlike theirs. He observes fire and how it changes everything into itself, and, on dissecting the body of the gazelle, he discovers that in the animal's heart too there remains a hot vapour. This, he concludes, is what must keep it alive. Brahman, then, is breath, for it is this hot vapour that preserves the life of the multiple organism that is the body and without which alone it cannot live. This, in turn, brings him up against the problem of unity and multiplicity, the many and the One. He saw that an animal body was infinitely manifold in structure, but was nevertheless a single whole animated by one spirit. So too he came to regard the unity in diversity which constituted species: horses, he saw, were all one in their 'horseness', though individually distinct. Or, in truly Hindu fashion, he would consider the unity of substance in water, which is essentially the same though it may be contained in a variety of vessels. Further observation led him to conclude that it was form in the Aristotelian sense, not matter, that made things what they are; but this form was forever

<sup>1</sup> *Ibid.*, p. 10 (text). Not in the translation.

changing, and since inert matter could not be the cause of change, what then could it be?

Despairing of finding the answer on earth he turned his attention to the heavens, and seeing that there all was regular circular motion, he concluded, rather illogically, that the whole universe was one vast living organism which must be impelled by a First Mover. Further consideration leads him to the conclusion that this Being who is First Cause, Prime Mover, and Master Craftsman, is also Pure Existence, giving existence to everything that exists: it is Being, Perfection, Plenitude, Beauty, Glory, Power, and Knowledge.<sup>1</sup> Having convinced himself by reason that such a being existed, Ḥayy longed to know him by experience; and this longing he could only interpret as a longing for something he had already seen but had subsequently forgotten. But how was the experience to be gained?

The surest way that suggested itself to him was the study of his own immaterial essence by which he had reached the certainty that there was an Absolute Being. The pursuit of this study led him by imperceptible stages to the empirical conclusion that his soul was 'not to be apprehended by any of the senses or by the imagination, nor to be known by the means of any other instrument but itself alone; it attained the knowledge of itself by itself, and was at once the knower, the act of knowing, and the thing known, the faculty and the object. Neither was there any difference between any of these, because diversity and separation are the properties and adjuncts of bodies; but body was no way concerned here.'<sup>2</sup> This ontological unity that he experienced in himself, he says, resembled the necessarily self-existent Being,<sup>3</sup> and just as, by intensive introspection, he had become a unified monad in which the distinction between subject and object was obliterated, so, he concluded, must the necessarily self-existent Being be devoid of all multiplicity in its essence. He therefore tried to transcend himself and to pass beyond all sensation of himself and all

<sup>1</sup> *Ḥayy ibn Yaqzān*, p. 48 (text): p. 108 (translation).

<sup>2</sup> *Ibid.*, p. 56 (text): pp. 124-5 (translation).

<sup>3</sup> *Ibid.*, p. 57 (text): p. 126 (translation).

things but God. Then 'all disappeared and vanished "like scattered dust"<sup>1</sup> and amongst them his own essence disappeared too, and there remained nothing but this One, true, perpetually self-existent Being'.<sup>2</sup>

On emerging from the ecstasy he reflected on the experience and 'began to think that his own essence did not at all differ from the essence of that true Being, but that they were both one and the same thing, and that the thing that he had taken before for his own essence, distinct from the essence of the true One, was in reality nothing at all, and that nothing existed but the essence of this true One'.<sup>3</sup> He had reached this stage which, for Ghazālī, was the 'reality of realities', beyond which, he thought, it was impossible to go: he had realized himself as the necessarily self-existent Being. This, however, Ibn Ṭufayl dismisses as a 'misgrounded conceit' (*shubha*) and he too, like Najm al-Dīn Rāzī and Martin Buber, stresses that this delusion can scarcely fail to arise, so overwhelming does its authority appear to be. 'This misgrounded conceit of his', he says, 'had like to have firmly rooted itself in his mind unless God had pursued him with his mercy and directed him by his gracious guidance; and then he perceived that it arose from the relics of that obscurity which is natural to body and the dregs of sensible objects. Because that much and little, unity and multiplicity, concentration and diffusion are all of them attributes of body. But we cannot say of these separate essences which know the essence of this true One, that they are many or one, because they are immaterial. . . . But the explication of things in this place is very strait and difficult; because if you go about to express what belongs to these separate essences, by way of multitude, or in the plural, according to our present way of speaking, this insinuates a notion of multiplicity, whereas they are far from being many; and if you speak of them by way of separation, or in the singular, this insinuates a notion of identity, and this is impossible.'<sup>4</sup>

<sup>1</sup> Qur'ān, 56.6.

<sup>2</sup> *Ḥayy ibn Yaqẓān*, p. 64 (text): pp. 139-40 (translation).

<sup>3</sup> *Ibid.*, p. 65 (text); p. 142 (translation).

<sup>4</sup> *Ibid.*, p. 66 (text): pp. 143-4 (translation).

Ibn Ṭufayl's point is that when you are discussing mystical experience, you must use terminology which applies primarily to material things, and this distorts the experience. What, however, primarily interests us here is that Ibn Ṭufayl, like Najm al-Dīn Rāzī and Rāmānuja, distinguishes clearly between the mystical experience of the self in which the soul experiences its own extra-temporal ground, and an experience of God as Absolute Being which the soul readily imagines is an identity of essence. These he regards as being quite distinct. In the experience of the self there can be no preliminary stage of passionate longing because you are only looking for your self, but what he describes as the experience of Absolute Being is only possible once a longing for God has been roused. Najm al-Dīn Rāzī had seen that it is fatally easy to mistake the self-revelation of the self or soul for the self-revelation of God. Ibn Ṭufayl is less easily deceived, for he only sees the danger of a 'misgrounded conceit' when full contact has actually been made with God: *then* the mystic can hardly help thinking he *is* God in identity. This 'misgrounded conceit', which Ghazālī conceived to be the 'reality of realities', he can only dismiss when God 'directs him by his gracious guidance'. True, 'the explication of [these] things is strait and difficult', and Ibn Ṭufayl can only say that the true *unio mystica* cannot be correctly described in terms either of unity or of multiplicity. Perhaps he is trying to express what Junayd expressed so much better, that in the mystical union the soul is annihilated as to its purely human qualities and recreated into God's life in a way that is uniquely appropriate to it.

Let us now recapitulate very briefly the substance of these lectures. Hindu mysticism originates in the Upaniṣads where no clear distinction is drawn between the human soul which is regarded as being eternal by nature, and God: hence in the Vedānta self-realization is necessarily interpreted as meaning that the soul realizes itself as the Absolute. The same experience is interpreted by the Sāṅkhya-Yoga as meaning no more than that the soul enjoys its own individual eternity. The *Śvetāśvatara* Upaniṣad and the Gītā then assert that there is a personal God who is higher than the impersonal Absolute, and the Gītā adds that this God is a God

of love who is most easily approached in humble devotion. Rāmānuja then draws a quite clear distinction between God and Brahman or the Absolute which he defines simply as the extra-temporal and extra-spatial mode of existence in which the soul has its being. This mode of existence it has in common with God: but to realize oneself as eternal is not to realize oneself as God, it is only the essential first stage in which the soul leaves behind all its links with matter and mind, and frees itself for its encounter with God. The phase of liberation can be achieved without any feeling of love; the second phase is wholly dependent on it. So far India.

Islam starts with a conception of God as wholly distinct from his creation; but Ṣūfism teaches that God can be experienced by those who wait upon his call with a loving heart, and this will lead to a union with him in which the soul still retains some trace of individuality. This, the mysticism of Muḥāsibī and Dhū'l-Nūn, receives a violent shock when Vedāntin ideas are introduced from India *via* Abū Yazīd of Bisṭām. Mistaking the mystical experience of the undifferentiable oneness of the self for identity with God, Abū Yazīd changes the whole course of Islamic mysticism. Junayd of Baghdad, however, takes up the challenge and shows that identification of the self with God is simply what he calls 'the first isolation' of the soul itself: it is a trap that God sets for the spiritually proud. The isolation is then shattered by God, if he will, and the soul then enters into an I/Thou relationship with its creator in which God overwhelms it and appropriates it to himself, and the soul lovingly submits, accepting all the suffering that this submission brings in its wake. The soul's union with God is a unique relationship of each individual soul with God in which suffering is turned to joy. Nor is the monist tide which Abū Yazīd had unleashed opposed by Junayd alone. Both Najm al-Dīn Rāzī, defending Islamic orthodoxy, and Ibn Ṭufayl, defending sanity, expose the monist's pretention to be God as the 'misgrounded conceit' it so manifestly is.



## APPENDIX A

### Some Crucial Passages from Rāmānuja's Commentary on the Bhagavad-Gītā

#### I

#### THE NATURE OF THE SOUL

##### (a) BG. 2.12

‘Never was there a time when I was not, nor thou, nor these lords of men; nor will there ever be a time hereafter when all of us will not be.’

*Commentary:* ‘Never was there a time when I’, the Lord of all, ‘was not’. This means that in time without beginning which precedes the present ‘never was I not’: that is, I [always] was. And thou too, never was there a time when thou wast not, nor yet [all] these souls (*kṣetrajñā-*) which are subject to my dominion: they [too always] were. ‘Nor will there ever be a time hereafter’, in the future, ‘when’ I and you, ‘all of us, will not be’; that is, we will [always] be. Just as there can be no doubt that I, the Lord of all and Highest Soul, am eternal, so too are you to understand that you, souls indwelling bodies, are also eternal. This passage proves that there is an essential difference between God, the Lord of all, on the one hand, and human souls on the other, and that the latter differ among themselves, for God himself says so. . . .

##### (b) BG. 2.17

‘Know that that [Brahman] by which the universe is pervaded is indestructible and that no one can bring about its destruction, for it is imperishable.’

*Commentary:* ‘Know that’ the category of the soul (*ātmatattva-*) ‘is indestructible’. The category of the soul is what is intelligent (*cetana-*), and ‘the universe’ [of matter] which falls under the category of what is non-intelligent and distinct from the category of the soul which is intelligent is ‘pervaded’ by it. Nothing that is distinct from the soul can cause its destruction since it, [what is distinct from the soul] is pervaded by it and is more gross than it, whereas the soul cannot be destroyed because it pervades [all else] and is infinitely subtle. . . .

## (c) BG. 2.18

‘These bodies which belong to a (or the) eternal embodied soul are declared to have an end, but the soul is indestructible and incommensurable.’

*Commentary:* ‘These bodies have an end,’ i.e. are by nature doomed to destruction. [Material objects] like an earthenware vessel whose nature is to increase [or diminish] demonstrably come to an end. . . . But ‘the soul is indestructible’ because it is incommensurable; for the soul cannot be grasped by measurement (or logical proof—*prameyatayā*) because itself is what measures [and proves]. . . . The soul cannot be understood as an aggregate of many [parts], for everywhere it is apprehended as being other than the body, being that which measures and of one form. It is that which says in the body, ‘I know this’. No different mode of existence is to be apprehended in the measuring [and knowing soul] corresponding to difference of place which distinguishes one body from another. Hence, since [all souls] are of the same form and, of their nature, neither increase [nor decrease], and since it is they who measure [or know] and pervade [all material things], they must be eternal. . . .

## (d) BG. 2.20

‘At no time is it born or dies; it has [never] come to be nor will it come to be hereafter. Unborn, abiding, eternal, ancient, it is not slain when the body is slain.’

*Commentary:* ‘It is not born nor does it die.’ The words are [significantly] in the present tense. Birth and death, the common experience of all who dwell in a body, can never touch the soul. ‘It has never come to be nor will it come to be hereafter.’ This does not mean that the soul did come to be at the beginning of a cosmic era and will not exist at the end of it. The birth at the beginning of a cosmic era attributed by Scripture to Prajāpati and all other [contingent beings] and their death at the end of it, refer to the body only and do not affect the soul. Hence the soul which informs all bodies is ‘unborn’, and therefore ‘abiding and eternal’. It is unaffected by the constant and mysterious transformations that characterize matter. Thus it is ‘ancient’, i.e. eternally pre-existent (*purātana-*), yet it is ever new, and to be perceived as something always uniquely fresh. So, ‘though the body is slain the soul is not slain’.

## (e) BG. 2.25

‘The soul is called unmanifest, unthinkable, changeless. Hence if thou knowest it, thou canst not grieve [at the destruction of the body].’

*Commentary:* ‘The soul is unmanifest’—is not made manifest [i.e. cannot be demonstrated] by the kind of proofs that are applicable to finite objects. It fol-

lows, then, that it is different in kind from all finite objects; and since this is so, it is 'unthinkable'—it cannot be thought of as being associated with this or that essence (*svabhāva*). Hence it must be 'changeless', i.e. incapable of modification.

(f) BG. 2.30

'For all eternity the soul embodied in the body of anyone at all is invulnerable: therefore thou shouldst not grieve for any contingent being.'

*Commentary:* Though 'the body' belonging to a god or any other contingent being be slain, 'the embodied soul' must be deemed 'invulnerable for all eternity'. Therefore contingent beings ranging from the gods to immovable objects, though [contingent in that they are] possessed of different forms, are alike (*samāna*-) and eternal *per se* (*svarūpataḥ*) and in their essence, as we have demonstrated above. Differentiation and transitoriness are attributes of the body; hence 'thou shouldst not grieve for any contingent being' whatever.

(g) BG. 12.3-4

'But those who reverence the imperishable, undefinable, unmanifest, omnipresent, unthinkable, perpetually unchangeable, unmoving, constant, who restrain the company of the senses, whose consciousness is always unruffled (*sama-buddhi*-), and who take pleasure in the well-being of all creatures, they too attain to me.'

*Commentary:* 'But those who reverence the imperishable', etc. The 'imperishable' is the essence (*svarūpa*-) of the individual soul. It is 'undefinable' because, being other than the body, it cannot be defined by such words as 'god', ['man'] etc.; hence it is not perceptible to the eye and other organs of sense. It is 'omnipresent and unthinkable' because, though present everywhere in the bodies of gods, [men] etc., it is different in kind from them and cannot be thought of in any specific form. Hence it is 'perpetually unchangeable' because common to all [ensouled creatures] but essentially unconnected with specific forms that distinguish one class of being from another or one individual from another. It is 'unmoving' because it is not subject to modification and does not depart or fall away from its own individual form. Hence it is 'constant', i.e. eternal.

'Who restrain the company of the senses', that is, who perfectly restrain the company of the senses consisting of seeing and so on from all their natural occupations.

'Whose consciousness is always unruffled' means that their consciousness of souls which everywhere indwell the bodies of gods and other contingent beings in all their different forms, remains the same in that they recognize that the soul has but one form—the form of [supra-sensuous] knowledge.

Hence 'they take pleasure in the well-being of all creatures', that is, they cease to take pleasure in the discomfiture of any creature. Such *Schadenefreude* is due to the soul's relating the differing forms of gods etc. [which other souls indwell] to its own ego.

[To sum up]: Even 'those who reverence the imperishable attain to me': this means, they come to possess [their own] soul which shares with me a common form as being unaffected by the vicissitudes of earthly life (*asamsārin-*). . . . The difference between the 'perpetually unchangeable' defined by the word 'imperishable' and the Highest Brahman [= God] will be discussed in 15.16 [where it is said]: 'There are these two persons in the world, the perishable and the imperishable. The perishable is all contingent beings, the perpetually unchangeable is the imperishable. The Highest Person is other [than these] and is also called the Highest Self.'

(h) BG. 13.16

'[Brahman] is undivided in contingent beings, but seems to be divided. It must be known as what sustains contingent beings.'

*Commentary:* Soul-stuff abides in all contingent beings from gods and men downwards and is 'undivided' in that souls have one form as being intelligent subjects. Ignorant people, judging by the different forms of gods [men], etc., think 'I am a god', 'I am a man'; so it seems to be divided. When we say 'I am a god' or 'I am a man', it is to be understood with reference to a species, but when we say 'He who *knows* this' (as we have remarked before), it is to be understood as referring to something of a different category from the body, because we are speaking of an intelligent subject. . . . What sustains contingent beings (the earth, etc.) which can be classified as being possessed of bodily form 'must be known' as being of a different category from all beings that need to be sustained.

(i) BG. 6.29–30 (cf. 4.35)

'He whose mind is integrated by Yoga, who sees the same in all things, sees the soul as abiding in all things and all things in the soul. [But] for the man who sees me everywhere and sees all things in me, I will never be lost nor will he be lost to me.'

*Commentary:* The sameness [seen] in one's soul and that of other contingent beings consists in the one form they share—intellect (*jñāna-*)—for they are all essentially separate from matter. Only in so far as they are connected with matter [in their unliberated state] are they different. Hence 'he whose mind is integrated by Yoga sees the same in all things.' 'In all things or everywhere' means in all souls in so far as they are unconnected with matter, and 'the same'

refers to the sameness of their form which is intellect. Thus 'he sees his own soul as abiding in all things and all things in his soul'. This means that he sees that his own soul has a form that is common to [the souls of] all contingent beings and *vice versâ*. Or, in other words, when one soul is directly experienced (*dṛṣṭe*), all soul-stuff is thereby experienced because all soul-stuff is the same [as being eternal]. This is what the phrase 'seeing the same in all things' means.

Then, after he has attained to the stage of fruition [of his own soul] he comes to realize his own likeness (*sādharmya-*) to me: 'stainless he attains to supreme likeness' (*Muṇḍakā* Up., 3.1.3). This likeness to me spoken of [in the Upaniṣad] he [now] sees in all soul-stuff that has shaken itself free from good and evil and remains fixed in its own essence. The words 'He who sees me everywhere' viz. in [all] soul-stuff, 'and sees all things' viz. soul-stuff 'in me' means that, because souls resemble each other [in being eternal], by experiencing one soul one experiences others as being similar to it. To such a man who experiences the essence of his own soul 'I will never be lost', i.e. I will never pass beyond his vision because we share a common nature. Nor will he pass out of my ken though it is myself that I experience, for he sees that his own soul is similar (*sama-*) to me because in fact it is so.

## II

### GOD AND THE SOUL

#### (a) BG. 10.3

'He who knows me as the unborn, beginningless Great Lord of the world, is among mortals undeluded and liberated from all ills.'

*Commentary:* The word 'unborn' shows that the Lord is different in kind from non-intelligent matter that is liable to change and from intelligent beings which, being involved in the world process, are contaminated by matter. Birth is the union of an intelligent being involved in the world process with unconscious matter due to deeds committed in former lives.

The word 'beginningless' shows that the Lord is different in kind from the liberated soul which, though unborn, nonetheless has a beginning, for the 'unborn' condition of the liberated soul has a beginning. That is to say, since it was involved in evil in former lives, it still has a propensity towards evil. Hence the word 'beginningless' applied to the Lord means the opposite to this because he has no such propensity.

Thus 'he among mortals who is undeluded knows' that I am in essence the opposite of what can be involved in evil and incapable of it; he knows me as 'the Great Lord of the world'. . . . 'Undeluded' means one who is free from the delusion that I am one [or identical] with anything and so *not* different in kind from all else; and the 'undeluded' man is thus 'liberated from all ills' which might prevent him from falling down in worship of me. . . .

God, therefore, is the Great Lord of the world. Being the very opposite of all that is imperfect or evil (*heya-*) and the unique source of indefectible, perfect, and incommensurable good (*kalyāṇa-*), and having omnipotence (*niyamana-*) as his unique and personal nature, he is different in kind both from non-intelligent matter which is ruled by the laws of cause and effect, and from intelligent [soul-stuff] whether in a state of bondage or liberation, from all in fact which is subject to his rule. So whoever is free of the delusion that God is not wholly different in kind from all else and knows me as such, is released from all ills.

(b) BG. 15.17

‘But the Supreme Person is another, known also as the Supreme Soul. He, the imperishable Lord it is who has entered the three worlds and sustains them.’

*Commentary:* ‘But the Supreme Person is other’ than what has been described as the perishable and the imperishable, other than souls (*puruṣas*) both bound and liberated. Because he is in a different category [from all else] he is ‘known also as the Supreme Soul’. Simply by being described in all Scripture as the ‘Supreme Soul’, the Supreme Person must be understood to be in a different category from all souls (*puruṣas*) whether bound or liberated. For ‘it is he who has entered the three worlds and sustains them. . . .’ The three worlds are proved by scriptural authority to be (i) non-intelligent matter, (ii) the intelligent [soul] involved in matter, and (iii) the liberated [soul]. He who enters these three worlds and sustains them with his very essence (*ātmatā-*) must be in a different category from what is pervaded and sustained.

That he is in a different category from the three worlds above-mentioned, however, also follows from the fact that he is ‘the imperishable Lord’. For he who is of imperishable (*avyaya-*) nature, must be in a different category from both non-intelligent matter which is perishable, from the intelligent [soul] which is bound to conform to matter because it is bound up with it, and from the liberated [soul] which was formerly involved in it because such involvement in unconscious matter is natural (*yogya-*) to it. To sum up: [God is] the Lord of these three worlds and in a different category from all that is subject to his dominion.

(c) BG. 10.20

‘I am the soul dwelling in the heart of all contingent beings: I am their beginning, middle, and end.’

*Commentary:* ‘I dwell in the heart of all contingent beings’ who form my body as their soul (*ātmatayā*); and the soul in a body is in every sense its support, ruler, and master, as subsequent verses will show. . . . Thus as the soul of all contingent beings ‘I am their beginning, middle, and end’, viz. the cause of their origination, their continued existence, and their demise.

(d) BG. 11.40 (cf. 7.7)

‘Homage to thee from before, and homage to thee from behind. Homage to thee from all sides, O thou All. Infinite is thy power, measureless thy might. All dost thou encompass, therefore art thou all.’

*Commentary:* ‘Infinite is thy power, measureless thy might. All dost thou encompass’ as being the soul [of all] (*ātmatayā*), ‘therefore art thou all’. Since thou dost encompass all non-intelligent and intelligent entities as their soul and since all intelligent and non-intelligent entities form thy body and are modes of thy being, so do all modes of being meet in thee. Thou art indeed the [one true] subject of predication to which all predicates refer.

### III

#### SELF-REALIZATION AND THE LOVE OF GOD

(a) BG. 6.47

‘But of all Yogins I consider that one the most integrated who worships me with faith, his inmost soul lost in me.’

*Commentary:* . . . The Yogin mentioned [who worships me with faith his inmost soul lost in me] is more integrated than all others. In their [common] inferiority as compared to this Yogin there is nothing to choose between ascetics and all the other types of Yogin: they are like a lot of mustard seeds set beside Mount Meru. Certainly some mustard seeds may be better than others, some worse, but in comparison to Mount Meru they can only be collectively defined as low.

‘His inmost soul lost in me.’ By ‘inmost soul’ is meant the mind which is the receptacle of all external and internal impressions. Such a Yogin’s mind, then, from the excess of love he bears me, is lost in me because I am different in essence from all else. Out of the excess of his love for me, he cannot continue to exist without me.

‘He worships me with faith’: so exceedingly great is his love for me that he hastens to take hold of me, unable as he is to bear so much as a moment’s separation from me.

To me the originating, sustaining, and re-absorption of the world . . . is but a game. I am wholly untouched by evil and am the depository of indefectible and perfect wisdom, strength, lordship, might, power, and light, and all fair qualities.

My divine form is the depository of all radiance, loveliness, fragrance, delicacy, beauty, and youth—desirable, congruous, one in form, unthinkable, divine, marvellous, eternal, indefectible, perfect. My essence and nature are not to be limited by word or thought. I am an ocean of boundless compassion,

moral excellence, tenderness, generosity, and sovereignty, the refuge of the whole world without distinction of persons. I, the one ocean of tenderness to all who resort to me, take away the sorrows of my devotees. [By my incarnation] I can be seen by the eyes of all men, for without putting aside my [divine] nature, I came down to dwell in the house of Vasudeva, to give light to the whole world with my indefectible and perfect glory and to fill out all things with my own loveliness. . . .

(b) *Introduction to BG. 7 (cf. Introduction to BG. 3)*

In the first six chapters the teaching concerning the nature of the individual soul was expounded as well as the discipline to be practised by the aspirant. This was [merely] an ancillary [discipline] leading up to the worship of God; and this in turn is but a means to attaining to God [himself] who is the ultimate goal, the Supreme Brahman, the indefectible and unique cause of the entire universe, the omniscient, all-Being, whose will is the real, the omnipotent, glorious Nārāyana. . . .

(c) *BG. 12.11–12*

‘But if, resorting to my *yoga*, thou canst not do this, then abandon the fruit of all actions and control thyself. For better is knowledge than practice, and contemplation than knowledge. Abandoning the fruits of actions is better than contemplation. From abandonment comes peace forthwith.’

*Commentary:* ‘If, resorting to my *yoga*, thou canst not do this’: if thou canst not perform actions for my sake, which is merely part of the discipline of devotion, even when thou hast embraced this discipline which entails understanding of my attributes and shows itself in an exclusive love of me, then embrace the *yoga* of the unmanifest which leads to the understanding of the essence of the soul; for this [too] may give rise to the highest devotion. . . . By means of this you may ‘abandon the fruits of all actions’. For once a man has eliminated all the evil in him, he will become aware, through my love, that I alone am the [true] goal [of his striving]. . . . Thus he will first perform actions without thought of their fruits, and this will be equivalent to worshipping me; then he will attain self-realization which will lead to the removal of all the obstructions [to true knowledge] caused by ignorance and to the individual soul’s witnessing itself as being essentially a part of me. Once this has happened, loving devotion to me will arise of its own accord.

So far as the soul’s good is concerned, understanding of the nature of the imperishable [soul] resulting in the immediate apperception of it (*aparokṣa-jñānam*) ‘is better than the practice’ of meditation, if the latter is arid and devoid of exceeding great love; and ‘contemplation’ of the soul as a means of



self-realization is better than an immediate apperception of the soul, if this is incomplete. 'The performance of actions combined with the abandonment of their fruits' as a means to such contemplation is better than contemplation if the latter is imperfect. Actions performed without reference to their fruits, however, will immediately produce peace of mind because all evil will have been put away. Peace of mind leads to contemplation of the soul, contemplation to apperception, apperception to immediate realization, immediate realization to the highest devotion. So the man who is incapable of practising devotion should cultivate his soul. But the best course for the man of unquiet mind who would cultivate his soul is to engage in actions without regard to their fruits: let him forget about self-realization (*ātma-jñāna*-) if he would reach his goal.

(d) BG. 7.18

*Commentary:* Just as [my devotee] who approaches me as his ultimate goal cannot maintain himself in existence without me, so too I cannot maintain myself without him. Thus he is my very soul.

(e) BG. 8.14

*Commentary:* 'He who constantly' (i.e. all the time) 'and always' (i.e. from the moment he first exerted himself [on my behalf]) 'bears me in mind with no thought for anything else', that is to say, he whose recollection of me is so deeply suffused with love that he cannot maintain himself in existence without this overwhelming love of me and recollection of me—'he can easily attain to me, for he is a Yogin who is ever integrated (*nitya-yukta*-)', i.e. one who desires perpetual union<sup>1</sup> [with me]; for I [myself] can indeed be won, not merely my mode of existence which includes such things as sovereignty.

Because I can be easily won and because I cannot bear separation from my devotee, I actually choose him; that is, it is I who bring his worship to its [true] fruition which is to attain to me, since that is what worship [of itself] tends towards; it is I who remove the obstacles that stand in his way and give him an unbounded love for myself.

(f) BG. 9.2

*Commentary:* [The devotee], though he has come to possess me, is not himself destroyed, and though I give myself to one who worships me in this wise, it seems to me that I have done nothing for him.

(g) BG. 18.65

*Commentary:* Whoever loves me beyond measure, him will I love beyond measure [in return]. Unable to endure separation from him, I cause him to possess me. This is my true promise: you will come to me.

<sup>1</sup> This must be what Rāmānuja means by *nitya-yoga*- as the *tadvīyogam* ('separation from him') of the following paragraph shows.

## APPENDIX B

For the convenience of readers we reproduce here two texts from which lavish quotation was made in the course of our lectures. The first is the so-called 'ascension' (*mi'rāj*) of Abū Yazīd. The texts of Sahlajī and 'Aṭṭār are translated in parallel columns so that the reader can see at a glance how the latter interpreted the former. 'Aṭṭār's version of the 'ascension', moreover, contains much material that is not in Sahlajī, and where necessary I have added the earlier sources for it in parallel columns.

The second text is a translation of the part of Junayd's *Kitāb al-Fanā* published by Abdel Kader in the *Islamic Quarterly*, i, 79–83. Dr. S. M. Stern has kindly checked the translation through with me.

### I

#### The *Mi'rāj* of Abū Yazīd

[Al-Sahlajī], *Kitāb Manāqib Sayyidi-nā Abī Yazīd al-Bisṭāmī*, ed. A. Badawī, Cairo, 1949, pp. 138–41.

Farīd al-Dīn 'Aṭṭār, *Tadhkiratu'l-Awliyā*, ed. R. A. Nicholson, London/Leyden, 1905, i, 172–6.

- |  |  |
|--|--|
| 1. I looked upon my Lord with the eye of certainty,                | I looked upon God ( <i>ḥaqq</i> ) with the eye of certainty              |
| 2. after that he had turned me away from all that was not he,      | after he had brought me to the stage of independence of all creatures,   |
| 3. and had illumined me with his light;                            | and had illumined me with his light;                                     |
| 4. and he showed me marvels from his secret being ( <i>sirr</i> ), | and he manifested to me the marvels of [his] mysteries ( <i>asrār</i> ), |
| 5. and he showed me his 'He-ness'.                                 | and revealed to me the grandeur of his 'He-ness'.                        |
| 6. And through his He-ness I looked on mine 'I-ness',              | From God I looked at myself,   |
| 7. and it vanished away—   | and pondered on the mysteries and attributes of my self.                 |
| 8. my light in his light,  | Beside the light of God my light was darkness;                           |
| 9.   | beside the greatness of God my greatness became sheer vileness;          |

10. my honour in his honour,      beside the honour of God my honour  
became sheer conceit (*pandār*).
11. my power in his power.
12.      There all was purity, here all defile-  
ment.
13.      When I looked again,
14. And I saw mine I-ness in his He-      I saw my being (*būd*) by his light;
- ness,
15. my great qualities (*a'zām*) in his      I understood that my own honour de-  
greatness, my exaltation in his      rived from his greatness and honour,  
exaltation.
16.      [and] all that I did, I was [only] able  
to do through his power.
17.      Whatever the eye of my mortal frame  
perceived, it perceived through (*az*)  
*him*.
18. And I looked upon him with the      I looked [upon him] with the eye of  
eye of truth (*haqq*);
19.      All my own worship [of him] was  
from God (*haqq*), not from me,  
and I had thought that it was I who  
worshipped him.
20.      I said:
21. and I said to him:      'O Lord God, what is this?'
22. 'Who is this?'
23. And he said:      He said:
24. 'This is not I, nor other than I.      'All that am I, and none other than I.'
25. There is no god but me.'
26.      That is to say, 'It is you who perform  
actions, but it is I who give you the  
power and enable you to do so.
27.      Until my grace (*tawfīq*) comes into  
operation, nothing can come of your  
obedient service (*tā'at*).'
28.      Then my vision, because it saw him  
[only], closed its eyes to me;
29. And he transmuted me from      and he accustomed [my] vision to the  
mine I-ness into his He-ness,      heart of the matter (*aṣl-i kār*) and to  
his own He-ness.
30. and caused me to cease from my      He annihilated me from my own exis-  
selfhood (*hūwiyya*) in his He-      tence and made me eternal (*bāqī*) with  
ness.
31.      and made me honourable,

- |   |   |
|---|---|
| <p>32. And he showed me his He-ness<br/>alone,</p> <p>33.</p> <p>34. and I looked upon him through<br/>his He-ness.</p> <p>35. And when I looked on God<br/>through God,</p> <p>36. I saw God by God;</p> <p>37. and I remained in God through<br/>God for a time,</p> <p>38. having neither breath,</p> <p>39. nor tongue,</p> <p>40. nor ear,</p> <p>41. nor yet knowledge,</p> <p>42.</p> <p>43.</p> <p>44.</p> <p>45. till God planted wisdom ('ilm)<br/>in me from his wisdom,</p> <p>46. and [gave me] a tongue from his<br/>loving-kindness,</p> <p>47. and an eye from his light.</p> <p>48. And I looked upon him<br/>by his light,</p> <p>49. and had wisdom from his<br/>wisdom,</p> <p>50. and communed in prayer<br/>with him with the tongue<br/>of his loving-kindness,</p> <p>51. and said:</p> <p>52. 'What have I to do with thee?'</p> <p>53. And he said:</p> | <p>He showed me his own selfhood un-<br/>hampered by my own existence.<br/>Necessarily the Truth (<i>ḥaqq</i>, God) in-<br/>creased the truth (<i>ḥaqīqat</i>) in me.</p> <p>From God (<i>ḥaqq</i>) I looked on God,<br/>and I saw God in truth (<i>ḥaqīqat</i>);<br/>and there did I abide<br/>and rest.</p> <p>X I made full the ear of endeavour,<br/>and the tongue of need I withdrew<br/>into the mouth of unfulfilled desire.<br/>I abandoned acquired knowledge,<br/>and made away with the trouble<br/>caused by the lower soul which com-<br/>mands [to evil].<br/>For a time I remained bereft of facul-<br/>ties (<i>bī-ālat</i>),<br/>and with the hand of Divine Grace<br/>(<i>taufīq</i>) I swept the rubbish from the<br/>road of first principles;<br/>and God had compassion on me and<br/>gave me eternal wisdom ('ilm),<br/>and put a tongue from his loving-<br/>kindness in my mouth,<br/>and created an eye for me from his<br/>light [so that] I saw all created things<br/>through God.</p> <p>X When I communed in prayer with<br/>God with the tongue of his loving-<br/>kindness,<br/>and acquired wisdom from the wis-<br/>dom of God,<br/>and looked upon him by his light,</p> <p>he said:</p> |
|---|---|

54. 'I am thine through thee;  
55. there is no God but thee.'
56. I said:  
57. 'Do not beguile me with myself:  
58. I will not be content with myself  
    apart from thee and without  
    thee,  
59. that I may only be content with  
    thee apart from thee and with-  
    out me.'
- 60.
61. And he bestowed himself upon  
    me without myself [being there];  
62. and I communed with him in  
    prayer without myself [being  
    there];  
63. and I said;  
64. 'What hast thou for me from  
    thyself, O my desire?'
65. And he said:  
66. 'Thy debt to me is [to obey] my  
    commands and prohibitions.'
67. And I said:  
68. 'What have I from thy com-  
    mands and prohibitions?'
69. He said:  
70. 'My praise of thee is in my com-  
    mands and prohibitions.  
71. I thank thee for what thou hast  
    kept of my commandments,  
72. and I love thee for what thou  
    hast eschewed of my prohibi-  
    tions.'
73. And I said:  
74.
- 'O thou All, without the All and with  
the All, without means (*ālat*) and with  
means.'
- I said:  
'O Lord God, I will not be beguiled  
with this,  
nor would I be independent of thee in  
mine own being.
- That thou shouldst be mine without  
me is better than that I should belong  
to myself without thee,  
and that I should speak to thee through  
thee is better than that I should speak  
to myself without thee.'
- He said:  
'Now give ear to the religious law and  
do not transgress my commands and  
prohibitions,
- so that thy striving may gain thanks  
from me.'
- I said:  
'By the religion I hold and the cer-  
tainty my heart possesses,

75. 'If thou thankest, bestow the thanks for it upon thyself; if thou thankest, it is better that thou shouldst thank thyself rather than a slave;
76. and if thou blamest, thou art in no wise one to be blamed, and if thou blamest, thou art free from fault.'
77. O my desire, my hope [of deliverance] from my suffering,
78. and the cure of my misery.
79. Thou dost command,
80. and thou art the commanded.
81. There is no god but thee.'
82. Then was he silent toward me,
83. and I knew that his silence meant that he was well pleased.
84. Then he said: He said to me:
85. 'Who taught thee?' 'From whom didst thou learn?'
86. I said: I said:
87. 'He who asks knows better than he who is asked. 'He who asks knows better than he who is asked,
88. for he is both the desired and he who desires,
89. Thou art the answerer and the answered, both the answered and the answerer.'
90. thou art the questioner and the questioned.
91. There is no god but thee.'
92. So did God's testimony against me by himself conclude. After he had seen the purity of my inmost soul (*sirr*),
93. And I was well pleased with him through him,
94. and he was well pleased with me through himself. then did my heart hear a cry from the good pleasure of God,
95. and he signed upon me his satisfaction.
96. For through him am I,
97. and he is he.
98. There is no God but he.
99. Then he illumined me with the light of essence (*dhāt*); And he enlightened me,
100. and caused me to pass away from the darkness of the lower soul and the defilements of the flesh (*bashariyyat*);

101. and I knew that it was through him  
that I lived.
102. and I looked upon him with the And of his bounteous grace I spread  
eye of [divine] bounty. the wide carpet of joy in my heart.
103. And he said: He said:
104. 'Ask what thou wilt of my 'Ask whatever thou wilt.'
105. bounty  
that I may give it thee.'
106. I said: I said:
107. 'I ask for thee, for
108. 'Thou art more bounteous than thou art more bounteous than bounty;
- thy bounty,
109. thou art more generous than thy and greater than generosity;
- generosity;
110. [looking forth] from thee I find and [looking forth] from thee I have  
satisfaction in thee; found satisfaction in thee.
111. and at last I have attained to thee. Since thou art mine,
112. I have rolled up the royal decree of  
[divine] bounty and generosity.
113. Do not keep me away from thee,
114. Offer me not what is other than and offer me not what is other than  
thee, thee.'
115. and repel me not with what is  
other than thee.
116. Beguile me not with thy grace  
and generosity, nor with thy  
bounty;
117. for bounty proceeds from thee  
for ever,
118. and to thee does it return.
119. Thou makest [all things] return  
[to thee], and to thee is the re-  
turn;
120. thou art the desirer and thou the  
desired.
121. From thee is the desired one [the  
mystic] cut off, and through thee  
is petition cut off from thee.'
122. And he made no answer to me For a time he made no answer to me,  
for a time. [Then he placed a crown of generosity  
on my head, (= 175)]
123. Then he answered me, and said: and said to me:

124. 'Truth hast thou spoken,  
 125. and truth (*haqiqat*) dost thou seek, for  
 126. and Truth hast thou heard, X thou hast seen Truth,  
 127. and Truth hast thou seen, X and heard Truth.'  
 128. and Truth hast thou affirmed.'  
 129. I said: I said:  
 130. 'Yea, thou art the Truth,  
 131. and by the Truth is Truth seen; 'If I have seen, it is through thee that I  
 have seen,  
 132. thou art the Truth,  
 133. and by the Truth is Truth  
 affirmed;  
 134. thou art the Truth,  
 135. and to Truth doth Truth return,  
 136. and by Truth is Truth heard: and if I have heard, it is through thee  
 that I have heard.  
 137. thou art the hearer and thou the First didst thou hear, only then did I  
 giver of hearing; hear.'  
 138. thou art the Truth and thou the  
 speaker of Truth.  
 139. There is no god but thee.'  
 140. And he said:  
 141. 'What art thou but the Truth?  
 (Or Thou art nothing but the  
 Truth).  
 142. By the Truth hast thou spoken.'  
 143. And I said: And I spoke much praise of him.  
 144. 'Nay, thou art the Truth;  
 145. and thy word is Truth,  
 146. and through thee is Truth Truth.  
 147. Thou art thou:  
 148. there is no god but thee.'  
 149. And he said to me:  
 150. 'What art thou?'  
 151. I said to him:  
 152. 'What art thou?'  
 153. He said:  
 154. 'I am the Truth.'  
 155. And I said:  
 156. 'I am through thee.'  
 157. He said:  
 158. 'If thou art through me,



159. then I am thou, and thou art I.'  
 160. So I said:  
 161. 'Do not beguile me with thyself  
     apart from thyself.  
 162. Nay, thou art thou:  
 163. There is no god but thee.'  
 164. And when I had attained to the  
     Truth  
 165. and dwelt with the Truth in (*bi-*)  
     Truth,  
 166. he furnished me with wings of      Therefore did he give me wings of  
     glory and majesty.                      majesty,  
 167. And I flew with my wings,              so that I flew forth  
 168. but did not reach the end of his      in the wide places of his glory,  
     glory and majesty.  
 169.    and saw the marvels of his creation.  
 170. And I called on him to succour      When he understood my weakness,  
     me against himself,  
 171. for I had no power to bear with      and recognized my need,  
     him except [it came] through  
     him.  
 172. And he looked upon me with  
     the eye of munificence,  
 173. and strengthened me with his      he strengthened me with his strength,  
     strength.  
 174. He adorned me                              and adorned me with his bounty,  
 175. and crowned me with the crown      and placed a crown of generosity on  
     of his generosity.                              my head,  
 176. And he isolated me by means of  
     his own isolation,  
 177. and unified me through his own      and opened the door of the palace of  
     unity,    union to me.  
 178. and invested me with his own  
     attributes which none can share  
     with him.  
 179. Then he said to me:  
 180. 'Make thyself one with my one-  
     ness,  
 181. and isolate thyself in (*bi-*) my  
     isolation,  
 182. and lift up thy head in the crown  
     of my generosity.

183. Glory in my glory,  
 184. and exult in my exultation;  
 185. and go forth with my attributes  
     to my creatures,  
 186. that I may see my own selfhood  
     (*hūwiyya*) in thy selfhood.  
 187. Whoso sees thee sees me,  
 188. and whoso seeks thee seeks me,  
 189. O thou, my light in my earth,  
 190. and my ornament in my  
     heaven.<sup>1</sup>  
 191. And I said:  
 192. 'Thou art my sight in my eye,  
 193. and my knowledge in my ignor-  
     ance.  
 194. Be thyself thine own light that  
     thou mayst be seen by thyself.  
 195. There is no God but thee.'  
 196. And he answered me with the  
     tongue of good pleasure, and said:  
 197. 'How well thou knowest, O my  
     servant.'  
 198. I said:  
 199. 'Thou art the knower, and thou  
     the known;  
 200. thou art the isolator and thou  
     the [absolutely] single.  
 201. Be thou isolated in (*bi-*) thine  
     own isolation  
 202. and unified in (*bi-*) thine own  
     unity.  
 203. Busy me not with thyself away  
     from thee.'  
 204. So did God's testimony against  
     me in (*fi*) his isolation and by his  
     unity in his unity conclude.  
 205. And I dwelt with him in his  
     isolation without myself being  
     isolated,

<sup>1</sup> §§ 167–90 seem to be a loose paraphrase of what appears to be the original *mi'rāj* text given by Sarrāj (Nicholson, pp. 384, 382, see below §§ 351–60 and 310–28).

206. so that I dwelt with him through  
him.
207. When he perceived that
208. My attributes were annihilated my attributes were finished off (*bar-*  
in his, *sīd*) in his,
209. my name fell away in his,
210. and my 'first' and 'last' fell from  
off me into his.
211. And I looked upon him through  
his essence (*dhāt*),
212. which none who would qualify  
it may see,
213. and to which none who 'know'  
can attain,
214. and which workers of deeds  
understand not.
215. And he looked upon me with  
the eye of essence,
216. after my name had fallen away  
[from me],—
217. and my attributes, my first and  
my last, and my distinctive  
marks.
218. And he called me by his [own] he gave me a name from his own  
name, presence,
219. and surnamed me with his He- and honoured me with his own self-  
ness, hood;
220. and communed with me in (*bi-*) and oneness (*yak-tā'i*) became mani-  
his oneness. fest.
221. Duality was done away with.
222. He said: And he said:
223. 'O I.' 'What thy good pleasure is, that is  
even ours,
224. and what our good pleasure is, that is  
thine.
225. Thy speech admits of no defilement,
226. and none can seize upon thine I-ness in  
thee.'
227. And I said:
228. 'O thou.'
229. And he said to me:
230. 'O thou.'

231. So God's testimony against me  
by himself concluded.
232. He did not name me by any of  
his names but that I named him  
thereby,
233. nor did he attribute to me any of  
his attributes but that I attributed  
it to him.
234. And all things were cut away      Then he make me experience the  
from me through him,      wound of his jealousy,
235. and for a while I remained with-  
out soul or body like one who is  
dead.
236. Then did he revive me with his      and again revived me.  
life,<sup>1</sup>
237. after he had caused me to die.      I issued from the furnace of his testing,  
pure.
238. And he said:      Then he said:
239. 'Whose is the kingdom to-day?'      'Whose is the kingdom?'
240. And when he had revived me,
241. I said:      I said:
242. 'God's, the One, the overwhelm-      'Thine.'
- ing.'
243. And he said:
244. 'Whose is the name?'
245. I said: 'God's the One, the over-  
whelming.'
246. And he said:      He said:
247. 'Whose is the command to-day?'      'Whose is the command?'
248. And I said:      I said:
249. 'God's, the One, the overwhelm-      'Thine.'
- ing.'
250. And he said:      He said:
251. 'Whose is the choice?'      'Whose is the choice?'
252. I said:      I said:
253. 'The Lord's, the All-Compeller.'      'Thine.'
254. And he said: 'I have made thee to  
live with my life,
255. made thee to reign over my  
kingdom,
256. named thee with my name,

<sup>1</sup> Reading *ḥayyātī-hi* for *ḥayyātī* in accordance with § 254.

257. given thee to rule with my rule,  
 258. caused thee to understand my  
     choice,  
 259. and conformed thee to the names  
     of Divinity (*rubūbiyya*) and attri-  
     butes of eternity.'  
 260. I said: 'I do not understand what  
     thou wantest.  
 261. I belonged to myself, yet thou  
     wast not satisfied;  
 262. and I belonged to thee through  
     thee, and [still] thou wast not  
     satisfied.'  
 263. And he said: 'Belong neither to  
     thyself nor to myself.  
 264. Verily I was thine when yet thou  
     wast not:  
 265. so be mine [as] when thou wast  
     not.  
 266. And belong to thyself even as  
     thou wast,  
 267. and be mine even as thou wast.'  
 268. And I said: 'How shall this be to  
     me except through thee?'  
 269. And he looked upon me for a  
     moment with the eye of power,  
 270. and annihilated me by his Being,  
 271. and became manifest in me in  
     his essence;  
 272. and I existed through him:  
 273. and the prayer of communion  
     ceased.  
 274. Then the Word became one,  
 275. and the All through the All be-  
     came one.  
 276. And he said to me: 'O thou.'  
 277. And I said to him: 'O I.'  
 278. And he said to me: 'Thou art the  
     alone (*fard*).'  
 279. I said: 'I am the alone.'  
 280. He said to me: 'Thou art thou.'  
 281. I said: 'I am I.

282. But if I were I as an ego, I would  
not have said "I",  
283. but since I never was an ego,  
then be thou thou, yea, thou.<sup>1</sup>  
284. He said: 'I am I.'  
285. My speaking of him as "I" is like  
my speaking of him as "he"—  
denoting unity.  
286. And my attributes became the  
attributes of Lordship,  
287. and my tongue a tongue pro-  
claiming the divine unity,  
288. and my attributes—He—that is:  
'he is he, there is no god but he,—  
289. and what was was what<sup>1</sup> it was  
by his Being,  
290. and what is is what it is by his  
Being—  
291. my attributes were the attributes  
of Lordship,  
292. and my traces the traces of  
eternity,  
293. and my tongue a tongue pro-  
claiming the divine unity.

294. [*‘Attār omits §§ 254–93 of which  
§§ 294–309 which now follow would  
appear to be a very free paraphrase.*]  
Since these words were the same as he  
had heard at the beginning of creation,  
he wished to demonstrate to me that if  
his mercy had not preceded [his  
wrath], creation would never have  
had any rest,  
295. and were it not for his love, his power  
would have wreaked ruin on all things.  
296. He looked on me with the eye of over-  
whelming power through the inter-  
mediary of his all-compulsion, so that  
no one saw any trace of me.  
297. Then, in my drunkenness, I cast my-  
self upon every water-course, and in

<sup>1</sup> Reading *mā* for *mim-mā*.

- every crucible I melted my body in the fire of [the divine] jealousy.
298. I spurred on the steed of quest in the broad desert, but I saw no prey more worthy than destitution, nor did I find anything better than total helplessness (*'ujz*). No lamp did I see more bright than silence, nor did I hear any word better than wordlessness.
299. I took up my dwelling in the palace of silence, and put on the shirt of long-suffering till matters came to a head.
300. He saw that my outward and inward man were void of the disease of humanity (*bashariyyat*, the flesh), opened a gash in my darkling breast, and gave me a tongue to celebrate [his] divine separateness and unity.
301. Therefore I now have a tongue of eternal grace, a heart of divine (*rab-bānī*) light, an eye of godlike operation.
302. By his succour do I speak, by his power do I grasp.
303. Since by him I live, never shall I die.
304. When I have reached this stage, my gestures are eternal, and my expressions everlasting;
305. my tongue is a tongue proclaiming unity, and my soul a soul [witnessing] separateness.
306. I speak not out of myself, that I might so discourse, nor do I myself speak, that I might mention [anything].
307. It is he who moves my tongue whithersoever he will,
308. and I therein am but an interpreter, speaking in truth.
309. He it is, not I.

(Sarrāj, *Kitāb al-Luma'*, ed. Nicholson,  
p. 382)

(Sahlajī, p. 116)

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|---|--|
| 310. Once he raised me up,                  | Once I was raised up,                          |
| 311. and placed me before him,              | until I stood before him;                      |
| 312. and said to me:                        | and he said to me:                             |
| 313. 'O Abū Yazīd,                          | 'O Abū Yazīd,                                  |
| 314. verily my creatures long to see thee.' | verily my creatures desire to see thee.'       |
| 315. And I said:                            | Abū Yazīd said:                                |
| 316.  | 'O my beloved,                                 |
| 317.  | but I do not long to see <i>them</i> ;         |
| 318.  | but if thou desirest this of me,               |
| 319.  | then I cannot oppose thee.                     |
| 320. 'Adorn me with thy unity,              | So adorn me with thy unity,                    |
| 321. and clothe me in thine I-ness,         |  |
| 322. and raise me up to thy oneness,        |  |
| 323. so that when thy creatures see me,     | so that when thy creatures see me,             |
| 324. they may say:                          | they may say:                                  |
| 325.  |  |
| 326. "We have seen thee,                    | "We have seen thee,                            |
| 327. and thou art that,"                    | and thou art that,"                            |
| 328. yet I will not be there at all.'       | yet I will not be there at all.'               |
| 329.  | And so did he do.                              |
| 330.  |  |
| 331.  | He set me up,                                  |
| 332.  | and adorned me, and raised me up. <sup>1</sup> |
| 333.  | Then he said:                                  |
| 334.  | 'Go forth to my creatures.'                    |
| 335.  | And I took one step away from him              |
|   | towards [his] creatures,                       |
| 336.  | and at the second step                         |
| 337.  | I fainted.                                     |
| 338.  | And he cried aloud:                            |
| 339.  | 'Restore my beloved,                           |
| 340.  | for surely he cannot endure without me.'       |
| 341.  |  |

<sup>1</sup> Cf. §§ 320, 322.



- ('Aṭṭār, contd.)
310. Now that the Lord had magnified me,  
 311.  
 312. he said to me:  
 313.  
 314. 'Creatures desire to see thee.'
315. I said:  
 316.  
 317. 'I do not desire to see them.  
 318. If thou desirest to bring me forth  
 before [thy] creatures,  
 I will not oppose thee.  
 Adorn me with thy unity,  
 320.  
 321.  
 322.  
 323. so that when thy creatures see me,  
 324.  
 325. and look upon thy handiwork,  
 326. they will have seen the Creator,  
 327.  
 328. yet I will not be there at all.'  
 329. He granted me my desire,  
 330. and placed a crown of bounty upon  
 my head,<sup>1</sup>  
 331. and caused me to pass beyond my  
 human condition.
332.  
 333. Then he said:  
 334. 'Go forth before my creatures.'  
 335. I put forth one step from his presence;
336. At the second step  
 337. I fell headlong.  
 338. I heard a cry:  
 339. 'Bring back my beloved,  
 340. for he cannot exist without me,  
 341. and knows no path except to me.

<sup>1</sup> Cf. §§ 122, 175.

Sarrāj, op. cit., p. 384

Sahlajī, p. 116

- |   |  |
|---|--|
| 342. [Abū Yazīd] said:  | Abū Yazīd said:  |
| 343. 'As soon as I reached his unity,   | 'When I reached his unity—   |
| 344.  | and that was the first glimpse of union—   |
| 345.  | I set out on a journey of the understanding ( <i>bi'l-fahm</i> ) which lasted ten years,                 |
| 346.  |  |
| 347.  |  |
| 348.  |  |
| 349.  |  |
| 350.  | until my understanding was exhausted.  |
| 351. I became a bird  | Then I became a bird   |
| 352. whose body was of oneness,   | whose body was of oneness,   |
| 353. and whose wings were of everlastingness,   | and whose wings were of everlastingness,   |
| 354. and I went on flying in an atmosphere of relativity ( <i>kayfiyya</i> ) for ten years,     | and I went on flying in an atmosphere of relativity for ten years—                                       |
| 355. until I entered an atmosphere a billion times as large;                                    | flying eight billion times the distance between God's throne and the earth—                              |
| 356. and I went on flying,  | and I went on  |
| 357. until I reached the broad plain of eternity.   | until I skirted everlastingness.'  |
| 358. In it I saw the tree of oneness ( <i>ahadiyya</i> ).'                                      | He said: 'Then I overlooked union ( <i>tawhīd</i> )  |
| 359. Then he described the soil [in which it grew], its root and branch, its shoots and fruits. |  |
| 360. Then he said:  |  |
| 361. 'I looked and knew that all this was deceit ( <i>khud'a</i> ).'                            | in which creation had vanished from the mystic, and the mystic had vanished from creation.' <sup>1</sup> |
| 362.  |  |

<sup>1</sup> From another saying recorded by Sarrāj (Nicholson, p. 387): 'I overlooked the plain of not-being, and I went on flying in it for ten years until I fared from not-being in not-being through not-being. Then I overlooked negation (*tadyī*) which is the broad plain of union. And I went on flying through not-being in negation until I was completely lost [negated] in negation [loss]. . . . Then I overlooked union in which creation had vanished from the mystic and the mystic had vanished from creation.'

Hujwiri, *Kashf al-Mahjūb*, ed. Zhukovsky, Teheran reprint, A.H. 1336, p. 306

‘Aṭṭār, contd.

342. [Abū Yazīd] says:

And he [Abū Yazīd] said:

343.

‘When I reached unity—

344.

and that was the first glimpse I had of union—

345.

for years I ran in that valley with the feet of understanding (*afhām*),

346. My inmost soul (*sirr*) was rapt into the heavens,

347. and it did not look upon anything;

348. heaven and hell were displayed to it, but it paid attention to nothing;

349. and it was drawn up beyond [all] contingent beings and all that veiled him from its sight.<sup>1</sup>

350.

351. I became a bird,

until I became a bird

352.

whose body<sup>2</sup> was of oneness,

353.

and whose wings were of everlastingness.

354. and flew continuously in an atmosphere of essence (*hūwiyya*),

I flew continuously in an atmosphere of relativity (*chigūmagī*).

355.

356.

357. until I overlooked the broad plain

358. of oneness (*aḥadiyyat*) and in it I saw the tree of eternity without beginning.

359.

360.

361.

When I had vanished from [the sight of] created things,

362.

I said: “I have reached the Creator.”

<sup>1</sup> See §§ 380–2.

<sup>2</sup> Reading *jism* for *chashm* in accordance with the parallel texts.

363. Then I raised my head from the valley  
of Lordship,  
364. and quaffed a cup the thirst for which  
could never, never be quenched.<sup>1</sup>  
365. Then for thirty thousand years I flew  
in the atmosphere of his unity,  
366. and for another thirty thousand years  
I flew in deity (*ilāhiyyat*),  
367. and for another thirty thousand years  
[I flew] in isolation.  
368. When I looked, When these ninety thousand years  
were completed, I saw Abū Yazīd.  
369. all that was I. Whatever I saw, all that was I.  
370. Then did I traverse four thousand  
deserts,  
371. and reached the end.  
372. When I looked, I saw that I had [only]  
reached the starting-off point (*bidāyat-i daraja*) of the prophets.  
373. Then I went on for a while in that  
infinity,  
374. so that I said:  
375. "Nobody has ever reached a point  
higher than this,  
376. and it is not possible that there is any  
stage more lofty than this."  
377. And when I looked closer, I saw that  
my head was laid at the feet of one of  
the prophets.  
378. Then I realized that the journey's end  
of the saints is [only] the starting-  
point of the prophets.  
379. To the final stage of the prophets  
there is no end.
- (Sahlajī, p. 86)
380. He raised up my soul and I Then my soul passed beyond all the  
pierced the spiritual world (*mal-akūt*). spiritual world,

<sup>1</sup> From a saying recorded by Sahlajī, p. 89: 'Travel in the broad plain of union until you reach the house of isolation. Then fly in the broad plain of isolation until you come to the valley of everlastingness. And if you thirst, you will be given a cup in memory of which your thirst will nevermore be quenched thereafter.'

381. and heaven and hell were displayed to it, but it paid attention to nothing (cf. §§ 346–8).
382. Whatever was brought before it, it could not abide.
383. No soul of a prophet did I pass but that I greeted it and gave it my peace
384. except the soul of Muhammad. When it reached the soul of Muṣṭafā [Muhammad],
385. And lo! around his soul it saw there a hundred thousand seas of fire without end
386. were a thousand veils of light and a thousand veils of light.
387. Had I [so much] as dipped my foot into the first sea,
388. which all but burst into flame<sup>1</sup> I would have been burnt  
at the first flash [I saw].
389. and would have given myself over to destruction.
390. Consequently I became so distraught with awe and bewilderment that there was nothing left of me (*hīch na-mān-dam*).
391. However much I longed to see [but] the tent-peg of the tent of Muhammad, the Messenger of God, I dared not.
392. Although I had reached God (*haqq*), I had not the courage to approach Muhammad.'
393. This means that anyone can reach God in accordance with his capacities,
394. for God is with all things;
395. but Muhammad precedes them in a special sacred enclosure.
396. Hence until you have crossed the valley of 'There is no god but God',
397. you cannot attain to the valley of 'Muhammad is the Messenger of God'.
398. Yet truly both valleys are one,
399. as I said in a previous anecdote about [Abū Yazīd's] disciple, Abū Turāb:

<sup>1</sup> Reading *taḥtariqa* for *takhtariqa*.

400. he saw God, but had not the strength  
to see Abū Yazīd.
- (Hujwīrī, p. 306)
401. I said: Then Abū Yazīd said:  
402. 'O Lord God, 'O my God, all that I have ever seen  
has all been I.
403. There is no way for me to thee There is no way for me to thee so long  
so long as this "I" persists, as this "I" persists,  
404. and I find no escape from this my and I find no escape from this my  
selfhood. selfhood.
405. What shall I do?' What shall I do?'  
406. The command came: The command came:  
407. 'O Abū Yazīd, if thou wouldst 'If thou wouldst be delivered from  
be delivered from thine own thine own selfhood, thou must follow  
selfhood (*to-ī*), thou must follow in the footsteps of our friend, Muham-  
in the footsteps of our friend mad, the Arab.  
[Muhammad].
408. Wipe thine eye with the dust of Wipe thine eye with the dust of his  
his feet as with a collyrium, feet as with a collyrium,  
409. and never cease to follow in his and never cease to follow in his foot-  
footsteps.' steps.'

## II

Abū't Qāsim al-Junayd, *Kitāb al-Fanā*,  
*Islamic Quarterly*, 1, 79-82

Praise be to God who severs all attachments of those who are attached only to himself and bestows realities on those who cleave to him and put their trust in him, enriching them and granting them his love. He confirms the mystics (*'arīfīn*) in his party and grants them degrees in his gifts to them: he shows them the power he manifests out of himself and bestows on them of his bounty from himself so that [evil] suggestions do not affect them or bring them under their sway. Nor are those qualities to be found in them which, by their provenance [? from the Devil], might lead them to fail, for they are predisposed (*intiṣāb*) towards the realities of union [which they achieve] by passing through (*nifādh*) isolation in accordance with his call. The preconditions of finding high favour with him are at hand; hidden things will be manifested to them and the Beloved will draw near.

Then I heard [Junayd] say: 'He bestowed himself on me; then was I hidden from myself by myself, for I am indeed my own worst enemy. Woe to me

because of myself. He beguiled and deluded me through my own self away from him. My presence was the cause of my absence [from him]; and my delight in contemplation was the perfection of my striving. But now my powers are annihilated, so anguished is my innermost soul (*sirr*). I find no savour in existence nor sweetness in the majestic vision, nor do I experience bliss *quā* bliss, nor torment *quā* torment; and [all mystical] savour has flown away from me. No words can any longer describe me; no description comes to mind and no motive urges [me] on. In his revelation of himself the matter stands as it always stood in the beginning.'

I said: 'What is it [then] that has voiced these words through you—"no description comes to mind and no motive urges [me] on"?''

He said: 'I spoke when I was no longer in ecstasy (*bi-ghaybatī 'an ḥālī*). Then an overpowering vision, plain and manifest, was revealed to me. He annihilated me in creating me [anew], even as he created me in the beginning when I was yet no thing. I could not prefer [anything] to him [? or have any effect on him] since he is beyond effect; nor could I predicate anything about him for to him alone does predication belong. Did he not obliterate all trace of me by his own attribute? and obliterated as I was, all knowledge failed me, so near was he. He is the originator and he it is who brings us back [to himself].'

I said: 'What do you mean when you say, "He annihilated me in creating me [anew] even as he created me in the beginning when I was yet no thing"?''

He said: 'Do you not know that God has said, "[Recall], when thy Lord took from the children of Adam, from their loins, their posterity, and made them testify as to themselves: 'Am I not your Lord?' and they said, 'Yea, we testify'." Here God tells you that he addressed them when they did not [yet] exist except in so far as he "existed" them; for he was [eternally] "existing" [his] creation in a manner that was different from his "existing" individual souls (*anfūs*), in a manner that he alone knows, a manner that none but he can find out. He was "existing" them, encompassing them, witnessing them in the beginning when they were no thing apart from their eternal being, [in which] state they were from all pre-eternity;—and this is the divine (*rabbānī*) existence and divine (*ilāhī*) awareness which is proper to him alone. Therefore did we say that when he "existed" man, causing his will to flow over him as he wished, [endowing him] with his most exalted attribute in which none can share, this [form of] existence was without doubt the most perfect and the most efficacious—the best, most victorious, most truly triumphant, overpowering and overwhelming to the object of revelation—so that all trace of the creature is obliterated and his [creaturely] existence passes away; for no human quality or existence can stand up to him, as we have said before, [for this form of existence] proceeds from God and his overwhelming power (*qahr*). However this is only metaphorically applied to souls. Bliss [in this context] is not the kind of bliss we normally understand by that word; and generosity as applied to God is not what we

usually mean by “generosity”; for God neither feels nor is felt, nor does he change in essence. No one knows the nature of the graces he bestows on his creatures, for this is a reality (*ma'nā*) that is exclusively divine (*rabbānī*) known only to him and deriving from his power alone. This is why we said that God annihilates the object of revelation; and when he overwhelms, he is perfect in his overwhelming and supremely worthy of predominance and omnipotence.'

I said: 'But what do such people experience if, as you say, their name, existence, and knowledge have been wiped out?'

He said: 'Their experience consists in God being in them (*bi-him*) and in his revelation to them in word and transcendent power: it does not result from their own striving or from any perception or imagination [they may have] after he has subdued them, for these he obliterates and destroys. He does not lay hold of them nor is he related to them. So how could they describe or experience something they have never been confronted with that they might endure it, and with which they have no relationship that they might know it? What I mean by this is actually illustrated by a tradition; for has it not been handed down [to us] concerning the Prophet, that he said: "God has said: 'When my servant draws ever nearer to me by performing works of supererogation, then do I begin to love him; and once I have started to love him, I become his ear with which he hears and his eye with which he sees.'" The tradition is actually longer than this, but this will suffice for the purposes of my argument in this context. Now, once God has become the ear by which he hears and the eye by which he sees, how can such a conception be formulated in a way that corresponds to his nature (*kayfiyya*), or how can it be defined in a way that can be understood? Should anyone claim to be able to do so, his claim would be false: for this is something we cannot understand, [as we could something] that is subject to extension and which can be understood and known. This tradition can only mean that God strengthens him, aids him, guides him, and shows him what he wills, as he will, to enable him to reach his appointed goal in conforming him to the Truth [God]. This is God's action in him; and these are graces bestowed by God on him, deriving from God alone rather than from [the mystic] who experiences them; for they do not in any way proceed from him [the worshipper] nor are they by his agency. Rather they simply happen to him from [a source that is] other than himself, for these graces are more suitably and appropriately to be attributed to what is other than themselves. So it is legitimate that they [should be described] as a hidden attribute, but they are not to be attributed to him [the worshipper directly], as we have said before.'

I said: 'But how can "presence be the cause of absence, and the delight in contemplation be the perfection of striving?" For everyone here knows that enjoyment and experience demand the presence [of an enjoyer and experiencer], they do not [arise by] striving and absenting oneself.'

He said: 'That is "knowing" in the generally accepted sense of the word and



a mode of experience as usually described. But the élite and those highly privileged among them who have become estranged [from themselves] by the strangeness of their spirituality [are different; for] with them their presence is absence, and their delight in contemplation is effort; for all trace and idea they may experience in themselves or which *quâ* themselves they may witness, has been obliterated from them—they themselves being obliterated in what overwhelms and obliterates them and does away with their attributes. Now God indwells them (*qāma bi-him*), though he is far away from them (*qāma 'an-hum*) in so far as they *have*, and although his utter perfection indwells them he confirms claims against them (?). They experience bliss in him in a manner unseen as the most delightful [form of] existence though it is not a mode of existence [at all as commonly understood], because it is God appropriating [them] and divine omnipotence (*qahr*) overwhelming [them].

'And when the soul loses this unseen bliss which the lower soul (*nufūs*) cannot perceive and in which the senses cannot participate, they grow used to their annihilation from themselves and find their eternal mode of existence which this annihilation impedes. But when God makes the souls [again] present to their egos (*amniyya*), he causes them to find [again] their specific nature, and so they are separated from the commerce they had with him and he with them. So they grieve for themselves and grow used to their [merely human] nature, for God deprives them of this first fulfilment and most perfect grace, and they return to discursive thought and ratiocination. Grief settles upon them and the pang of loss abides with them, present as they are to themselves and their contingent existence (*kā' in wujūdi-hā*). So they yearn for sensual desire and return to a condition of need. For how should their banishment not fret them after they had been absent from themselves or their yearning after they had been filled?

'At this point the mystics' lower souls ascend to green pastures, pleasing prospects, and verdant gardens—all else is torture to them, including that first experience they long for in which hidden things enveloped them and which the Beloved appropriated [to them]. Alas, alas for them.

'Now, when God refers to "attribute", he refers to something which cannot be shared. He means thereby the appropriation of a [given soul] to that [attribute]. One who has been so appropriated<sup>1</sup> to an attribute or who bears it constantly in mind or who has been pre-elected therefore, should not—since this is the mode of his election—have present before him either objective manifestations (*bawādi 'alay-hi*) or purely personal motives. This attribute of his is preserved after the annihilation [of the purely human attributes] in all its reality, and though he vanish before God's presence in him, power [enters him] from [God] who overcomes him, indwells him, and overwhelms him. Then when he is brought into God's presence and called to witness, his mere presence

<sup>1</sup> Reading *musta'tharan li-hā* for *mustataran*.

[there] guarantees the fact of his individual appropriation [to God] (*isti'thār*), and all traces [of him] are obliterated in his witnessing; and so he finds no way to obtain a remedy over against the pure existence with which God [now] overwhelms him. And so [God] is seen in his most exalted attribute and most fair names. It is only at this point that the law of suffering comes into operation for those who are adapted to it. They lend themselves to the attractive power of God, persist, and refuse to be beguiled, and that which had obliterated them in omnipotence itself—an exalted station and a noble relationship—continues with them.'

I said: 'What you tell me is indeed amazing. But how is it that those people worthy of this exalted relationship should also be subject to suffering? How can this be, I should like to know?'

He said: 'Now understand this. After they have [first] sought him by doing (*fī*) what he wills and [then] repudiated him, they will then seek the field of suffering for his sake, so that he may [once again] overwhelm them to the discomfiture of their [renascent human] attributes; for delight in things is [once again] present with them. God thus puts a veil between him and them so that they give way to their ego (*anniyya*), busy themselves with the senses, and delight in the contemplation (*ru'ya*) of themselves, dwelling in pride, enjoying the fruits of their meditation, overcome by omnipotence. But how should you understand this? For nobody understands it or knows what it means except the initiated: no one else can endure it. Or can you understand why they should [first] seek God, [then] repel him, and [then] try to approach him by means of what is a mere manifestation from him; and by using [what are certainly] realities as means, they [really] seek aid against him? For God had caused them to exist in his "existing" of them and had confirmed in and on them his most hidden secrets which connect [them] with him. All trace [of their human personality] is obliterated and all objectives erased, so that relationships [between God and man] succeed each other, [the worshipper's] rank becomes ever more exalted, for he has lost all sense preception and his lower soul is annihilated.

'Then he makes the annihilation that is within these souls' annihilation present to them, and shows them the existence that is within their existence. However, what he makes present to them [proceeds] from themselves, and what he shows them [proceeds] from their "selves" (*anfūs*), [and so it] is an almost imperceptible obstacle (*sitr*) to them and a well-nigh transparent curtain. It makes them feel the pang of loss and the intensity of the effort [they have made], for they are separated (*istitār*) from what is not susceptible to cause and are in the presence of what is so susceptible and can be associated with effects(?). So they seek him where he had sought them, that is, in what he knew concerning their "selves", for they now dwell in power and have attained to the reality of high favour [with Him]. He dwells in them and occupies them, and, thanks to him, all that

ever was or was not in the order of contingency (*ṣifa*) wells up from him within them, though the pang of suffering may increase.'

I said: 'Then describe to me the different modes of this suffering which they experience in so strange a manner and which [appear to] bring them near [to God].'

He said: 'Well, they become satisfied with what has already appeared to them, lose all sense of destitution, and abandon all sense of judgement. They preen themselves on the victory [they think they have obtained] by their own efforts and power and overweening pride. But [in truth] they had been looking on things with what [in them] was theirs, without passing upwards to what is God's, and so they induce distinction and separation because they see and experience with their two eyes, whereas God overwhelms with his two commands. And when God's manifestations appear to them, [God] causes them to take refuge from him in their own [attributes] so that they exult and glory in their isolation. At this state they go forth without any repining towards him, preferring [to him] that in which their joy is isolated, playing the wanton with him, so sure are they of his forbearance. They do not see that return will be demanded of them and that an account will be exacted from them. When this happens, it is God's guile that encompasses them in a manner they do not understand.'

I said: 'What you say is beyond my reason and increases my confusion. Please try to speak a little more intelligibly.'

He said: 'Well, once the mystics who accept suffering (*ahl al-balā*) encounter God's *fait accompli* (*ḥādith*) within them and the exercise of his authority over them, their inmost essences (*asrār*) are thrown off their balance and their souls are distraught for life eternal. Their habitual haunts offer them no refuge nor can their acquired habits (*amākin*) hide them [from God]. Desperately do they yearn for him who causes them to suffer, and bitterly do they wail at the loss of him who is far away. Their [sense of] loss distresses them, and their [sense of] finding [God] humbles them as they yearn and ache for him, longing for him in their ecstasy. Their yearning he requites with a raging thirst which ever increases and grows in their bowels, while they strive desperately to know themselves and are lavish in losing themselves. He gives them a thirst for him and all manner of mourning and grief. He raises for them all manner of signs (?) causing them to savour the taste of denudation (*jaqr*) and renewing for them the prospect of enduring [yet more] striving; yet even in the aftermath of their troubles they incline [towards him], longing to be chastised with grief, seeking to be made whole, clinging to any trace of the Beloved as he reveals himself [to them], viewing what is remote with the eye of propinquity. So are they completely concealed [from themselves], for they have lost the veil [that hid God from them] and they are no longer divided from him. Affliction [is removed] from them and they are no longer punished. And how should any veil divide them

from him? for they are his captives, imprisoned<sup>1</sup> before him, and even as they are afflicted, they find favour with him in that they are destroyed in what is manifested to them. They no longer aim at looking after themselves, content with God's love and their dependence on him and their nearness to him. In the swift-ness of their awakening they behold the myriad glances [that proceed] from him so that the very destruction [of their human individuality] is [itself] drowned in the tide that flows over them in eternal being and violent suffering, until their very suffering is turned to joy and their abiding in it brings them delight in God, for they see that he is near to ward off their suffering and to draw its sting. Then the soul no longer turns away from the burden of suffering out of faint-heartedness, nor is it grieved by it nor chafed. These are the [real] heroes of mystical experience because God has revealed his secrets to them, and they have taken up their abode in his omnipotence (*qahr*), awaiting his command, that God [himself] may fulfil a deed performed.

<sup>1</sup> Reading *muḥtabasa* for *muḥtasaba*.

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